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INTRODUCTION

The World Investor Week (WIW) is an initiative of the International Organization of Securities Commissions (IOSCO) that was conceived to raise awareness about the importance of investor education and protection. The project, organized and implemented by IOSCO’s Committee 8 on Retail Investors (C8), consists of up to a week of outreach activities carried out by participating IOSCO member jurisdictions. A key objective of the WIW is to highlight the importance of investor education and protection, including in resource-constrained jurisdictions.

By using the IOSCO network to facilitate a coordinated and, therefore, a more powerful approach, the WIW also provides a framework for individual jurisdictions to publicize their investor education and protection initiatives and to deliver more tailored messaging within their jurisdictions.

In October 2019, IOSCO, with the support of other organizations, carried out the third edition of WIW and achieved exciting results that suggest an increasing impact achieved by the IOSCO campaign.

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1 The International Organization of Securities Commissions (IOSCO) is the international body that brings together the world’s securities regulators and is recognized as the global standard setter for the securities sector. IOSCO develops, implements and promotes adherence to internationally recognized standards for securities regulation. It works intensively with the G20 and the Financial Stability Board (FSB) on the global regulatory reform agenda.
THE WORLD INVESTOR WEEK

World Investor Week is a week-long, global campaign promoted by IOSCO to raise awareness of the importance of investor education and protection and to highlight the various initiatives of securities regulators in these two critical areas. From 30 September through 6 October 2019, IOSCO securities regulators and other IOSCO members on six continents undertook a range of activities, such as offering investor-focused information and services, promoting contests to increase awareness of investor education initiatives, organizing workshops and conferences, and conducting local/national campaigns in their jurisdictions.

WIW has two primary objectives, namely (i) to disseminate key messages that support investor education, investor protection and financial literacy; and (ii) to foster learning opportunities for investors.

The campaign also aims at strengthening collaboration among IOSCO members on investor education and protection initiatives, and raising IOSCO’s visibility among key international stakeholders.

At the international level, WIW has the following set of objectives (and goals):

- achieving considerable participation of IOSCO members; and
- engaging international organizations/forums in the campaign, by enlisting supporters that endorse the campaign and/or promote events and activities.

A total of 75 ordinary IOSCO members, representing 74 jurisdictions, participated in WIW 2019. Fifteen non-IOSCO jurisdictions also participated. Several global and regional organizations endorsed or supported WIW. Those institutions are detailed in the “Supporters” section below in this report.

IOSCO members also were encouraged to set their objectives and goals at the local level.

Local members conducted the work in each jurisdiction, and determined the scope and timing of their participation. Only non-commercial activities were permitted and all information was required to be unbiased, with no recommendations made on specific investment products or services.

Non-members were allowed to participate if invited to do so by an IOSCO member. At the national level, self-regulatory organizations, industry associations and market participants, as well as non-governmental organizations (NGOs) were allowed to participate, at the discretion of each jurisdiction.

Third parties were barred from supporting the WIW financially, and were required to follow rules laid down in the implementation plan if they wished to take part in the campaign.
WIW 2019 RESULTS

IOSCO asked national coordinators of WIW to fill in an online survey. The results presented in this section are based on the survey responses. In addition, national coordinators were expected to prepare a public report on the campaign in their jurisdictions. The local public reports are part of this Public Report.

On the map below, the jurisdictions (90) in blue are those that participated in the WIW 2019.


The results reported by participating jurisdictions suggest a solid expansion of the activities carried out and the impact on targeted audiences. The reported number of webinars, classes, talks, lectures, town meetings, seminars, and conferences, to take an example, went from 1170 (in 2018) to 33579 (in 2019), which represents an outstanding growth of 2,770%.

Moreover, the engagement of third parties in WIW 2019 activities suggests a growing understanding about the important of this campaign. From 2,378 institutions/organizations reported in the previous edition, WIW 2019 welcomed 15,398 third-party entities, including regulators (182), self-regulatory organizations (159), universities (1,356), schools (5,831), financial institutions (6,382), associations and clubs (356), and others (1,132). The number of participating local institutions skyrocketed, achieving a remarkable 747% increase from 2018 to 2019.

Online activities continue the same growing trend seen in the previous edition. WIW 2019 exceeded 40 million pageviews and the number of posts in social media grew by 830%, reaching over 5 million friends/followers/supporters.

2 Angola, Anguilla, Antigua & Barbuda, Argentina, Armenia, Australia, Austria, Bahamas, Bahrain, Bangladesh, Barbados, Belgium, Bermuda, Brazil, British Virgin Islands, Brunei, Cameroon, Canada, Cayman Islands, Chile, China, Colombia, Costa Rica, Croatia, Curacao & Saint Martin, Cyprus, Dominica, Dominican Republic, Ecuador, Egypt, Egypt, France, Germany, Ghana, Gibraltar, Grenada, Guernsey, Hong Kong, Hungary, India, Indonesia, Iran, Isle of Man, Israel, Italy, Jamaica, Japan, Jersey, Kazakhstan, Kenya, Lebanon, Lithuania, Luxembourg, Malaysia, Maldives, Mexico, Montserrat, Morocco, New Zealand, Nepal, Nigeria, Pakistan, Palestine, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Qatar, Romania, Russia, Rwanda, Saudi Arabia, Singapore, Slovenia, South Africa, Spain, Sri Lanka, St. Kitts & Nevis, St. Lucia, St. Vincent & the Grenadines, Sweden, Chinese Taipei, Thailand, Trinidad & Tobago, Turkey, United Arab Emirates, United States, and Zambia.
SUPPORTERS

WIW 2019 continues the trend of attracting more and more supporters. As in the previous editions, major multilateral organizations supported and endorsed WIW 2019. That was the case of the G20, the World Bank Group, the Organization for Economic Cooperation and Development (OECD), the Inter-American Development Bank (IDB), the Sustainable Stock Exchange Initiative (SSE Initiative) and the International Network of Financial Services Ombudsman Schemes (the INFO Network). In addition, nine regional and global organizations participated in WIW 2019, namely, CFA Institute, Financial Planning Standards Board, Global Financial Literacy Excellence Center (GFLEC), International Forum for Investor Education (IFIE), IFIE Americas Caribbean Working Group, IFRS Foundation, IOSCO Asia Pacific Hub, Union of Arab Securities Authorities (UASA), and the World Federation of Exchanges.

G20

The Group of Twenty (G20) is the premier forum for its members’ international economic cooperation and decision-making. Its membership comprises 19 countries plus the European Union. G20 leaders meet annually. In addition, Finance Ministers and Central Bank Governors meet regularly during the year to discuss ways to strengthen the global economy, reform international financial institutions improve financial regulation and implement the key economic reforms that are needed in each member economy.

World Bank

The World Bank is a vital source of financial and technical assistance to developing countries around the world. It is not a bank in the ordinary sense but a unique partnership to reduce poverty and support development. The bank provides low-interest loans, zero to low-interest credits, and grants to developing countries. These support a wide array of investments in such areas as education, health, public administration, infrastructure, financial and private sector development, agriculture, and environmental and natural resource management. Some of its projects are co-financed with governments, other multilateral institutions, commercial banks, export credit agencies, and private sector investors.

OECD

The mission of the Organization for Economic Co-operation and Development (OECD) is to promote policies that will improve the economic and social well-being of people around the world. The OECD provides a forum in which governments can work together to share experiences and seek solutions to common problems. It works with governments to understand what drives economic, social and environmental change. Currently, the OECD is focused on helping governments around the world to restore confidence in markets and in the institutions behind them; return public finances to health as a basis for future sustainable economic growth; foster and support new drivers of growth through innovation, environmentally friendly ‘green growth’ strategies and the development of emerging economies; and assist people of all ages to develop the skills to work productively and enthusiastically in the jobs of tomorrow.

IDB

The Inter-American Development Bank works to improve the lives of people in Latin America and the
Caribbean. Through financial and technical support for countries working to reduce poverty and inequality, it helps improve health and education, and advance infrastructure. IDB’s aim is to achieve development in a sustainable, climate-friendly way. The Bank’s current focus areas include three development challenges – social inclusion and inequality, productivity and innovation, and economic integration – and three cross-cutting issues – gender equality and diversity, climate change and environmental sustainability, and institutional capacity and the rule of law.

SSE
The Sustainable Stock Exchange is a UN Partnership Program of the UN Conference on Trade and Development (UNCTAD), the UN Global Compact, the UN Environment Program Finance Initiative (UNEP FI), and the Principles for Responsible Investment (PRI). Launched in 2009 by the UN Secretary General, it convenes Partner Exchanges from around the world who join the SSE by signing a voluntary public commitment. SSE has a vision of a world where capital market signals align with public policy goals on sustainable development.

Its mission is to build the capacity of stock exchanges and securities market regulators to promote responsible investment in sustainable development and advance corporate performance on environmental, social and governance issues.

INFO Network
The International Network of Financial Services Ombudsman Schemes (the INFO Network) is the worldwide association for financial services ombudsmen — independent and impartial out-of-court schemes and offices that resolve complaints brought by consumers (and, in some cases, small businesses) against banks, insurers and/or other financial services providers. Formalized in 2007, the INFO Network facilitates co-operation among its members to build expertise in external dispute resolution by exchanging experiences and information in areas such as scheme/office structures, functions and governance models; scheme/office codes of conduct; use of information technology; handling of systemic issues; cross border referral of complaints; and staff training and continuing education.

CFA Institute
CFA Institute is the premier global association for investment management professionals. It stands for creating an environment where investors’ interests come first, markets function at their best, and economies grow. The mission of CFA Institute is served by generating value for core investment management professionals and engaging with the core investment management industry to advance ethics, market integrity, and professional standards of practice, which collectively contributes value to society.

CFA Institute seeks to set professional standards for investment management practitioners and broadly engage other finance professionals through their interest and interactions with the investment management industry. Improving outcomes for investors advances our social mission and benefits members through greater demand for educated and ethical investment management professionals.

GFLEC
The Global Financial Literacy Excellence Center (GFLEC) envisions a world in which individuals have the financial knowledge they need to fully participate in the economy and build secure futures. In
working toward that vision, GFLEC has positioned itself as the world’s leading incubator for financial literacy research, policy, and solutions.

GFLEC launched in 2011 at the George Washington University School of Business in Washington, D.C. Since then, it has pioneered breakthrough tools to measure financial literacy, developed and advised on educational programs, and crafted policy guidelines aimed at advancing financial knowledge in the United States and around the globe.

IFIE

The International Forum for Investor Education — IFIE — is a unique alliance chartered in 2005 that brings together private sector and public sector providers of investor education to improve the effectiveness of investor education programs around the world. The Forum serves as a clearinghouse for the exchange of information and ideas among providers of investor education from different jurisdictions, including organizations that are just developing or planning to develop investor education programs.

IFRS

The IFRS Foundation is a not-for-profit, public interest organization established to develop a single set of high-quality, understandable, enforceable and globally accepted accounting standards—IFRS Standards—and to promote and facilitate adoption of the standards. IFRS Standards are set by the IFRS Foundation’s standard-setting body, the International Accounting Standards Board.

Accounting standards are a set of principles companies follow when they prepare and publish their financial statements, providing a standardized way of describing the company’s financial performance. Publicly accountable companies (those listed on public stock exchanges) and financial institutions are legally required to publish their financial reports in accordance with agreed accounting standards.

World Federation of Exchanges (WFE)

The WFE is the global industry group for exchanges and clearing houses (CCPs) around the world. It represents over 250 market-infrastructures ranging from those that operate the largest financial centers to those that run frontier markets. Founded in 1961, the Federation was set up to contribute to “the development, support and promotion of organized and regulated securities markets in order to meet the needs of the world’s capital markets in the best interests of their users.”

Members of WFE include over 50 distinct CCP clearing services including stand-alone CCPs. WFE exchanges are home to nearly 48,000 listed companies, and the market capitalization of these entities is over $70.2 trillion; around $95 trillion in trading annually passes through the infrastructures WFE members safeguard. The WFE seeks to ensure regulatory outcomes that foster well-functioning capital markets and reinforce systemic stability in addition to developing markets.
HOW TO PARTICIPATE

WIW 2019 will again take place during the second week of October, with participating jurisdictions having the flexibility to organize their activities around this week. Organizations interested in joining WIW can contact the national coordinator in their jurisdiction. A full list of participating jurisdictions and lead organization in each one is available online at http://www.worldinvestorweek.org/participants.html.

A website for WIW (www.worldinvestorweek.org) contains useful information for participating jurisdictions and supporting organizations, including press releases and the WIW Implementation Guide.

ABOUT IOSCO

The International Organization of Securities Commissions (IOSCO) is the international body that brings together the world’s securities regulators and is recognized as the global standard setter for the securities sector. IOSCO develops, implements and promotes adherence to internationally recognized standards for securities regulation. It works intensively with the G20 and the Financial Stability Board (FSB) on the global regulatory reform agenda.

IOSCO believes the need for investor education and financial literacy has never been greater than today. As the financial marketplace continues to evolve and innovate, investment products are becoming increasingly complex and financial services increasingly diverse. Retail investors require a greater understanding of key financial concepts to comprehend and evaluate the choices available to them and to avoid financial fraud. Strengthening investor education and financial literacy programs also is essential at a time when responsibility for saving and investing for retirement is shifting from the employer to the individual in many jurisdictions.

In response to these challenges, the IOSCO Board approved the establishment of the Committee 8 on Retail Investors in June 2013. The Committee’s primary mandate is to conduct IOSCO’s policy work on retail investor education and financial literacy. Its secondary mandate is to advise the IOSCO Board on emerging retail investor protection matters and conduct investor protection policy work as directed by the IOSCO Board. Annex I shows the C8 structure.

WIW is an IOSCO initiative coordinated by Committee 8 with the support of IOSCO’s General Secretariat. Committee 8 established a WIW Working Group3 to take the project forward and to act as regional coordinators. The General Secretariat, in turn, is the point of contact for all IOSCO members, committees and task forces, and is responsible for the day-to-day functioning of IOSCO.

The Secretary General and the Deputy Secretary General manage and coordinate the Secretariat’s work, and perform duties of high-level representation with institutions such as the Financial Stability Board (FSB), the Basel Committee on Banking Supervision (BCBS), and the Committee on Payments and Market Infrastructures (CPMI). They also provide support to members and ensure the smooth and effective functioning of the Board, the Growth and Emerging Markets Committee, the Affiliate Members Consultative Committee, the regional and policy committees and the task forces. The Secretary General and his deputy seek to ensure transparency, inclusivity and accountability.

---

3 Members of the working group include: CVM Brazil and US SEC (co-chairs), CNBV (Mexico), CNMV (Spain), CNV (Argentina), CONSOB (Italy), CSRC (China), FSA (Japan), IFSA (Indonesia), ISA (Israel), US FINRA, JSDA (Japan) and SC (Malaysia).
throughout the organization. They engage regularly with political and industry representatives.

CONTACT:

http://www.worldinvestorweek.org/contact.php
REPORTS OF JURISDICTIONS
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: CAPITAL MARKET COMMISSION
OTHER IOSCO MEMBERS: N/A
OTHER PARTICIPANTS: CENTRAL BANK (BNA), STOCK EXCHANGE (BODIVA), NATIONAL COUNCIL FOR FINANCIAL STABILITY (CNEF), COMERCIAL BANKS, MEDIA LIBRARY NETWORK (MEDIATECA), SCHOOLS, UNIVERSITIES AND ASSOCIATIONS

In Angola, the World Investor Week (WIW) was promoted by the Capital Markets Commission (CMC), an IOSCO ordinary member since July 2017, for the third consecutive year, through its Department of Communication and Financial Education (DCEF), which was responsible for planning, organizing and implementing all the activities carried out.

During that week a number of educational actions were carried out that contribute to the gradual increase of awareness of the role of current and potential investors in the financial system, namely:

1. Exhibition of short length videos on securities market on national television (TPA);
2. Opinion article, radio debate and interviews promoting the event on national channels;
3. Change of digital signature, press releases on the institutional website and intranet;
4. Organization of an Investor’s Fair

This year CMC held the second edition of the Investor Fair on 3, 4 and 5 October with the support of several players from the Angolan financial system and the Angolan Media Library Network. The aim was to promote a series of educational activities aimed, particularly, at a younger audience. The Investor Fair took place at the Mediateca “28 de Agosto” (Largo das Escolas) and “Zé Dú” (Cazenga), and the inauguration was made by the Honourable First Lady of the Republic of Angola, Mrs. Ana Dias Lourenço.

The fair registered approximately two thousand and four hundred (2,400) visitors, an increase of 71.5% compared to last year. Access was free and was attended by prominent government officials, business associations, representatives of high school and university institutions (public and private) and other professionals from the financial system.

Visitors had the opportunity to review and learn new concepts and themes related to the Securities Market, understand the dynamics of financial markets and make investments through playful activities and lectures. It was possible to test the level of financial literacy of the visitors by completing a multiple-choice questionnaire.

Chart 1. Activities carried out on Investor’s Fair

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<td>Financial Literacy surveys</td>
<td>Monopoly</td>
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<tr>
<td>Finance mini-course for children</td>
<td>Hunting Financial Words</td>
<td>Theatre about economic development</td>
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This event is an opportunity to converge efforts between the different players of the financial sector and other entities linked to the Angolan financial system (Central Bank, National Council for Financial Stability, BODIVA and commercial banks) and educational institutions (public and private schools and universities), with the main objective of transmitting the basic principles issued by IOSCO, such as the importance of financial education to financially include the population and investor protection.

Each year the CMC has been able to reach a larger number of people through lectures, panel discussions, interviews, financial courses, games and other cultural activities, and all these activities have a common denominator “financial education”.

With regard to market players, there is an increasing awareness of the need to carry out activities related to financial literacy and that the efforts of all generate greater and better results.
ARGENTINA
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: MARÍA GEANDET
Other IOSCO MEMBER: COMISIÓN NACIONAL DE VALORES (CNV)
OTHER PARTICIPANTS:

1. ASOCIACIÓN DE BANCOS ARGENTINOS (ADEBA)
2. ASOCIACIÓN DE BANCOS DE LA ARGENTINA (ABA)
3. ASOCIACIÓN DE BANCOS PÚBLICOS Y PRIVADOS DE LA REPUBLICA ARGENTINA (ABAPPRA)
4. BALANZ CAPITAL VALORES S.A.U. (ALYC-PROPIO Nº 210)
5. BANCO CENTRAL DE LA REPUBLICA ARGENTINA (BCRA)
6. BOLSA DE COMERCIO DE BUENOS AIRES
7. BOLSA DE COMERCIO DE ROSARIO
8. BOLSAS Y MERCADOS ARGENTINOS (BYMA)
9. BULL MARKETS BROKERS S.A. (ALYC-PROPIO Nº 247)
10. CAJA DE VALORES S.A.
11. CÁMARA ARGENTINA DE FONDOS COMUNES DE INVERSION (CAFCI)
12. CÁMARA DE AGENTES DE BOLSA (CADAB)
13. COLEGIO DE ESPECIALISTAS, IDONEOS Y PROFESIONALES EN MERCADO DE CAPITALES (CEIPMC)
14. FINGURU
15. FUNDACIÓN BOLSA DE COMERCIO DE BUENOS AIRES
16. FUNDACIÓN MATBA
17. FUNDACIÓN UADE
18. INSTITUTO ARGENTINO DE MERCADO DE CAPITALES (IAMC)
19. INSTITUTO NACIONAL DE JUVENTUD (INJUVE)
20. MERCADO A TÉRMINO DE BUENOS AIRES (MATBA) - ROFEX S.A.
21. MERCADO ARGENTINO DE VALORES (MAV)
22. OCCILIS S.A. (AP Nº 1029)
23. PORTFOLIO S.A. (AP Nº 493)
24. RESEARCH FOR TRADERS
25. ROFEX TRADING SCHOOL (TRS)
26. ROSARIO FINANZAS
27. SAN JUAN BURSÁTIL S.A (ALYC-PROPIO Nº 342)
28. S&P DOW JONES INDICES (S&P DJI)
CNV Argentina coordinated the WIW 2019 Agenda, which included 41 activities carried out by 42 institutions including capital market actors (stock exchanges and brokers), universities, government entities and associations. The activities took place in 5 cities across the country and by internet.

School and university students, young professionals, and young entrepreneurs, participated in the activities. The activities included: seminars, workshops, talks and conferences given by market specialists, educational videos, and games.

The topics promoted during the WIW 2019 considered the Capital Market role; investment instruments, investor protection, SME’s; etc.

Furthermore, the official launch of WIW 2019 took place in Rosario, provincial de Santa Fe, at the Bolsa de Comercio de Rosario. The event included talks, games, and videos for 340 students from 6 public and private high schools and the Universidad Nacional de Rosario.

With regard to the disclosure of the WIW agenda, the CNV disseminated these activities through the website designed for this purpose.

On the other side, the participating institutions disseminated the campaign through: graphic communications media, radio programs, e-mails, social networks (Twitter, Facebook, Instagram, LinkedIn, YouTube) through newsletter and posts, web pages, press releases, virtual flyers, etc.

All the institutions which decided to participate in the WIW, promoted the campaign and the financial education activities from Argentina.
The total attendance to the activities reached 4,245 people and by the social network they reached more than 216,000 people.
La educación financiera

- La educación financiera es una habilidad esencial para la vida personal y profesional. Ayuda a tomar decisiones más informadas sobre la inversión y ahorrado.
- El conocimiento básico de la economía y financieras puede ayudar a prevenir el endeudamiento e impulsar el desarrollo económico.

- Desde 2003 los países de la OCDE han aumentado la educación financiera.

- Cuatro componentes básicos:
  - Interes Simple
  - Interés Compuesto
  - Dividendos
  - Rendimiento
Vanina D’Angelo
@VaninaDAngelo

Semana Mundial del Inversor - Matba Rofex en el laboratorio de UADE.
#WIW2019 #TRS #FundacionMATba

Transferencia de Riesgo
Parte de una serie de videos creados con el objetivo de difundir en un lenguaje sencillo el rol de los mercados de futuros en la economía real.

#EduacionFinanciera #MercadoDeCapitales #Futuros #TRS #Cursos #Trading

Transferencia de Riesgo
Una serie de videos con el objetivo de difundir en un lenguaje sencillo el rol de los mercados de futuros en la economía real...
d://youtube.com

#AIHORA
Semana Mundial del Inversor
@ggrodri, Gte de Adm. y Finanzas de MatbaRofex junto a Tomás Lujambio del @MAVSAOficia

#EduacionFinanciera #IOSCOWIW2019 #SemanaMundialdelInversor
cc @BCRpresna @CNVArgentina
SEMANA MUNDIAL DEL INVERSOR

WEBINAR

1 de octubre
10:30 horas. Duración: 1 hora.

USO Y BENEFICIOS DEL MERCADO DE FUTUROS

Expositora: Mariana Pellegrini, Fundación MATBA

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Cámara de Agentes de Bolsa - Ter
(Sito oficial)

En el marco de la Semana Mundial del Inversor la Cámara de Agentes de Bolsa comparte información útil para quienes se inician en el camino de las inversiones.
SEMANA MUNDIAL DEL INVERSOR

RAMIRO MARRA TE INVITA A UNA CHARLA SOBRE FINANZAS Y ECONOMÍA

La charla será presencial, pero a su vez la transmitiremos en vivo a través de nuestro Instagram @bull_market_brokers y Facebook /bullmarketbrokers

Para participar ingrésese:

Ver los 4 comentarios

adela.ben Hola gente Bull Market, no tengo grandes inversiones, pero cada vez que llamo para hacer consultas tarda una eternidad en ser atendido. Hoy llame cerca del medio día y hablaba 5 en espera según el contestador. Después llame a partir de la 17 y dejé el teléfono en alta voz para ver si me atendían. Me extraña que habla solo 5 en cola de

4 DE OCTUBRE

Añade un comentario... Publicar

87 Me gusta

5 DE OCTUBRE

Añade un comentario... Publicar
Dentro del marco de LA SEMANA MUNDIAL DEL INVERSOR, en el marco de FONDOS COMUNES DE INVERSIÓN, a cargo de Alejandro Álvarez, asesor financiero en Bull Market Brokers.

Además de todo sobre los Fondos Comunes de Inversión de forma rápida y sencilla. Desde Fondo365 podemos acceder a más de 100 fondos y, junto con asesoramiento personalizado y recomendaciones a medida, cumplir con tus objetivos de inversión. No se requiere formación académica previa.

La charla será presencial, pero a su vez la transmitiremos en vivo a través de nuestro Instagram @bull_market_brokers y Facebook Bullmarketbrokers

Para poder participar:
https://www.eventbrite.com.ar/e/semana-mundial-del-inversor-fondos-comunes-de-inversion-tickets-23390245091

CÓMO OPERAR ACCIONES

A cargo de José Luis Pavella, asesor financiero en Bull Market Brokers. Aprender a invertir en acciones de forma rápida y sencilla. Desde Bull Market Brokers podrás abrir tu cuenta y acceder a todas las acciones del mercado argentino. En esta charla vas a aprender los conceptos fundamentales de valoración para tomar la mejor decisión. No se requiere formación académica previa.

La charla será presencial, pero a su vez la transmitiremos en vivo a través de nuestro Instagram @bull_market_brokers y Facebook Bullmarketbrokers

Ver los 7 comentarios
Hola,

En el marco de la Semana Mundial del Inversor de FinGuru redoblamos la apuesta. Sabemos que ahorrar no es fácil y que poner nuestro dinero en movimiento muchas veces genera pánico por falta de conocimiento e ideas de inversión.

Es por ello que brindaremos dos charlas presenciales para que puedas seguir creciendo financieramente. La primera apuntará a que aprendas a invertir y la segunda te brindará opciones para que cuides tu capital.

Martes 1 de Octubre | 08.30 Horas "Aprendé a Invertir", con Daniela Wechselblatt
Reconquista 575, Capital Federal

Reservá tu lugar

Miércoles 2 de Octubre | 08.30 Horas "Cómo resguardar tu dinero", con Gustavo Neffa
Reconquista 575, Capital Federal

Reservá tu lugar

No te pierdas la oportunidad de adquirir conocimientos con los especialistas de Fin.Guru.

Saludos,
Cande de Fin.Guru
CHARLAS

GRATUITAS
PRESENCIALES

CUPOS LIMITADOS

- Aprendé a invertir
MARTES 1 DE OCTUBRE 8.30 HORAS
INSCRIPCIÓN: bit.ly/Charla1-FinGuru2019

- Cómo resguardar tu dinero en tiempos de crisis
MIÉRCOLES 2 DE OCTUBRE 8.30 HORAS
INSCRIPCIÓN: bit.ly/Charla2-FinGuru2019

FIN GURU
RECONQUISTA 575, MICROCENTRO
CAPITAL FEDERAL
AUSTRALIA
In October 2019, ASIC’s MoneySmart took part in World Investor Week with the aim to:

- raise awareness of cryptocurrencies and ICO’s with Australians
- educate Australian consumers around general investing concepts
- inform Australians on checking for investment scams.

ASIC’s MoneySmart website: ASIC’s MoneySmart homepage promoted the ‘Initial Coin Offerings’ and ‘Develop an investing plan’ webpages (see attached website screengrab). ‘Develop an investing plan’ was the homepages most popular feature that week with 111 clicks (over 25%).

ASIC’s MoneySmart investing content was viewed over 52,000 times, with the following pages being the most popular content:

- Smart ways to invest $10,000
- Exchange traded funds
- Invest smarter
- Choosing a financial adviser
- How to buy and sell shares

ASIC’s MoneySmart Tips enewsletter promoted the articles on Initial Coin Offerings and Develop an investing plan. Develop an investing plan was the second most popular content with 961 clicks.

Social media: ASIC’s MoneySmart shared a number of posts on Facebook and Twitter during the week, highlighting investment information. The social posts included information on:

- The golden rules of investing.
- Improving understanding of investment concepts;
- Knowing the signs of an investment scam;
- Understanding cryptocurrencies and ICO’s and how they work;
- Working out your financial goals and allocating a time frame to achieve each goal;
- An explanation of different types of investments and how to build an investment portfolio;

The social posts reached over 92,000 people and generated more than 5,000 engagements with our content. We also ran two Facebook campaigns promoting our ‘Invest Smarter’ content. These posts generated 2,000 clicks to read our content. A sample of Facebook and Twitter posts is provided below.
What are some tips you have for someone starting out on their investing journey?

MoneySmartTeam @MoneySmartTeam
Know the risks of investing in an Initial Coin Offering (ICO)
#WorldInvestorWeek
BAHAMAS
The Securities Commission of The Bahamas’ (SCB) World Investor Week 2019 activities focused on raising awareness of the risks associated with investing in cryptocurrencies, reminding investors of red flags associated with investment scams and fraud, whether traditional or being perpetrated using FinTech, and raising awareness of the role of the SCB as regulator of the capital markets and the recourse for investors. The initiatives were promoted through a social media and traditional print media thrust.

The official World Investor Week period of 30 September to 6 October was used as the launch-point for all investor education initiatives launched during the month of October, which was Investor Education Month in The Bahamas. The media thrust, which included the launch of a Caribbean FinTech Pledge web page on the SCB’s website along with other advertisements and social media posts, drove an increase in monthly hits to the SCB website by 22 percent, with related social media posts having a reach of over 10,000 and 401 engagements over the month of October.

Proclamation from Prime Minister the Most Hon. Dr. Hubert A. Minnis declaring the month 1-31 October 2019 “Investor Education Month” in The Bahamas

The Prime Minister of the Commonwealth of The Bahamas issued a proclamation declaring the month of October 2019 “Investor Education Month”, which recognized the importance of the capital markets in national development, of financial literacy to investor protection and of the SCB’s support of and participation in World Investor Week 2019. The proclamation was placed in leading local newspapers with national circulation, and used as a Facebook tool.

Caribbean FinTech Pledge

The Commission collaborated with the other members of the International Forum for Investor Education (IFIE) Americas Chapter, Caribbean Working Group to develop and launch the Caribbean FinTech Pledge. The goal of the online pledge was to inform the public about cryptoassets and related investment risks without disparaging cryptoassets generally, and to get the commitment of as many investors to research and ensure they understand cryptoassets before investing in them. The pledge will be available on the Securities Commission’s website at http://www.scb.gov.bs/Caribbean_Fintech_Pledge.html until the close of 2019.
Investor Bill of Rights

The Commission developed and launched an Investor Bill of Rights e-flyer that explains key rights and reasonable expectations that investors who use registered securities firms should expect. The “Investor Bill of Rights” also promoted the SCB as a recourse for complaints, should investors feel they were not treated fairly by a registered firm. The SCB used advertisements in local daily newspapers with social media posts jointly to promote the Bill of Rights, where each post focused on a particular ‘right’ and invited readers to read more on the SCB’s website. These included advertisements in the leading newspapers.

Below are some of the posts used for the Investor Bill of Rights campaign.
Avoiding Fraud and Scams/Red Flags to Avoid when Investing

The Commission also focused on reminding investors of the red flags to look out for to help to protect themselves from falling prey to fraudsters and investment scams during Investor Education Month. All of the content for this campaign was posted to the Commission’s Facebook page.

One of the highlights of this campaign included surveying Facebook users on likelihood of them investing in a stock based on its perceived popularity. During Investor Education Month, the poll received a reach of 205 and some 24 persons voted in the poll. 100% of voters indicated that they would not be convinced to invest in a stock if it were advertised as a popular stock that “everyone was buying”.

Below are some of the posts used to engage Facebook users in the avoiding fraud and scams material.
BANGLADESH
Bangladesh Securities and Exchange Commission (BSEC) has successfully observed the ‘World Investor Week (WIW) 2019’ during 30 September–06 October. A number of associated organizations actively participated in the week-long program. As per IOSCO guideline, the program was mainly for retail investors. Bangladesh capital market is mainly dominated by retail investors. The percentage of retail investors is quite high in comparison with any other capital market of the world.

BSEC inaugurated WIW-2019 on 30 September 2019. In the inauguration ceremony, BSEC launched Customer Complaint Address Module (CCAM). The module has been created to automate customer complaint handling process. It has also created a database of all complaints received and follow-up actions. Thus the investors complaints are now solving in lowest possible time. In the ceremony, BSEC Chairman Dr. M. Khairul Hossain said it is really encouraging that Bangladesh capital market is actively participating in the World Investor Week program of IOSCO with other countries of the world. He asked investors to increase their awareness regarding capital market and stated that the matters relating to Financial Literacy have been taken into highest consideration and these will be reached throughout the country by short term and long term programs of BSEC.

Meeting, Workshop, Seminar etc. regarding Investor education and protection were arranged by different stakeholders, professional bodies and universities throughout the week. Different types of issues like Importance of Financial Literacy, protection of customer interest through compliance, awareness of retail investors regarding fixed income securities, Investors’ Right etc were discussed during this period.
BELGIUM
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: Jim Lannoo

OTHER IOSCO MEMBERS:
OTHER PARTICIPANTS: EUROPEAN FUND AND ASSET MANAGEMENT ASSOCIATION (EFAMA)

The Financial Services and Markets Authority (FSMA) promoted World Investor Week by communicating about it to the public and to the media in Belgium. The homepage of the FSMA’s website displayed the WIW logo during the World Investor Week, and a number of posts were shared on the social media (see pictures below).

The FSMA also seized the occasion of World Investor Week to disseminate some of the key messages of WIW 2019 related to online investment fraud and online investment offers made through the social media, e.g. using celebrity endorsements. A warning was published to invite the public to be wary of investment offers made through social media (see copy of the warning below). It emphasized that new communication channels enable “fraudsters 2.0” to make even more victims and that social networks such as Twitter, Facebook, Instagram or LinkedIn can be ideal channels for spreading false investment offers. The FSMA warned consumers against these offers and made the link with WIW 2019.

The FSMA also participated in an event organized by the European Fund and Asset Management Association (EFAMA) in the framework of World Investor Week 2019 (see pictures below). EFAMA is the trade body representing the European investment management industry and is an affiliate member of IOSCO. In the framework of World Investor Week 2019, EFAMA organized a breakfast discussion in Brussels, focusing on the young generation’s attitude towards investments.
World Investor Week, an initiative of IOSCO (the International Organization of Securities Commissions), was held between 30 September and 6 October 2019. The aim was to promote the education and protection of investors. The various initiatives of the supervisory authorities in these two critical areas were at the centre of attention.

World Investor Week 2019 focused more specifically on raising awareness about the risks and challenges attaching to the increasing digitalization of the financial markets. As vice-chair of IOSCO and member of the IOSCO committee on retail investors, the Financial Services and Markets Authority (FSMA) once again supported this initiative. As such, the FSMA published inter alia a warning against fraudulent credit offers via social networks. The FSMA also participated in an event organized by the European Fund and Asset Management Association (EFAMA) in Brussels, focusing on the young generation’s attitude towards investments.

Comprehensive information on World Investor Week is available on the website www.worldinvestorweek.org.

@IOSCOWIW · 30 sept.

iosco.org/news/pdf/IOSCO...
worldinvestorweek.org
#WorldInvestorWeek #IOSCOWIW2019
New communication channels enable “fraudsters 2.0” to make even more victims. Social networks such as Twitter, Facebook, Instagram or LinkedIn appear to be ideal channels for spreading false investment offers, for instance offers in cryptocurrencies, in binary options and forex trading/CFDs, in “investment wines”, offers of portfolio management agreements and credit offers. The FSMA warns consumers against these offers, because often behind these tempting offers are scammers who are not authorized to make such offers, the sole aim of which is to steal your savings.

- How do false investment/credit offers circulate on social networks? The following techniques appear to be most popular among fraudsters when making fraudulent offers via social networks:

- Sponsored links on Facebook and Instagram
  Investment fraud victims often indicate being contacted by phone after having clicked on a sponsored link or post on Facebook or Instagram.

  At present, it appears that especially fraudulent investment offers in crypto currencies, in binary options and forex trade/CFDs and in “investment wines”, as well as fraudulent offers of portfolio management contracts are promoted via such links or posts (cf. the relevant warnings on the FSMA website).

  Contrary to ordinary posts, which do not necessarily spring to the eye in the news feed, sponsored posts pop up based on age, gender or areas of interest, and in view of the pages consulted by the person concerned or the target audience.

  Advertisements promoting “very profitable” investments appear in the relevant advertising spaces or in the news feed of the user concerned. The user is often invited to provide his contact details, so that he can be contacted again at a later stage.

  Those advertisements are often illustrated with an image or video, and accompanied by fake comments and automatically generated likes. The message disseminated looks intriguing but remains extremely vague.

  The pages containing those sponsored advertisements seem to be specifically conceived for such advertising campaigns. They appear under a variety of names, referring to the financial world in
one way or another. Nevertheless, they hardly ever mention a telephone number, website, address or company name.

- Simple sponsored ads on Facebook
  Certain fraudulent offers, mostly of credits, are simply distributed by fraudsters through advertisements on Facebook groups specialized in, for example, buying and selling of real estate or second hand goods. The swindlers choose groups with mostly Belgian consumers.

- Fake press articles referring to public figures
  Fake press articles with alleged statements or interviews of public figures or celebrities praising financial investments, especially in cryptocurrencies, also circulate on the internet via fake news websites and via Facebook through sponsored advertisements.

  The purpose of this practice is to gain your confidence by using images of well-known personalities in the media, sports or business world. Thus these fraudsters try get you to invest in their offers of lucrative investments that are too good to be true.

- Fraudsters chat with their victims on social media
  Fraudsters 2.0 also use social media to contact their victims personally, either by sending them a friend request, or by chatting with them using the chat boxes of Facebook, Instagram and even LinkedIn.

  When such an unscrupulous operator initiates a conversation with a new victim, he will not try from the outset to tempt him to invest, quite the contrary. He first tries to bring about a relationship of trust as is the case with friendship scams (only available in French and Dutch). Only after having been chatting for a few hours, weeks or even several month will the fraudster casually let it slip that he has a golden investment tip.
  Some swindlers also open fake accounts by using the identity and the picture of persons who are quite active on social media. To our knowledge, this practice is mostly observed on Instagram.

- Avoid being a victim of investment fraud on social media
  Further to the World Investor Week 2019 (thematic week organized by IOSCO), that focuses on the risks attaching to certain online investments, the FSMA invites you to follow the recommendations below in order not to become a victim of fraud:
  - Be wary of (promises of) disproportionate returns. Where a return seems too good to be true, it usually isn’t
  - Do not accept uncritically the information provided by such companies. It is not uncommon for a company to claim to be authorized to offer financial services although this is not the case. Be sure always to verify the information you are given (company identity, home country, etc.). If the company is located outside the European Union, you will also have to be aware of the difficulty of legal recourse in the event of a potential dispute.
  - Check whether the company holds an authorization by searching the lists published on the FSMA website—Check your provider. Be wary as well of ‘cloned firms’: companies that pass themselves off as different, lawful companies even though they in fact have no connection with the latter. A close look at the email addresses or contact details for the companies in question may prove useful in order to detect potential fraud of this sort.
  - Consult the warnings published on the FSMA website as well as on the website of foreign supervisory authorities and of IOSCO. Check if the company offering you a financial service has been named in a warning. Search not only for the name of the company(ies) in question but also for the companies to which you are being asked to transfer money.
On the FSMA website, this search can be conducted via the search function provided. In addition, all companies about which the FSMA has already published a warning are included on the ‘List of companies operating unlawfully in Belgium’ published on the FSMA website.

Please note: the fact that the FSMA has not published a warning against a given company does not mean that that company is authorized to offer financial services. While the FSMA seeks to ensure that it publishes warnings in a timely manner, it is entirely possible that a company operating unlawfully on the Belgian market may not yet have come to its attention. Moreover, unauthorized companies regularly change their name.

- Be wary of unsolicited phone calls/emails (cold calling), that is, where no prior request has been made by the investor. Such calls are often indicators of an attempt at fraud.
- Be wary of requests to transfer money to a country without any connection to the company or to the State of which the investor is resident.
- Never invest if you do not understand precisely what is being offered. Be all the more suspicious if the payout of returns is conditional on an additional payment and/or the payment of a tax. These additional demands are often the sign of fraud.
- If you have the least doubt about whether financial services being offered to you are lawful, please don’t hesitate to contact the FSMA directly using the consumer contact form. As well, feel free to notify it should you come across a suspicious company that has not yet been the subject of a warning by the FSMA.

- What to do if you are a victim of fraud?
  If you think you are the victim of fraud, make sure you do not pay any additional sums to your contact. Be especially wary if you are promised a refund in exchange for a final payment, as this is a technique frequently used by fraudsters in order to obtain additional funds.

  Also, immediately contact the local police to make a complaint and alert the FSMA to the scam via the consumer contact form. The FSMA stresses the importance of filing a complaint quickly and with ample documentation (the company in question, bank accounts to which you transferred money, etc.).

  Equally, do not hesitate to alert the FSMA to any suspicious company that has not yet been the subject of a warning on its part.
PANEL DISCUSSION

EYP Resolution:
The role of the public and private sectors in promoting financial education for younger generations and barriers to savings and investment

- Marco La Marca
  Policy Officer, Retail Financial Services, DG FISMA, European Commission

- Chiara Monticone
  Policy Analyst, Financial Education and Consumer Protection Unit, OECD

- Aleksandra Maćzyńska
  Executive Director, Better Finance

- Danièle Vander Espen
  Director Financial Education, FSMA

- Samuel Hönle
  Chair of the ECON I Committee at the 90th International Session of the EYP

- Moderator: Natalie Westerbarkey
  Vice-Chair of EFFAS Investor Education Platform, Head of EU Public Policy, Fidelity International
The 3rd edition of the World Investor Week (WIW) began with the "Ring the bell for financial literacy" event, where stock exchanges around the world performed a global bell ring to raise awareness and disseminate the importance of financial education and investor protection.

In Brazil, 144 face-to-face and online actions were carried out by various institutions, including the Securities and Exchange Commission of Brazil (CVM), B3 (Brazilian Stock Exchange), the Brazilian Association of Financial Planners (Planejar), the Brazilian Association of Financial and Capital Market Entities (Anbima) and universities. Initiatives to promote knowledge about sustainable finance were also carried out in partnership with entities from the Financial Innovation Laboratory. The activities impacted 10,750 people nationwide.

Investment Robots, Investor Rights and Duties, Suitability, Blockchain and other innovative issues of the financial market were among the themes discussed in the various public activities. CVM, in partnership with entities from the Financial Innovation Laboratory, carried out 9 face-to-face events to promote knowledge about sustainable finance, in Rio de Janeiro and São Paulo, in addition to online seminars, such as the international webinar with the IDB and Standard & Poor, titled "ESG: from the margins to the mainstream".

Eight online events promoted by CVM reached 5,112 internet users. Among the virtual actions, we highlight the launch of publications on the Investor’s Portal, such as the first edition of the TOP Personal Financial Planning Book, in partnership with Planejar, and a new edition of the TOP Securities Market Book. Publications included as well the booklet Maturity and Financial Health, aimed at the senior audience, the CVM Booklet on Crowdfunding, a video aimed at Certificates of Agricultural Receivables (CRA), as well as a video on financial fraud in partnership with the Brazilian Association of Direct Sales Companies – ABEVD titled: “Do you know what a multilevel market is?”. A virtual action reached the greatest number of people: the video "What is the capital market for", with 1,509 views in social networks.
In total, CVM promoted 44 face-to-face events in Rio de Janeiro and São Paulo, reaching 1,923 people. Those events addressed a number of topics with lectures on the risks of cryptoasset investments, and innovations that have occurred in the investment fund industry. The lecture on Financial Education for Youth reached 316 people.
Autoriti Brunei Darussalam (AMBD), together with Brunei Darussalam Capital Market Association (BCMA) and Financial Planning Association of Brunei Darussalam (FPAB), participated in World Investor Week 2019 (WIW) from 30 September to 6 October 2019. Various activities were organised with the main objective of promoting investor education and awareness amongst the public.

(1) Roadshows to Brunei Shell Petroleum Company Sdn Bhd and Da’wah Islamiah Centre

Two roadshows were held as part of the initiative to celebrate WIW 2019. The first roadshow was held for officers and staff of Brunei Shell Petroleum Company Sdn Bhd (BSP) on Thursday, 3 October 2019 whereas the second roadshow was held at Da’wah Islamiah Centre (PDI) on Saturday, 5 October 2019.

Both institutions were chosen due to different reasons. BSP, being the largest oil and gas producer in Brunei Darussalam, has a highly talented and skilled workforce of around 3,500 employees. On average, BSP employees are deemed as middle to high income earners and therefore have the potential to become retail investors in Brunei Darussalam. PDI, on the other hand, is a government agency that holds the responsibility of spreading and strengthening the teachings of Islam across the country. As such, PDI has increasingly become the public’s source of advice for various issues including on financial and investment matters from an Islamic perspective.

The main objective of these roadshows were to inculcate financial and investment literacy among BSP and PDI employees. Both roadshows consisted of financial talks delivered by AMBD, BCMA and FPAB covering various topics such as financial planning, basic investments, crypto-assets, financial scams as well as the AMBD Alert List. The roadshows also featured booths set up by AMBD, BCMA and FPAB which have attendees the opportunity to interact with representatives from the organisations.
(2) Awareness via Social Media

As Brunei Darussalam continues to have the highest Instagram penetration in the world based on Global Digital Report 2019, AMBD published educational posters on its Instagram page throughout WIW 2019. The posts focused on providing bite-sized information on AMBD’s roles in FinTech, benefits of financial planning, tips on investing, types of online investing platforms, cryptocurrencies and initial coin offerings, and finishes off with highlighting key messages from IOSCO on WIW 2019.
(3) Radio and TV Interviews

In celebration of World Financial Planning Day (WFP) 2019 and WIW 2019, FPAB participated in radio and TV interviews that were broadcasted live on 2 October 2019 and 5 October 2019 respectively. Both interviews highlighted the importance and tips of financial planning.

(4) Financial Virtual Run

In conjunction with WFP 2019 and WIW 2019, FPAB launched their inaugural financial virtual run on 2 October 2019. The main objective of this event was to promote a healthy lifestyle whilst highlighting the importance of financial planning.
CANADA (Ontario)
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: Ontario Securities Commission
Other IOSCO MEMBERS:
OTHER PARTICIPANTS: Canadian Securities Administrators (CSA) | Français
Investment Industry Regulatory Organization (IIROC)
Mutual Fund Dealers Association of Canada (MFDA)

The Ontario Securities Commission (OSC) participated in the third annual World Investor Week (WIW), an initiative of the International Organization of Securities Commission (IOSCO). The OSC reached thousands of individuals online and in-person to promote key investor education messages and increase awareness of its extensive investor education resources and outreach activities.

The OSC delivers a comprehensive suite of resources and initiatives to promote and improve investor education and outreach. This includes digital and print education materials, OSC in the Community seminars and presentations, trade shows and events, public-speaking engagements, media interviews, awareness and information campaigns, social media and regular e-newsletters.

In the province of Ontario, World Investor Week coincided with Investor Education Month activities in October. The OSC found several opportunities to complement the two initiatives to ensure effective investor education promotion throughout the month. In addition, many WIW key messages overlapped with existing OSC investor education priorities.

Highlights of the OSC’s World Investor Week 2019 activities are summarized as follows:

(i) National News Release to support World Investor Week and kick-off Investor Education Month in Canada

The OSC led the publication of a national news release to support World Investor Week and kick-off Investor Education Month (published through the Canadian Securities Administrators, the council of the securities regulators of Canada’s provinces and territories that co-ordinates and harmonizes regulation for the Canadian capital markets).

(ii) Telephone Townhall and OSC in the Community in-person seminars

The OSC held a telephone townhall on October 3 to talk to everyday retail investors about investing basics and avoiding investment fraud. The OSC contacted thousands of individuals across the province of Ontario. The telephone townhall format worked much like a call-in radio show. OSC Staff provided information, answered questions and conducted live polls over the course of an hour.

The OSC also held several OSC in the Community investor seminars during World Investor Week and Investor Education Month in October. OSC in the Community is an investor outreach program that takes the OSC’s regulatory mandate to everyday retail investors. OSC staff visit communities across the province and present tips on investment fraud protection as well as learning how to find unbiased information about investing. OSC staff also highlighted the World Investor Week initiative and its key messages during the presentations.
The OSC newsletter *Investor News* is published periodically and informs investors about the latest investor initiatives, educational resources, topical issues, key dates and investor warnings and alerts. It is read by thousands of investors and distributed by email as well as through social media and the OSC’s award-winning consumer website, [GetSmarterAboutMoney.ca](http://GetSmarterAboutMoney.ca).

There were two issues published in October. The first issue focused on many of the WIW key messages including the importance of checking registration, researching a product before investing, assessing the impact of fees, understanding the power of compounding, creating an investment plan and avoiding investment fraud. *Investor News* also highlighted the Core Competencies Framework on Financial Literacy for Investors as developed by IOSCO and the OECD.

### (i) Social Media

The OSC developed a detailed social media strategy for Facebook (@GetSmarterAboutMoney) and Twitter (@smarter_money) to encourage people to take steps to improve their financial lives and access the OSC’s investor education resources. “Smart investor” and cryptoasset-related messages along with hashtags #WIW2019 and #WorldInvestorWeek2019 were incorporated into daily messages. New copy and visual assets were deployed to increase engagement. A series of new animations was created to help remind people to check the registration of any person or business trying to sell them an investment or give them investment advice.

The OSC also sought opportunities to amplify investor education messages with other securities regulators in Canada and around the world.

OSC staff hosted a telephone townhall on investing and fraud prevention as part of World Investor Week 2019 and Investor Education Month activities. Staff provided information on investing basics, answered questions from everyday retail investors, and conducted live polls.

A series of new animations was created to help remind people to always check the registration of any person or business trying to sell them an investment or give them investment advice.
CANADA (Quebec)
AMF Quebec (Autorité des marchés financiers) has been actively involved in the coordination working group of the World Investor Week 2019.

AMF Quebec WIW 2019 press release: [French]

**YOUTH AND TEACHERS**

Talking money in class!

AMF-Québec has begun celebrating WIW 2019 with the launch of the 4th annual Talking money in class contest. The contest, which is open to primary school, secondary school, college and adult education teachers, has two objectives: to give teachers an opportunity to discover the many in-class financial education tools available in Quebec and to help improve the personal financial literacy of as many students as possible.
Two seminars have been held on October 1-2 to help college students avoid personal finance traps, ask the right questions before investing, and prevent fraud.

FINANCIAL ADVISORS

AMF Quebec has launched a webinar introducing financial advisors to its educational tools for their investor clients. The webinar has been broadcast on October 4 and was offering 1 continuing education credit for registered financial advisors.
NEW TOOL

The AMF Quebec has also proposed a new investment quiz during the WIW 2019. The quiz is available on its [Website](#).

**AMF QUEBEC WEBSITE**

News and links about the WIW were on the AMF Quebec’s Website.
SOCIAL MEDIAS

The AMF Quebec has been much active on its social medias (AMF Quebec’s Facebook, LinkedIn and Twitter accounts) to add some visibility to WIW 2019, here’s a few examples:
Canadian Securities Administrators (CSA)

The Canadian Securities Administrators (CSA) is an umbrella organization of Canada’s provincial and territorial regulators. CSA’s members, including AMF Quebec, also supported World Investor Week by highlighting cross-country activities.

The CSA WIW 2019 Press Release: (English) & (French).

The AMF Quebec has enjoyed taking part and promoting World Investor Week and is looking forward to next year’s edition.
CHILE: WORLD INVESTOR WEEK 2019

National / Jurisdictional Coordinator: Comisión para el Mercado Financiero (CMF)

Other IOSCO member:

Other participants:
- Ministerio De Hacienda, Finance Minister.
- Banco Central, Central Bank.
- Superintendencia de Pensiones, Superintendence of Pensions (SP).
- Servicio Nacional del Consumidor, National Consumer Service (SERNAC).
- Bolsa de Comercio de Santiago.

Between the 1st and the 6th of October, 2019, the Financial Market Commission (CMF) organized in Chile the World Investor Week, WIW 2019, promoted by IOSCO for the third time in a row, initiative in which the main financial chilean authorities took part of.

During WIW 2018, the CMF organized talks, meetings with retail investors and students, as well as an intense campaign in the media and social networks whose purpose was to promote the financial education among investors and those who are interested to become ones, incentivizing a greater consciousness on alternatives and risks when it comes to investing.

Along with the inauguration of the WIW, the “Month of Financial Education” was launched by second time in a road and took place during October, including several activities organized by the Ministry of Finance, the Central Bank, the Superintendence of Pensions, the National Consumer Protection Service, and the CMF.

The WIW inauguration was led by the Minister of Finance, Felipe Larraín and the highest authorities of CMF and the other participating entities, during the second “Ring The Bell for the Financial Education” launched in the Santiago Stock Exchange (Bolsa de Comercio de Santiago), along with 150 junior and senior high school students; event that was broadcasted and advertised by the written press, digital media and radio.

During WIW, the CMF spread various tips and advicees that people can use when investing and messages aimed to increase the awareness of the importance of investor education, published in its website and social media (Twitter and LinkedIn). The CMF highlighted the importance of financial literacy among investors via interviews and opinion columns and widespread through radio, digital and written media, reaching an audience of 1.1 million people approximately.

The CMF also organized a workshop for 250 senior high school students from the Liceo Profesional Abdón Cifuentes de Santiago, all together with the Central Bank, to improve to WIW concepts.

Different activities were organized for the Month of Financial Education and the WIW alike, but the October events in Chile, made some of them to be canceled, like different workshops, webinar, and the traditional Financial Education Fair (Feria de Educación Financiera).
For third year, the CMF launched a special site for the WIW (www.cmfchile.cl) and its financial education website, www.cmfeduca.cl, with online education resources and the messages promoted by IOSCO, reaching almost 200 thousands visits.
10 Consejos prácticos para aprender a invertir

01 Define el objetivo
02 Investiga a quién le ganas
03 Evita tasas por anticipado
04 Cálculo el rendimiento
05 Diversifica tu cartera
06 Informate sobre los riesgos
07 Pregunta a tu interlocutor
08 Evita los conflictos de intereses
09 Conoce tus inversiones
10 Vigila tus inversiones

4 Tips para operar en plataformas financieras online

01 Investiga quién le ganas
02 Mantén un ojo en las cotizaciones
03 Sigue los movimientos del mercado
04 No tema que un día es otro

Comisión para el Mercado Financiero (CMF)

Con un “Ringing the Bell por la Educación Financiera” realizado hoy, la Comisión para el Mercado Financiero, el Ministerio de Hacienda y la Bolsa de Santiago dieron inicio en nuestro país a la Semana Mundial del Inversionista 2019, en 90 países.
CHINA
WORLD INVESTOR WEEK 2019

National / Jurisdictional Coordinator: China Securities Regulatory Commission
Other IOSCO member:
Other participants: exchanges, trade associations, other finance regulators, educational, industrial, commercial administrations, securities and futures firms, listed companies, universities and schools

CSRC paid high attention to the organisation of 2019 WIW, well organised and carefully arranged a series of activities. CSRC specifically issued notice that CSRC local bureaus, exchanges, trade associations to participate, which coordinated nearly 6000 securities and futures firms, 1000 listed companies, 727 universities, 357 schools to host more than 23000 events. The relevant activities had been very well received, with a coverage of 160 million people, Wechat page view of 9 million, and about 2 million leaflets and brochures distributed.

1. Issuing notice: In September 2019, CSRC issued notice that local CSRC bureaus, exchanges, trade associations shall cooperate with other finance regulators, educational, industrial, commercial administrations, police, procuratorate, courts and other authorities, together with securities\futures market institutions and listed firms, to hold 2019 World Investor Week activities nationwide, using the 2019 WIW logo, from 30th September through 15th October.

2. Activities topics: investor education and investor protection related activities have been organised, whose topics ranging from STAR Market, private placement funds, and risk education on illegal financing business. Screening, lectures, seminars, live events, visits to listed firms, communities and school activities, hot-line services have taken place, so as to foster a culture of rational investing and to raise awareness of investing risks.

3. Typical activities: Shanghai Stocks Exchange (SSE) prepared a special edition of WIW 2019 programs, focusing on the newly launched STAR Market and the pilot registration-based IPO system, which included cartoons, cellflix, rap music, audiobooks and etc, which can be accessed via SSE’s Wechat social media account and its official website. The special programs popularised the finance knowledge, raised risk awareness, cultivated a culture of rational investing, and increased investors’self-protection abilities.
热烈祝贺2019世界投资者周开幕

WIW专栏 | 2019年世界投资者周——邀您共同参与！
Ring the Bell for Financial Literacy
Shenzhen Stocks Exchange (SZSE) launched online and offline activities with comprehensively updated topics and formats, which included an exhibition of investor education achievements by SZSE members, a bell ringing ceremony for finance education, organising cartoonists to visit investor education centres nominated by SZSE, organising investor services activities in Qinghai and Gansu provinces, and visits to fund management companies.

CSRC Zhejiang Bureau worked with Zhejiang Financial College to hold investor education activities, in Chinese and in English, for students from Belt and Road Countries and regions, whose topics ranging from STAR Market, private placement funds, Chinese futures market, simulated stock trading competitions and etc. The activities attracted near 100 students from more than 20 countries, satisfied the different demands of students with different backgrounds, and created an opportunity for them to understand Chinese capital market and to experience the investor education and protection cultures in China.
Industrial Securities Co., Ltd. held various characteristic activities, e.g. approach the library, approach the revolutionary base, walk into schools and chat with the elderlies, to guide investors clearly understand risks and rational investment.

China Securities Investor Protection Fund Corporation worked with Sina Finance, a major internet company, to launch a 2019 WIW special program, so as to guide investor to study finance knowledge and cultivate the right concept of investing.
CHINESE TAIPEI
FSC takes part in IOSCO’s 2019 World Investor Week

The FSC participated in the World Investor Week (WIW) held by International Organization of Securities Commissions (IOSCO). The aim of the event was, through the participation of competent authorities around the world, to educate investors and enhance their self-protection competencies.

Joining with the other 89 countries and nine international organizations to take part in WIW, the FSC played an active role in promoting investors awareness. Investor education and protection events and activities were planned by the Securities & Futures Institute (SFI) and held in cooperation with other self-regulatory organizations (SROs), key achievements include:

1. SROs held 29 different kinds of investor education activities, including financial knowledge and investment lectures, online quizzes with prizes and investor rights and interests conferences.
2. Investor education and protection related information and documents were posted on dedicated webpages, including: FSC "Anti-money Laundering and Countering Terrorism Financing of Securities and Futures Sector", TWSE "Investor Knowledge Network", the Securities Investment Trust and Consulting Association (SITCA) "Taiwan Equity Funds Promotion Area" and the Chinese National Futures Association "Futures Trust Fund Observation Platform".
3. Educational documents were published, including the Taiwan Depository & Clearing Corporation "TDCC Bimonthly" magazine and "TDCC Quarterly" magazine, the Taiwan Securities Association "Warrants Problem Discuss" e-book, and SITCA "Key Information for Sales of Mutual Funds -Know KYC and KYP well, and Create Win-win Situations with Customers" handbook and "the opinion of the supervision system of investment trust companies".
4. To raise public awareness of WIW, a lucky draw was held on Facebook.

2019 WIW webpages for references:


IOSCO: [http://www.worldinvestorweek.org](http://www.worldinvestorweek.org)
Taipei Exchange held securities marked training camp

SITCA held 2019 WIW forum

SFI held classes on financial employment for college students.

SFI posted “IOSCO World Investor Week 2019” information on its Websites
COLOMBIA
La Organización Internacional de Comisiones de Valores – IOSCO, organizó por tercera (3ra) vez consecutiva, la Semana Mundial del Inversionista (WIW por sus siglas en inglés), la cual se llevó a cabo entre el 1 al 4 de octubre de 2019.

Es así, como la Superintendencia Financiera de Colombia – SFC – participó por tercera vez la semana del inversionista. Realizó en coordinación con las empresas participantes, Corporación Autorregulador del Mercado de Valores de Colombia S.A. (AMV), Tpaga – Acciones y Valores S.A., InvesBot – Grupo Bancolombia, UALET – AFIN S.A. Sociedad Comisionista de Bolsa, Corredores Davivienda S.A., Asobancaria, Asobolsa, Colegio de Estudios Superiores de Administración - CESA, Universidad de Autónoma de Bucaramanga (UNAB), Universidad EAFIT, Casa del Consumidor Bogotá – Chapinero, United States Agency for International Development (USAID por sus siglas en inglés), Universidad Externado de Colombia, entidades privadas denominadas casas del consumidor, entre otros, una variedad de actividades tales como: charlas, conferencias, conversatorios, paneles de discusión, seminarios y foros, con el propósito de promover temas relevantes en materia de protección de los inversionistas y educación financiera en el mercado de valores, para crear conciencia sobre la importancia de los mismos.

De acuerdo a lo anterior, este año, la Semana Mundial del Inversionista en Colombia, tuvo como tema central la “Innovación Tecnológica al Servicio del Consumidor Financiero”, en donde se destacaron actividades con las siguientes temáticas: “Inversión a un clic y para todos los bolsillos”, “Importancia de la educación financiera para el inversionista en Colombia”, “La protección del consumidor en la era digital”, “Productos financieros ofrecidos en el mercado de valores”, “Modelos de educación financiera para el consumidor financiero en Latinoamérica”, “Derechos del inversionista”, “Democratizando la inversión a partir de la innovación”, “Oportunidad de profundización financiera en zonas rurales del país a través de canales digitales”, “Estrategias de regulación frente al inversionista para el manejo de nuevas tecnologías en el mercado de valores”, “Manejo de algoritmos en la administración de portafolios vs el inversionista” y “Crowdfunding – derechos del inversionista”.

Adicionalmente, se promocionaron las diferentes actividades a través de las páginas web institucionales de algunas de las entidades participantes y de las cuentas de redes sociales (Facebook, Instagram, Twitter) de la Superintendencia Financiera de Colombia (SFC), del AMV y de la UNAB. Esta última la trasmitió a través de “Streaming” en el link: unabtv.com y a través de la “Radiounab” https://soundcloud.com/unab-radio-688924820/finanzas-al-aire-29?in=unab-radio-688924820/sets/finanzas-al-aire.

Esta campaña continúa abriendo la oportunidad para que distintos actores del sistema financiero colombiano trabajen coordinadamente con el propósito de fomentar las actividades propuestas para la Semana Mundial del Inversionista. A continuación, se mostrarán algunos registros fotográficos de los diferentes eventos realizados:
DOMINICAN REPUBLIC
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: SUPERINTENDENCIA DEL MERCADO DE VALORES (SIMV)
OTHER IOSCO MEMBERS:
OTHER PARTICIPANTS:

Superintendencia del Mercado de Valores (SIMV) conducted several activities during the period of the World Investor Week 2019, regarding the education, promotion and awareness of the role of our Institution as a regulator of the stock market in our Country. During this week the SIMV carried out different activities that included:

1- Course of Knowing the Stock Market & Knowing the Autonomous Heritage at Private schools and Institutions:

- Students of the St. Patrick School (Private School)
- Students of Universidad Iberaoamericana (UNIBE) (Private University)
- Free open course at Universidad Iberoamericana (UNIBE)
2- Creation of a Website:

This website had all the information about the WIW 2019, our agenda, pictures, videos, supporters, and links of our past activities.

https://simv.gob.do/wiwr2019/

3- Online Campaign:

During the course of the week we promoted the WIW on all of our social media.

We did a online campaign to educated our followers about the stock market, how to invest your money, why you should invest, all the things that you have to learn before investing, we created awareness about what is WIW, and promoted our institution and our responsibility as a regulator of the stock market.

Social media used:
Facebook
Instagram
Twitter
EGYPT
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: FINANCIAL REGULATORY AUTHORITY (FRA)
OTHER IOSCO MEMBERS:
OTHER PARTICIPANTS:

The Financial Regulatory Authority conducted several activities in celebration of World Investor Week 2019 from 20-26 October to raise awareness about FRA’s role as a regulator of the capital markets, and to disseminate investor education and protection messages.

During the course of the week FRA carried out different activities in cooperation with other institutions, these included:

1 - Awareness Sessions at Public and Private Universities:

FRA promoted this by giving a number of awareness sessions highlighting the importance of investor awareness and protection. Also focusing on the role of securities regulators, how they supervise the activities of capital markets, how they offer information and services to increase the investor education and protection before and after investing to avoid wrong Practices and financial crimes.

FRA launched the World Investor Week 2019 week by visiting a number of Public and Private Universities in different governorates including:

a) the Arab Academy for Science, Technology and Maritime Transport (Private University),
   Topic: Mutual Funds. (Figures 2, 3)

b) Beni suif university (Public University), Nahda University in Beni Suif (Private University),
   Topic: Consumer Protection for Capital Market. (Figure 1)

c) British University in Egypt (Private University).
   Topic: How to avoid wrong practices and -financial crimes. (Figures 4, 5, 6)

2 - Awareness session at Cultural Venue:

Awareness event at ElSawy Culture Wheel. It’s a venue for cultural events targeting all the citizens of Cairo to attain Financial Inclusion. The video of the event will be uploaded soon on FRA’s youtube channel.

Topics:

a. FRA’s role in regulating Capital Markets
b. ABC Investment in Stock Markets
c. IPO’s and Bonds
d. Mutual Fund
e. How to supervise activities of capital market, avoid wrong practices and financial crimes
f. Introduction to the Consumer Protection Guide
3 - Distributing Flyers and Educational Leaflets:

FRA also distributed informative flyers and educational leaflets during the events, to help the public better understand risks and assess important investment decisions in their future. These flyers include:

a) Brief about FRA Regulatory Role,
b) Do’s and Don’ts in Stock Market,
c) Mutual Fund Types,
d) Steps to Invest in the Stock Market,
e) Subscription Laws,
f) How to Follow your Investments,
g) Investment Risks,
h) Invest Safely (Investor Protection Fund).

4 - Training new interns on FRA Role

In addition to FRA’s role in supervising Non-Banking Financial Markets, it also provided internship opportunities for fresh graduates to obtain new skills, and prepare them for the market.

5 - I invest website

a) Data Bank

A new addition to the iinvest website with daily awareness terminologies and information.
b) Complaints

New addition to the invest website for Consumers if they have any complains dealing with Non-Banking Financial activities

5 - Documentary on FRA Role

Documentary on FRA’s role in regulating and supervising Non-Banking Financial Markets.

6 - Media Coverage:

<table>
<thead>
<tr>
<th>a) Press Releases</th>
<th>2 Press Releases</th>
</tr>
</thead>
<tbody>
<tr>
<td>b) News and Tv Coverage</td>
<td>Newspapers</td>
</tr>
<tr>
<td>c) Videos on YouTube channel</td>
<td>1 video</td>
</tr>
</tbody>
</table>
7 - Consumer Protection Guide and leaflet
Awareness session at Beni suif University (1)

Awareness session at Arab Academy (2)

Group Photo with Arab Academy Students (3)
FRANCE
WORLD INVESTOR WEEK 2019

National / Jurisdictional Coordinator: Florence Corne
Other IOSCO members:
Other participants: AFG, ANACOFI, Binck, BNP Paribas, Boursorama, Bourse Direct, Citi, CNCEF, F2iC, FBF, Fortuneo, INC, La finance pour tous

The Autorité des marchés financiers (AMF) and its partners participated actively for the third edition of “World Investor Week”.

The AMF published a press release to inform about the event, its involvement and activities carried out in France, including the launch of a web-app dedicated to investor’s protection.

It generated 111 web, social media and press mentions. The internet users’ total audience having been able to see the information was 506 000 and the number estimated of Internet users having been reached by the publications was 44 000.

The AMF found partners to support the initiative. Partners also had to communicate on this week with their internal public (banks, asset-management companies, teachers, members...) and retail investors in general.

The AMF led a campaign of visibility on the social media Twitter and Facebook with the templates sent. The AMF posted a post every day on its Facebook page during the week. The reach of these posts was 223 698 people with 10 155 clicks, reactions, comments or shares.

The AMF created specific content and organized several meetings during this week:

- Launch of the “AMF Protect Epargne” application, which makes it easy to find an unauthorised company in the AMF’s black list, to determine in a few clicks the potential risk of a scam of an investment offer, to be kept informed of AMF warnings and to enter into contact with AMF Epargne Info Service helpline;
• The creation of a long-term investment journey with “La Finance pour tous”. This journey, which is made up of web-based learning modules aimed at dispelling misconceptions about investment, especially share investments, provides investors with insight into long-term investment challenges and the keys for action;

• A diversification tool to help investors gain practice in diversifying more or less risky asset portfolios in order to achieve a balanced result. This tool called “Sounds of finance” is composed of 2 levels. As the web user is getting closer to a balanced portfolio, the music he can hear becomes more harmonious;
• Various events for investors: these include a meeting with individual investors in Strasbourg with the French Federation of individual investors and investment clubs (F2iC) and Banque de France, a Facebook Live broadcast with the national consumer institute (more than 4,400 views including replay) and a web conference on collective investment schemes on 1 October.
GERMANY
BaFin has released a new brochure providing key information about investing (“Grundregeln der Geldanlage”) and has published a new edition of its “Investing from A to Z” guide in simple German (“Das kleine ABC in Leichter Sprache: Geld-Anlage”). The brochure provides investors with the most important information they need and contains key questions and checklists. The information provided can also be used to prepare for a meeting with an intermediary. BaFin’s “Investing from A to Z” guide is aimed in particular at consumers who cannot be reached effectively using regular information materials because of their level of education or language skills. It presents the absolute basics of investing in simple and clear language. Presenting information in this way helps to make it easier to understand and helps to improve the accessibility of such important information.

Moreover, BaFin has published a video on its website for consumers about the requirements of the Second Markets in Financial Instruments Directive (MiFID II) and the PRIIPs Regulation. In this video, BaFin experts provide information about ex-ante costs and charges, the recording of phone calls (taping), and the key information document and the suitability report that investment firms must give their clients when providing investment advice.

One of the key objectives of this video was to raise awareness among consumers of the added value of these rules and to encourage consumers to seek information by making use of the new options that are available.

Following a week of campaigning, BaFin experts participated in a digital meet-up organised by Digital-Kompass on 17 October to inform senior citizens in particular via video about digitalisation in the financial sector and new risks and opportunities. Digital-Kompass is a joint project between the German National Association of Senior Citizens’ Organisations (Bundesarbeitsgemeinschaft der Seniorenorganisationen – BAGSO) and the non-profit association Deutschland sicher im Netz e.V.. The project is aimed at helping senior citizens when they use the internet. It is also supported by the Federal Ministry of Justice and Consumer Protection (Bundesministerium der Justiz und für Verbraucherschutz – BMJV).

The meet-ups run by Digital-Kompass are streamed live as webinars with an interactive design. During the meet-up held in October, BaFin experts explained how digitalisation is affecting consumers in all areas of the financial sector, particularly in banking, financial services and insurance. In this context, the participants were encouraged to seek further information about digitalisation themselves. The meet-ups also focus on making consumers aware of the risks and opportunities of digitalisation so that they can make the necessary informed decisions.
DDV’s activities:

The German Derivates Association (DDV) has always focused on transparency and the education of retail investors. It provides a wide array of information material, such as brochures, books, studies, videos, FAQs, statistics and online training tools in German and in English. By providing information, the German Derivates Association enables investors to make informed investment decisions and find the right products for them. The DDV constantly updates, improves and extends their whole range of literature and other information services.

The DDV supplied the World Investor Week website with its most popular information material. Through press releases the DDV raised awareness for the IOSCO World Investor Week and the need for smart and educated investments in a low interest rate environment. The DDV promoted its information material both on the WIW website along with the full range of materials on the DDV website and associated YouTube channel.
GIBRALTAR
DURING WORLD INVESTOR Week (WIW), the GFSC hosted various activities to support the campaign. This year, the GFSC focused on targeting a wide audience, ranging from young to senior members of the public.

To spread the message of safe investing, the GFSC hosted pop-up sessions in various locations in Gibraltar to inform the public on how best to recognise the risks of investing online. This, for example, included how they can avoid risks associated with Crypto assets. GFSC staff members were available to answer any questions members of public had on safe investing. A number of leaflet handouts were produced which provided information on online banking, virtual currencies, home/motor insurance, investments, and pensions. The public were eager to engage in the information the GFSC had to share.

Throughout the week, the GFSC published infographics on the GFSC and Moneywise social media platforms, Facebook, Twitter, and Linkedin every working day. The infographics summarised the leaflet handout tips on how to be a smart investor including risks associated with particular investments. The GFSC also published a short, informative doodle video focusing on key things to consider when investing on all social media platforms on the first day of WIW.

The work of the GFSC in relation to WIW featured in local news outlets such as television, radio and the newspaper.

![Pop-up information stands]
Leaflets distributed at the pop-up stands

Doodle video
Five infographics distributed on social media.
HONG KONG
The Investor and Financial Education Council (IFEC) promoted key education messages in conjunction with World Investor Week (WIW) 2019, and supported the two WIW activities including Ring the Bell for Financial Literacy on 30 September and World Financial Planning Day on 2 October.

Resources and Communications

IFEC launched a wide range of resources and communications to raise public awareness on the importance of investor education and protection. Key education messages included online investing and protection, fund investing, retirement planning as well as the basics of investing.

- Retail Investor Seminar

A Retail Investor Seminar on trends impacting investment decisions and an analysis of the traditional and virtual banking sector was jointly hosted by the Association of Chartered Certified Accountants, CFA Institute, Hong Kong Exchanges and Clearing Limited and Hong Kong Society of Financial Analysts and the IFEC on 28 September. The event was attended by 355 people.

- Core Competencies Framework on Financial Literacy for Investors
To support the development and implementation of investor education initiatives across various jurisdictions, the IOSCO developed a Core Competencies Framework on Financial Literacy for Investors by one of its working groups led by the IFEC. A Chinese version was made for the local market, and was launched together with the Framework on the IFEC website at the end of September with subsequent promotions via media interviews and stakeholder activities.

- Radio interviews

The IFEC collaborated with radio stations including Radio Television of Hong Kong Channel One and Metro Finance to produce and broadcast a total of 6 radio interview segments to promote WIW, fund investing and World Financial Planning Day. Guest speakers included Mr Lester Huang, Chairman of the IFEC and representatives from Hong Kong Investment Funds Association, Hong Kong Society of Financial Analysts and Institute of Financial Planners of Hong Kong. According to 2019 Nielsen Media Index (Jul 2018 - Jun 2019), the combined listenership of the two radio channels was 354,000 per day.

- Online and social media resources

  ➢ Launched a dedicated portal “World Investor Week – A Guide to Successful Investing” on 24 September: Recorded 8,000 pageviews from 24 September to 30 October.
  ➢ Published a blog on investment beginners on 27 September: Recorded 4,700 views in October.
  ➢ Published 2 Facebook posts in September: Recorded 550 clicks and 60 reactions
  ➢ Published an IG post on 2 October: Recorded 100 likes
  ➢ Highlighted WIW in the September issue of e-newsletter

In October 2019, the IFEC website and Chin Blog had 75,000 and 20,000 monthly pageviews/views. While we had 73,000 and 2,300 Facebook and IG followers.
Media coverage

Published 2 press releases on 24 and 30 September and 4 byline articles during the WIW. The radio interview with Mr Lester Huang, Chairman of the IFEC broadcast on 3 October generated news coverage in a number of online media.

Supporting WIW activities

IFEC took part in the two WIW activities, which were Ring the Bell for Financial Literacy organized by the Hong Kong Exchanges and Clearing Limited on 30 September, and World Financial Planning Day organized by the Institute of Financial Planners of Hong Kong on 2 October.

Ring the Bell for Financial Literacy

Mr Andrew Wan, Chief Financial Officer of Securities and Futures Commission and Board Member of IFEC, delivered a speech “Investor and Financial Education: Meeting the Needs of Today and Tomorrow” in the ceremony. Invites were extended to IFEC stakeholders to join the ceremony and sharing session. The event was attended by around 100 people.

World Financial Planning Day

IFEC lined up a radio interview with the representative from the Institute of Financial Planners of Hong Kong to promote the activity. IFEC produced an illustration series “7 Steps for Planning Your Retirement” to promote retirement planning.
During World Investor Week 2019, SEBI along with other institutions and participants mentioned above organized and participated in several outreach events and activities with a goal of spreading WIW’s key messages. The following provides a brief account of such activities:

1. Banner & Ticker: Bilingual banners about celebration of WIW-2019 were exhibited across all offices of SEBI, SEBI recognized stock and commodity derivatives exchanges, and depositories. Ticker about celebration of WIW was run on SEBI’s official website (www.sebi.gov.in) and SEBI investor website (www.investor.sebi.gov.in) as well as the websites of stock and commodity derivatives exchanges and depositories.

2. Ring the Bell Ceremony: A ‘Ring the Bell Ceremony’ was organized by NSE on September 30, 2019 to commemorate WIW-2019. The ceremony was attended by senior officials of SEBI who addressed the participants about the importance of investor education and objectives of celebrating the World Investor Week.

3. Release of Securities Market Booklet: With the objective of developing a simplified educational material for different investor awareness programs, a common securities market booklet titled ‘Securities Market - Understanding from Investor’s Perspective’ developed by SEBI in coordination with the stock exchanges and depositories, was released by SEBI in a release ceremony organized by BSE on October 04, 2019. The booklet encompasses basic aspects of investing in securities market like an introduction, how to invest, process of investing, KYC procedures, precautions to be taken by investors while investing, etc.
4. Financial Education Programs conducted by RPs: RPs have been promoting financial education across various target groups. RPs conducted 871 financial education programs which were attended by 26,273 participants during WIW-2019. They conducted financial education programs covering basic concepts related to various financial sectors, viz. banking, insurance, capital market, pension, caution against ponzi schemes and other fraudulent practices, various schemes launched by Indian Government related to savings products, investment products, insurance products, financial inclusion schemes, etc. These programs were conducted in local languages of the particular area and financial education booklets were distributed free of charge to the participants attending these programs.

5. ‘Visit to SEBI’ Programs: During WIW-2019, 43 Financial/Investor Education programs for students were conducted by SEBI covering 2,475 participants. During these ‘Visit to SEBI’ programs, various groups of students from college, schools and professional institutes visited SEBI offices to learn about securities markets, SEBI and its role as regulator of securities markets.

6. Investor Awareness Programs: During the period, a total of 903 investor awareness programs covering 48,646 individuals were conducted by SEBI and the other participants. These programs were conducted across the country, mainly, focusing on tier II and tier III cities/towns to promote investor education. In these programs, investors were provided useful information on securities market covering basic concepts like investing in securities market, mutual fund investments, grievance redressal mechanism, etc. Out of these programs, IAs and CoTs which have been recognized by SEBI for conducting dedicated awareness programs in the fields of securities market and commodities derivatives market, respectively, conducted 11 programs covering 618 participants.

7. Overall, a total of 1,817 financial and investor awareness programs covering more than 77,000 participants were conducted in more than 300 districts of 20 states and 2 union territories across India. Apart from these activities, SEBI along with other participants organized different education fairs and gatherings, and ensured a wider outreach of the financial and investor awareness during WIW-2019.

8. The information about the various programs conducted during the period were provided in the public domain through social media channels like Facebook, Twitter, and through SMSes and e-mails. Additionally, several investor awareness messages were uploaded on social media. Following is a snapshot of the extent of social media outreach undertaken during this period:

<table>
<thead>
<tr>
<th>Particulars</th>
<th>No. of Views</th>
<th>No. of Likes</th>
<th>Share/Forwards</th>
</tr>
</thead>
<tbody>
<tr>
<td>Facebook</td>
<td>178,000</td>
<td>648</td>
<td>4,734</td>
</tr>
</tbody>
</table>
Print Media Advertisements: During the event period, SEBI, the exchanges and the depositories launched print advertisements in leading newspapers. The major themes on which the advertisements were issued are:

- An informed investor is a protected investor
- KYC requirements while opening a demat account
- Do’s and Don’ts of investing in securities market
- Do’s and Don’ts of investing in commodities derivatives market
- Not to believe in ‘Hot Tips’ on trading
- Update of mobile number and e-mail ID in demat account

<table>
<thead>
<tr>
<th>Particulars</th>
<th>Nos.</th>
</tr>
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<tbody>
<tr>
<td>SMS</td>
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<td>E-mails</td>
<td>14,000,000</td>
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<tr>
<td>Twitter</td>
<td>256,000</td>
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<td></td>
<td>473</td>
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<td>6,227</td>
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Banner displayed across offices of SEBI, other participants, and at the venues of awareness programs.
‘Ring the Bell’ Ceremony by Mr. Nagendra Parakh, Executive Director, SEBI (in center) at NSE on Sep 30, 2019. Mr. N Hariharan, CGM, SEBI (to the right) and MD, NSE (to the left) are also in the picture

Release Ceremony of Securities Market Booklet by Mr. G Mahalingam, Whole Time Member, SEBI (in center) on October 04, 2019

‘Visit to SEBI’ program conducted for students
Financial Education Workshop for Police officials

Investor Awareness Program on commodities derivatives for farmers

Print Advertisement by SEBI and NSDL

Print Advertisement by SEBI and CDSL
The Isle of Man Financial Services Authority (IOMFSA) promoted World Investor Week by communicating with the public and the media in the Isle of Man. The homepage of the IOMFSA’s website displayed the WIW logo during the World Investor Week and contained links to the IOMFSA’s consumer website and promotional materials.

The IOMFSA organised a consumer event where Isle of Man residents were invited to come and talk to the regulator and the UK President of the Personal Finance Society about safe investing.
The day was successful and a wide range of consumer materials were distributed including a new leaflet on Smart Investing prepared specifically for World Investor Week and a new schematic explaining who does what in the financial services arena. Books on investment basics, donated by the IOM Branch of the Chartered Institute for Securities and Investments were also distributed to members of the public.

The IOMFSA and the LIBF continue their collaboration to improve financial literacy in Isle of Man Schools through assisting in the delivery of Level 3 standards qualifications in financial education. The LIBF has also launched a schools competition on investing.
The new materials the IOMFSA prepared for World Investor Week 2019 are:

Investing for smart investors – a colourful leaflet aimed at getting the public to ask themselves the right questions before investing. This leaflet takes IOSCO’s Key Messages for World Investor Week 2019 and turns them into useful practical information for consumers.
Why is diversification important?

Diversification means spreading your risk among different types of investments to increase your odds of investment success.

Diversification is important because markets can be unpredictable and the future is uncertain. By diversifying your portfolio, you reduce the effect of one or more assets underperforming or failing.

Another way of looking at it is to consider a shopkeeper. If they only sold apples and all of the apple harvest failed, they would go out of business. But if they sold a wide range of fruit and vegetables, or other products, their customers would have other things to buy.

In a diversified portfolio, different types of investment will react differently to the same market or economic event. For instance:

- When the economy is growing, stocks tend to outperform bonds.
- When the economy slows down, bonds often keep their value better than shares.
- By holding both shares and bonds, you reduce the chances of your portfolio losing money when economies swing one way or the other.

This philosophy works across different sectors of the economy and different international markets. When investing, by having a range of investments, you’re better protected against the risks that could hit any single one of them.

Remember: Don’t put all your eggs in one basket!!

How do you check that a financial services firm is regulated?

From investments and pensions, to bank accounts, savings, loans, and insurance, virtually every adult in the Isle of Man is a consumer of financial services. The Isle of Man Financial Services Authority (‘the Authority’) regulates financial services firms on the Island.

One of our statutory objectives is to ensure an appropriate degree of protection for consumers dealing with firms carrying on regulated financial services activity. The Authority’s Consumer Matters Bulletin gives more information about how we do this.

Checking the Authority’s Register

The authority’s register of regulated firms can be found at: https://www.fsa.im/register/

There is a list of firms that are authorized to provide regulated financial services. A firm listed here is not necessarily regulated by the Authority.

If you think someone is seeking to sell you financial products or services without a suitable licence, or outside the terms of their licence, please contact us at info@fsa.im.

Firms operating outside the Isle of Man

If you are dealing with a firm operating outside the Isle of Man you should check if they are registered. For example, if the firm is UK you can check the UK Financial Services Register at: https://www.fca.org.uk/register.

How do you assess fees?

All investment products have charges which pay the companies and professionals involved. These should be clearly disclosed; often charges are at each layer of an investment, for example:

- A financial adviser will pass a fee or a commission from the product provider to the investor. A product company will normally be paid a percentage of the value of your investment when you invest and at an ongoing basis. The fees are often included in the value of your investment and may not be visible or easily understood.

These managing underwriting expenses are normally paid a percentage of the value of the investment.

If there is a Total Expense Ratio or TER in the product literature, please note this is a good indication of costs in the investment. Please note your Adviser Fees may not be included in a product’s TER.

How can you check if you are unhappy about an investment product?

Complain to the regulated firm in the first instance

Tell them you are making a complaint and how you would like it to be resolved. We suggest you put the complaint in writing and keep a copy.

If you are not satisfied with their response, you may be able to complain to the relevant Ombudsman Scheme (if there is one).

Complain to the relevant Ombudsman Scheme

Ombudsman schemes can consider complaints if you are dissatisfied with a firm’s response to your complaint and you have suffered a loss or financial disadvantage.

Details of the Isle of Man Financial Services Ombudsman Scheme can be found at: https://www.fsa.im/about-the-governments-ombudsman/index.html.

Other options

- You may use the small claims procedure which can make awards up to £20,000 (https://www.justice.gi/smedsmallclaims/index.html) or make a full court application.
- The Authority has the power to arbitrate in complaints, or to compel a regulated firm to pay compensation to an individual complainant. The Authority can investigate regulatory concerns in relation to a regulated firm, in such cases findings cannot be disclosed to you as the complainant.

www.fsa.im/consumers/

October 2019

WIW 2019 – Report
The IOMFSA believes that for consumers, it is important they know where to go to get different types of services. To help make this clear the IOMFSA published this simple schematic for Isle of Man consumers during WIW2019.

What type of financial service or product do you need?

What can different types of Isle of Man regulated firm offer to consumers?

Please note some regulated firms may do more than 1 activity, for example, a Stockbroker can also be an Investment Manager or Adviser.

Bank
- Class 1 Financial Services Licence
- Current Accounts
- Savings
- Personal Loans
- Money transfer

Stockbroker
- Class 2(1)/(2)/(7) Financial Services Licence
- Investment deals for clients
- Transaction on stock markets
- Advice on options for investments

Financial Adviser
- Class 2(5)/(6)/(7) Financial Services Licence
- General Financial Advice
- Advice on options for investment

Financial Planner
- Class 2(5)/(6)/(7) Financial Services Licence
- Create a lifetime financial plan
- Advice on options for investment

Insurance Company
- Authorised under S8 of the Insurance Act 2008
- Including: Life Assurance, Critical Illness, Health Insurance

Insurance Broker
- Registered under 325 of the Insurance Act 2008
- Advice on options for protection

https://www.iomfsa.im/consumer-material/consumer-awareness/
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: Israel Securities Authority
Other IOSCO members:
Other participants:

WIW2019 was extended into a two-week celebration in Israel: 10/27/19-08/11/19. Its main goal was to make the capital market world more accessible and friendly to the public, using ISA’s social media networks (Facebook and LinkedIn), and by that, creating financial education opportunities. We have listed 10 basic stock market related terms, which should create a basic solid ground for the new investor. Each morning, we posted a question regarding a term, and four possible answers for our followers to answer in comments and create an educative discussion.

Correspondingly, each evening, we posted the right answer to the morning question, and a more detailed breakdown of it. To the evening post, we added a short video of a street survey we conducted, that had variant people answering the morning question – allowing our followers a “snick pick” into how little of knowledge people might have when it comes to the capital market. We have also posted 8 short “smart investor” phrases translated to Hebrew.

More than 92.4K viewers, on Facebook, have watched our videos only. Our Facebook page have reached more then 438K people just in those two weeks of WIW2019. Each post during this week reached minimum 2K users and our most viewed video reached 150K users.

Furthermore, ISA’s Chairwoman, Anat Guetta, gave an interview to “GALATZ” – Israel’s most heard radio station. The interview was part of the station’s morning cast that has a 350K listeners exposure. Mrs. Guetta welcomed all listeners to join us at celebrating WIW2019 on social media and gave her own three advices for new investors as they enter the capital market world. Moreover, one of the most Israeli famous finance newspaper “GLOBES” wrote an article about WIW2019 and invited all readers to join us in enriching their finance knowledge on our social media.

WIW2019 celebration has created an amazing social media hype allowing our Facebook page to reach 10,000 followers! Here are a couple of comments we got during those two weeks:

“I started following your Facebook page this week, but I already learned so much. I really enjoy it”
“I would be the first to sign up to a lecture about the importance of financial thinking, ran by the ISA”

In addition, followers tagged their friends in comments, challenging them to answer the questions and lead in the discussion. Others, private messaged us, asking to detail our information about specific terms, they found interesting.
Here are some examples from WIW2019 publication:
ITALY
World Investor Week 2019
National / Jurisdictional Coordinator: Consob
Other IOSCO members:
Other participants: Adeimf; Anasf; Feduf; GLT Foundation; Museo del Risparmio di Torino; QfinLab-Politecnico di Milano

The 3rd edition of the World Investor Week (WIW) in Italy has been celebrated with a number of initiatives held between Monday 30 September and Sunday 6 October.

The WIW has also been part of the Italian ‘Financial Education Month’ (October), an event promoted by the National Committee for the planning and coordination of financial education activities. The Edufin Committee has been established in 2017 to promote and plan awareness-raising campaigns as well as financial education initiatives and plays a crucial role in laying down and implementing the National Strategy for Financial Education in Italy.

The official opening of the Financial Education Month has been held at The Piccolo Teatro of Milan-Theater of Europe on 23rd of September and has been based on an interactive show produced by the Edufin Committee - characterized by the use of multimedia and movie references – aiming at drawing the attention of high-school students about how much finance is part of our daily life.

The main goal of the 2019 Italian WIW has been to raise awareness about the relevance of financial education to increase understanding ability and independent judgement when assuming financial decisions in real life.

Focused events on dissemination of financial basic knowledge and experiences were the bulk of the WIW, with a specific emphasis on personal financial planning, sound investment process, notions of financial risk, concept of risk diversification and new opportunities/challenges stemming from digitalisation’s processes in the financial sector.

Initiatives were delivered using traditional channels like interactive lectures as well as by means of innovative ways of conveying messages related to investor education e.g. gamification and edutainment.

The Italian WIW was organised by Consob with the endorsement of the Edufin Committee and the participation of many stakeholders active on financial education field as: Adeimf (“Associazione docenti economia, intermediari e mercati finanziari”); Anasf (“Associazione nazionale consulenti finanziari”); Feduf (Fondazione per l’educazione finanziaria e il risparmio”); GLT Foundation; Museo del Risparmio di Torino; QfinLab-Politecnico di Milano. The main Italian Universities were also relevant partners of numerous initiatives listed on the calendar. All partners contributed with their resources, contents and professionalism, whereas Consob interacted with all of them providing guidance and information support to keep a high degree of homogeneity and harmonization with regard to key messages and spirit of the world campaign.

Information and details about the Italian campaign (e.g. official calendar, list of partners, contact references, related documents and media coverage) are provided in a designated area of the Consob investor education portal (http://www.consob.it/web/investor-education/world-investor-week-2019), accessible also through the partners’ websites by way of dedicated web links.
Natural targets of the initiatives were young students, University students, some categories of adults (i.e. women), small-sized entrepreneurs and trainers.

Events were held in many Italian cities, with a wide covering of the national territory. Universities and schools – among the others – opened their doors to many interactive lectures/seminars on financial education. The official list of all the initiatives is available at the link http://www.consob.it/documents/11981/0/calendario+eventi+wiw+2019/4dd765f2-a0f1-4f32-a2d9-17d5b3e6d9d3.

The 2019 Italian WIW also witnessed the second edition of the Consob’s project called "Finance: a story to tell. From barter to bitcoin"- teaming with Lombardy and Lazio regional education authorities - which aims at secondary school students and focuses on teacher training. The main aim of the project is to create an intuitive educational path to help students to better understand economic and financial phenomena and the reasoning behind individual financial choices.

In addition, Consob was active during the whole ‘Financial Education Month’ through the organization, among the others, of the following events:

- four dates of “Beware of scams”, a show-conference inspired by the famous Ponzi scheme’s phenomenon which is part of an experimental project of edutainment on financial education called “Finance on stage”;

- seminars on investments, competences and decision-making processes alongside the publication of the 2019 Consob Report on financial investments of Italian households (in a number of Italian Universities during the month);

- the launch of an itinerant, educational initiative targeted to SMEs, in cooperation with Federerziario.

Partners’ press offices cooperated with Consob to guarantee clear, shared communication policy and messages throughout the WIW, also by means of social networks.

Below a selection of pictures taken during the various initiatives in the calendar.
JAPAN
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: Financial Services Agency
Other IOSCO members: Japan Securities Dealers Association
Other participants: Japan Exchange Group

One of the characteristics of Japan’s initiatives during the World Investor Week 2019 campaign is the active participation of SROs, namely Japan Securities Dealers Association (JSDA) and Japan Exchange Group (JPX).

1. Japan Securities Dealers Association (JSDA)

On September 30, the JSDA hosted the 2019 World Investor Week Opening Ceremony. The Ceremony was a great success, with the participation of more than 140 people from diverse backgrounds ranging from domestic & foreign financial institutions to representatives from embassies from across the globe. The ceremony began with a video message from IOSCO C8 chair Mr. Vasco, and featured keynote speeches by the heads of the JSDA, Japan Financial Services Agency (JFSA), and Japan Exchange Group (JPX). This was then followed by 2 panel discussions, which featured lively and insightful discussions on the trends of investor protection and investor education both globally and in Japan; the importance of securing investor protection by increasing investors’ level of understanding; and the ways of thinking about asset management and asset-building amid an aging society from a plethora of perspectives (including the medical perspective). For more information, see https://www.youtube.com/watch?v=tG5Ur4elYe4

The JSDA, through the WIW, is currently undertaking the following initiatives with respect to investor protection and investor education.

- **WIW special website and requesting cooperation from JSDA Members**
  
  As with last year, the JSDA created a special website dedicated to introducing the WIW, and disseminated the WIW logo and key messages. In addition, the JSDA requested cooperation from its member securities firms, and provided them with the WIW logo so that they can promote and proliferate the message of WIW. For more information, see https://www.jsda.or.jp/about/international/wiw2019.html

- **Activities to prevent investment scams**
  
  In order to prevent investment scams, the JSDA conducted public awareness campaigns that call attention to general consumers and investors in major cities throughout Japan, with the plan to particularly accelerate and strengthen our efforts in October. For more information, see https://www.jsda.or.jp/anshin/inv_alerts/toushisagi/index.html (Japanese)

- **Activities for financial and securities education**
  
  The JSDA is sponsoring seminars & events, providing online content, producing and publishing materials, and dispatching lecturers. For more information, see https://www.jsda.or.jp/manabu/index.html

  In addition, in consideration of the “100 year life”, the JSDA launched the “University for 100 Years” in 2018 with the aim of enhancing knowledge and understanding about how to deal with money and about securities investment. In 2019, as the second iteration of this program, the
JSDA launched the “Faculty of First Time Investment” on October 4, and conducted campaigns through Twitter and on radio shows. For more information, see https://100univ.com (Japanese)*

*Note that the above website is accessible until the end of March 2020.

2. Japan Exchange Group (JPX)

On Monday, September 30, JPX Group CEO Akira Kiyota gave a speech introducing JPX’s education and outreach initiatives at the opening event at JSDA in Tokyo. Meanwhile, in Osaka, Osaka Exchange jointly held a seminar with JSDA, reaching out to about 200 retail investors. On Wednesday, October 2, Tokyo Stock Exchange then held a first-ever classical music concert on the premises of its old trading floor for 150 members of the general public, allowing them to get more familiar with the stock market while enjoying an evening of classical music.

3. Financial Services Agency (FSA)

The Japan FSA contributed to the WIW 2019 as a national coordinator, closely communicating with relevant domestic and global parties and collaborating on events held during the campaign period.

At the 2019 World Investor Week Opening Ceremony hosted by the JSDA, Japan FSA Commissioner Mr. Toshihide Endo gave a speech in which he introduced the Japan FSA’s initiatives on investor education in Japan, as one of its strategic priorities.

The Japan FSA also published several press releases and tweeted about the WIW and its opening ceremony in order to raise awareness of the initiatives during the 2019 event. Before WIW, the Japan FSA published its policy assessment and strategic priorities 2019, and the report says that the Japan FSA promotes investor protection and investor education to improve financial and digital literacy through:

- Looking ahead to the implementation of the new junior high school / high school study guidelines, (i) developing and disseminating practical teaching materials and supplementary teaching materials, and (ii) dispatching lecturers to teacher training courses at universities
- Taking measures more effectively to improve financial literacy such as school visits by officers, seminars, and the creation of teaching materials in cooperation with related organizations
- Proposing to users of financial services the best practices, which are helpful in purchasing financial products
- Adopting an omnichannel approach to a wide range of people; utilizing digital technologies and providing opportunities for investment through financial products purchase by loyalty program points and small change
- To make NISA / Dollar-Cost Averaging NISA a permanent measure, discussing with stakeholder
JERSEY
WORLD INVESTOR WEEK 2019

National / Jurisdictional Coordinator: Jersey Financial Services Commission (JFSC)
Other IOSCO members: N/A
Other participants: Channel Islands Financial Ombudsman, Christians against Poverty, Jersey Consumer Council, Jersey Fraud Prevention Forum and Jersey Office of the Information Commissioner.

The JFSC initiated World Investor Week 2019 with a press release on 27 September 2019. A quarter-page article on the third page of the Island’s principle printed newspaper highlighted the JFSC’s focus on what good financial advice looks like. Throughout the week, the Bailiwick Express, an online local news service, featured a banner with a strapline: “World Investor Week 2019 30Sept-6Oct Raising global awareness about investor education”.

On Wednesday 2 October 2019 the JFSC along with representatives from most of the other participants listed above hosted a drop-in session at the town hall. All representatives were available to speak with members of the public about what should be expected from the financial advisers; what their information protection rights and consumer rights are; and what to do if they believe they have been provided with bad financial advice. The JFSC produced Power Point presentations which were shown on a loop and made available for the public to view at their leisure. The JFSC also had representatives on hand from our Supervision and Policy teams to answer any specific questions.

On Thursday 3 October 2019 the JFSC delivered a lunchtime talk “The Regulator in your community” as part of the Jersey Consumer Council lunchtime talk series. Its aim was to provide an opportunity for Islanders to learn more about what the JFSC does to raise awareness and improve levels of financial education. Whilst the attendance was modest, the engagement from attendees was positive and led to a good discussion.

Friday 4 October 2019 saw the culmination of JFSC’s most successful event: the Primary Schools’ Competition. 247 children aged between seven and ten, from number of local primary schools answered questions relating to family budget, bank accounts and avoiding frauds and scams. The winning student was picked randomly from all of the correct entries and representatives from the JFSC were delighted to be invited to present the winning school and student with their book voucher prizes during a lunchtime assembly.

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4 The Jersey Evening Post
JFSC pushes for greater financial education

Tuesday 1st October 2019

Jersey’s financial regulator has thrown its weight behind a global awareness campaign to promote investor education and protection.

The Jersey Financial Services Commission (JFSC) is one of 38 jurisdictions around the world taking part in World Investor Week, a campaign which is promoted by the International Organisation of Securities Commissions.

As part of the week-long campaign, the JFSC will be running public events, opening campuses, and engaging with local primary schools to help raise awareness about financial education among Jersey’s younger consumers.

It will also be hosting activities outside its remit to emphasise the balance of learning and advice and where children may face about online investments, digital assets and the Coin Offerings.

Along with other island agencies, the JFSC will demonstrate how to build a drop-in resource between 11-16 and 3-8 on the Trust Mail.
KAZAKHSTAN
In 2019 the events in the framework of the World Investor Week in the Astana International Financial Centre (AIFC) were held from 30 September to 6 October in 6 cities of Kazakhstan (Petropavlovsk, Aktau, Karaganda, Turkestan, Almaty, Nur-Sultan). The programme included Investor Awareness Roadshow, Almaty Finance Forum, Islamic Finance Week and Investor’s Day Conference. This is the second time when AIFC has joined the global campaign under the leadership of the Astana Financial Services Authority (AFSA).

The programme aimed at fostering deeper understanding of the consumers’ protection, financial services and business opportunities offered in AIFC, Green and Islamic finance, the benefits of registration and the educational and training programmes in AIFC.

More than 1 500 people attended events and lectures by leading experts in the field of financial literacy and investment culture. Including students and teachers of universities, as well as students of the Academy of Public Administration under the President of Kazakhstan.
The Programme of 2019 WIW in AIFC consisted of following 4 initiatives:

(1) Investor Awareness Roadshow

The Roadshow covered 6 largest cities of Kazakhstan, including Nur-Sultan, Almaty, Aktau, Turkistan, Petropavlovsk and Karaganda. AIFC experts envisaged presentations and information sessions for potential consumers of financial services, academic and business circles.
(2) Almaty Finance Forum

Jointly with Mayor’s Office of Almaty City the AFSA hosted Almaty Finance Forum. The event has been opened with welcoming remarks by Bakhytzhan Sagintayev, Mayor of Almaty city and Kairat Kelimbetov, Governor of AIFC. Topics related to how consumers and businesses in the regions of Kazakhstan could take advantage of financial services and investment opportunities originating from AIFC and protection of investors’ interests.

(3) Islamic Finance Week
Islamic Finance Week 2019 brought together world experts and local participants in the field of Islamic Finance. AIFC experts held master classes and trainings on actual issues of the Islamic finance industry development and organised the annual Islamic Finance Day conferences in Almaty and Nur-Sultan.

(4) Investor’s day Conference

The WIW has been concluded by the Investor’s Day Conference. Separate panel discussions were dedicated to the role of financial sector oversight in ensuring investor protection in AIFC and investment literacy in FinTech. Experts from AIFC and invited speakers from Australia, Luxembourg and UK talked about a wide range of financial services, investment tools and business opportunities in the AIFC in terms of protecting the rights of the financial services consumers.
Media coverage of the 2019 WIW in AIFC:

In order to provide broadest possible media coverage the following has been completed:

- 27 articles published, mostly in online platforms;
- 182 mentions in different social media, including posts and commentaries.
KENYA
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: Capital Markets Authority
Other IOSCO members
Other participants:
The Authority undertook the following initiatives as part of the World Investor Week 2019:

a) Social Media - Social Media continues to be an effective channel targeting all the youth sub-segments, it is in this regard that we developed content with more targeted and current infographics, animations and short educational messages on opportunities, products and services within the capital markets on investor protection tips that were shared and boosted on Social Media. This resulted in 99,559 likes and over 310 new followers.

b) Online Resource Centre Campaign – In line with creating incentives for the youth to increase their knowledge and attitude towards the capital markets, the Authority run a scavenger hunt competition on the Resource Centre Portal as well as an incentive for the public to visit the physical Resource Centre and completing a questionnaire that was included in a draw for prizes. The objective of the latter was to increase awareness as well as traffic in the Resource Centre. The scavenger hunt topics were in line with the departmental strategy as well as the WIW theme to include ‘Investment products and developments in the capital markets’, and ‘Investor protection’. A total of 3168 people visited the portal as a result of the competition where 956 participated and 60 people who physically visited the resource centre. CMA provided some prizes to participants drawn from a raffle who successfully complete the hunt on the Resource Centre Portal as well as those who visited the Resource Centre and completed a questionnaire as follows:

i. Resource Center Portal Scavenger Hunt
   - First Prize: Ksh’s. 30,000.00 to buy M-Akiba Bonds;
   - Second Prize: Kshs. 15,000.00 to buy M-Akiba Bonds;
   - Third Prize: Kshs. 5,000.00 to buy M-Akiba Bonds;
• Consolation prizes for 20 participants: Kshs 3,000.00 each to buy M-Akiba Bonds.

ii. Resource Center physical visit
• Resource Centre physical visit incentive prizes for 20 participants: Kshs 3,000.00 each to buy M-Akiba Bonds through a raffle.

Scavenger Hunt winners’ announcement
c) County Initiatives – Staff at the Authority visited vernacular radio stations targeting two major communities in Kenya. This was impactful as witnessed by the increase on inquiries and visits to the Authority form the specific communities.

d) Intermediaries outreach program– in an effort towards collaboration with market intermediaries, the Authority visited several intermediaries with a view to share and explore outreach joint initiatives as well as deliberate on best practices. This is destined to be impactful with respect to empowering intermediaries towards increased product uptake interventions.
e) Facebook Conference – The Authority hosted a Facebook conference specifically targeting the youth on matters pertaining to windfall winnings, gambling, investor protection and product awareness. A total of 433 people were reached with 73,000 views.

f) Crossword Puzzle – A revamped crossword puzzle was launched on Derivatives in line with the official launch of the product in Kenya.

CMA Crossword Puzzle
g) Forums - Forums targeting trustees of pension funds in Mombasa as well as a forum on Green Finance in Nairobi respectively.

Pension administrators’ forum

Panel discussion on Green Finance

h) Media coverage - The Events of the WIW were covered in the Daily Nation, Kenya’s largest newspaper by circulation.
MALAYSIA
WORLD INVESTOR WEEK 2019

National / Jurisdictional Coordinator: Securities Commission Malaysia (SC Malaysia)
Other IOSCO members: None
Other participants:
   a) Securities Industry Dispute Resolution Center (SIDREC)
   b) Financial Planning Association of Malaysia (FPAM)

As in the past, SC Malaysia is proud to have participated in the IOSCO’s World Investor Week 2019 from the 30th September to 6th October 2019 (“WIW 2019 week”) to raise awareness on the importance of investor education and investor protection.

SC Malaysia’s Activities during WIW 2019
Malaysia became among the first few countries that facilitates the trading and offering of digital assets with the introduction of a specific regulatory framework in January 2019. As such SC Malaysia’s focus for 2019 WIW campaign was on digital assets and what investors should look out for. This is a follow through from the previous year where the focus was given to initial coin offering (ICO) in Malaysia. In this regard, a 3-minute edumercial on digital assets was produced and aired on radio, website and social media platforms.

SC Malaysia also issued two 30 seconds public service announcements (“PSAs”) over major radio channels in Malaysia to warn the public to be more vigilant when investing and avoid illegal investment schemes.

Details of the initiatives are as follows:

1. Radio Edumercial
   SC Malaysia broadcasted a 3-minute InvestSmart® Video Series edumercial on digital assets that was aired twice on 4th October on Malaysia’s prominent business radio station (BFM 89.9) which reaches out to at least 400,000 listeners. The edumercial featured SC Malaysia’s Executive Director of Digital Strategy & Innovation, Mr Chin Wei Min who shared his views on digital assets and what investors should look out for.

2. Radio public service announcements
   During the WIW 2019 week, SC Malaysia broadcasted two 30 seconds PSAs over three major radio stations in Malaysia. The PSAs aimed to raise awareness among Malaysians on the features and modus operandi of illegal investment schemes. In total, the PSAs were broadcasted 49 times throughout the week.
Public service announcements titled ‘T.I.P.U’ and ‘Silap Labur, Duit Lebur’

Mr Chin Wei Min, Executive Director of Digital Strategy & Innovation at SC Malaysia

3. InvestSmart® website
   The 3-minute InvestSmart® video series edumercial on digital assets was also made available on SC Malaysia’s InvestSmart® website at www.investsmartsc.my.

4. Social Media Messaging on WIW
   In raising awareness of WIW, SC Malaysia also utilized its social media platforms to post educational messages throughout the WIW 2019 week.

WIW participation by SC Malaysia’s Affiliate and Stakeholders

(a) Securities Industry Dispute Resolution Center (SIDREC)
   In line with the key messages for WIW 2019, SIDREC as an SC Malaysia affiliate posted a series of bite size tips about smart investing practices specifically towards investing online. The series comprised of a daily posting for the entire week on SIDREC’s social media platforms such as Facebook, Instagram, Twitter and professional networking platform LinkedIn.
   The postings were complemented with text preambles to provide viewers with a link to SIDREC’s website and contact number should they be interested to make inquiries. Social media hashtags were also included with each post to tie-in with WIW 2019, IOSCO and the capital market industry aimed to synchronise its efforts as a collective part of the entire movement.
As a smart investor...

Never share access to your online investing account.
Including your family, friends, and your dealer representative or agent. You should also change your passwords regularly.

As a smart investor...

Be careful of wireless connections.
For example, when using public Wi-Fi connections. Ensure that your internet connection is secure before performing any form of online transactions.

As a smart investor...

Verify your financial service provider.
Check if they are authorized to offer investment services. Ask to see their contact information and verify their registration with regulatory authorities. Be cautious of high-pressure tactics and promises of high returns.

As a smart investor...

Understand your investment.
Make sure you read and understand the structure of the investment product, including the risk and cost of investment. Compare the terms and conditions of different investment options.

As a smart investor...

Ensure the investment product matches your risk profile.
Make sure the investment is appropriate for your risk tolerance. It's crucial to understand the possible outcomes and risks associated with the investment before proceeding.

As a smart investor...

Remember, if it seems too good to be true.
It probably is. Avoid promises of guaranteed high returns. It should ring alarm bells. Pause, ask questions, and seek professional advice.
(b) Financial Planning Association of Malaysia (FPAM)

On 2nd October 2019, the global financial planning community came together for World Financial Planning Day (#WFPD) and the 3rd annual WIW 2019 to help raise awareness of the value of financial planning, of having a financial plan, and of working with a competent and ethical financial planner who puts clients’ interests first. In relation this, FPAM held a roundtable discussion promoting financial literacy from a blogger’s perspective which was streamed live on Facebook and viewed by over 1,000 viewers.

Poster for Roundtable Discussion entitled Promoting Financial Literacy: A Blogger’s Perspective
MALDIVES
1. World Investor Week Activities and Events in the Maldives

To mark the World Investor Week 2019 (WIW2019), the jurisdiction sought participation from a variety of stakeholders. The programmes targeted secondary school pupils, college and university students, Maldives Police Service and the general public. The programmes conducted included a financial literacy forum, workshops on capital market and investing opportunities, a presentation to secondary school students and a debate competition held amongst teams of Islamic University of Maldives on topics related to capital market development. Furthermore, a Facebook Quiz was conducted during WIW2019 that included questions related to the capital market regulations and investing and governance. The details of various activities conducted during WIW2019 are presented in the following pages.
2. CMDA Facebook Quiz (1-7 October 2019)

The CMDA Facebook Quiz was open to all individuals owning a personal Facebook account in the Maldives. The Quiz took place between 1st October to 7th October 2019. Three questions were posted on the official Facebook Page of CMDA on each day of the WIW2019, giving rise to a total of 21 questions. A total of 79 Facebook users responded to the Quiz. However, only 16 participants answered all the questions. Of the 16 participants who answered all the questions, only one participant answered all 21 questions correctly. Seema Mohamed (FB user Syma Mohamed) of Fifty Flower, A.A. Ukulhas was the winner of CMDA Facebook Quiz of WIW2019.
3. Financial Literacy Forum (for College/ University Students)

The ‘Financial Literacy Forum’ held for students of universities and colleges in the Maldives was held on 1st October 2019. A total of 32 students took part in the programme. The forum covered areas such as FinTech, Maldives Retirement Pension Scheme (MRPS), Secondary Market and Islamic Finance. The programme was held in collaboration with Maldives Stock Exchange (MSE), Maldives Pension Administration Office (MPAO) and Maldives Centre for Islamic Finance (MCIF). The aim of the forum was to educate the students of tertiary education in the areas of financial technology, trading of financial instruments, provisions of MRPS and Islamic Finance. The sessions were run for a small group to enable more inter-activity and increase the level of two-way communication. The feedback from the session indicate that the participants gained new information and that they will be
3.1) Financial Technology (FinTech)

The session on Fintech included the recent developments in financial technology and the need for business to embrace financial technologies and the importance of being vigilant about the scams that come along with developments in technology. Technology was portrayed as a double-edged sword that must be used with care. Information provided also included the role of artificial intelligence and how it has been revolutionising the way we do business. The session on FinTech was delivered by Mr. Mohamed Ishraq Faisal, Senior Manager at Capital Market Development Authority.
3.2) Maldives Retirement Pension Scheme (MRPS)

A session on Maldives Retirement Pension Scheme (MRPS) and the general provisions from Pension Act was facilitated by Aminath Irthiyasha, Research Associate at the Maldives Pension Administration Office. Aishath Fazna, Manager Public Relations was present to respond to any questions the participants may have regarding the pension system of the Maldives. The session covered aspects such as need for retirement pension planning and the importance of retirement pension schemes, in particular that of MRPS. The participants asked questions related to early retirement, how pension fund benefits the investors and what mechanisms were present to encourage pension contribution from self-employed and salient features of MRPS and other pensions schemes/systems.

3.3) Maldives Retirement Pension Scheme (MRPS)

The session on trading of financial securities in the secondary market was delivered by Aishath Limya, Assistant Manager, Operations. The presentation covered the basics of capital markets, how funds are raised through capital market, the basic instruments used such as shares and bonds and how these instruments are traded in the secondary market.
3.4) Islamic Finance

The session on Islamic Finance was delivered by Ali Munavvaru, Head of Knowledge Hub at Maldives Centre for Islamic Finance. The sessions covered basic principles of Islamic finance, Islamic finance contracts and the difference between conventional and Islamic financial contracts. The session was well-received by the participants. The question and answers session attracted many questions regarding the contracts which indicate the need for specialised training based on Islamic finance contracts and products. The created awareness is expected to give a boost to the Islamic capital market operations and increase the level of participation from investors and issuers.
4. Workshop on Capital Market (for General Public)

The ‘Workshop on Capital Market’ was opened for any member of the public interested in the programme. The participants came from diverse backgrounds. They ranged from members of general public who had limited knowledge of the financial sector to chartered certified accountants and other practitioners in various business fields. The programme covered sessions on saving and investing, financial technology and Islamic finance. The areas covered included how saving can be improved, investing opportunities in the Maldives including the risk and return on various options. Financial technology session covered areas such as cryptocurrency, need to be vigilant about scams, how technology has revolutionised business including the use of artificial intelligence in business. The session on Islamic finance covered the basic principles of Islamic finance, main products and the opportunities for investment in the Maldives.
5. Financial Planning (for Students of Dharumavantha School) Workshop on Capital Market (for General Public)

A Session on ‘Financial Planning’ was conducted for the students of Dharumavantha School. A total of 50 students participated in the session, of which 35 were boys and 15 were girls. The session focused on inculcating the savings culture. It emphasised on the importance of distinguishing between needs and wants. It also included information on financial sector such as banking and non-banking financial institutions and financial intermediaries. The concept of consumer price index and purchasing power and financial planning was highlighted to emphasise the importance of investing. Furthermore, the importance diversification when investing and the need for developing entrepreneurial skills for building up own business was explained.
6. Debate Competition (Amongst student of Kulliyahs of Islamic University of Maldives)

A debate competition was held amongst students of Kulliyahs (Faculties) of Islamic University of Maldives. A total of six 5-member teams from four kulliyahs participated in the competition. The topics for debate were based on capital market. Special emphasis was given to current issues facing the capital markets. The topics themed around challenges to create a savings culture, regulatory challenges including over/under regulation and implications for business, market liquidity and the role of technology in developing the capital market and the need for broad-based participation from companies operating in major sectors representing the economy of the Maldives.

The first round of the debate was on a knock-out basis. From amongst the winning teams, the teams with the highest scores were qualified for the final round. Team Fanaaru and Batch 22 (from Kulliyah of Shariah and Law) played in the final round. Team Fanaaru won the final match and the grand prize of MVR 10,000. Certificates were awarded for winning team and the runners-up team by the Vice Chancellor of Islamic University of Maldives, Dr. Ibrahim Zakariyya Moosa. In his speech, as the Chief Guest, Dr. Zakariyya highlighted the role played by finance and the need for learning about managing finance and investing for future. His speech looked at financial management and investing from the perspective of Islam and why it is essential for everyone to have a basic understanding of finance for the benefit of their own well-being and for the sake of their progeny.
7. Workshop on Capital Market (for officers of Maldives Police Service)

The ‘Workshop on Capital Market’ was conducted in collaboration with Maldives Centre for Islamic Finance Ltd. A total of 52 officers participated in the programme and successfully completed the programme. The focus of the workshop is on importance of saving and opportunities available for investing; the role played by financial technology in revolutionising how businesses operate; and the principles and contracts of Islamic finance.

The session on saving and investing covered the concept of consumer price index, the need for financial planning, investing opportunities through capital market and financing opportunities available in the market. Furthermore, share and bond valuation basics as well as loan amortisation basics were covered in the session.

The session on financial technology covered history of financial technology, cryptocurrency, artificial intelligence and how technology is revolutionising business. Furthermore, smart contracts, cyber security, crowdfunding amongst other areas.
8. Information Dissemination via Social Media Platforms

8.1) WIW2019 – Activities by Maldives Stock Exchange

In celebration of World Investor Week 2019, Maldives Stock Exchange and Maldives Securities Depository covered the basics of investing through a sequel to our Napkins series. The following topics were covered during WIW2019. The table below highlights the reach, impressions and shares for each platform used. The mediums used for the sequel are Facebook, Twitter, LinkedIn & Instagram. For platforms such as LinkedIn and Twitter did not have indicative reach, only impressions were included for Twitter and LinkedIn posts.

<table>
<thead>
<tr>
<th>Day</th>
<th>Theme</th>
<th>Reach</th>
<th>Impression</th>
<th>Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 October 2019</td>
<td>Smart Investing</td>
<td>14,715</td>
<td>365</td>
<td>10</td>
</tr>
<tr>
<td>2 October 2019</td>
<td>Be Informed</td>
<td>4,806</td>
<td>468</td>
<td>3</td>
</tr>
<tr>
<td>3 October 2019</td>
<td>Diversification</td>
<td>5,350</td>
<td>613</td>
<td>8</td>
</tr>
<tr>
<td>4 October 2019</td>
<td>Investing</td>
<td>7,980</td>
<td>605</td>
<td>6</td>
</tr>
<tr>
<td>5 October 2019</td>
<td>Managing Portfolio</td>
<td>425</td>
<td>572</td>
<td>6</td>
</tr>
<tr>
<td>6 October 2019</td>
<td>Know Your Rights</td>
<td>12,114</td>
<td>437</td>
<td>2</td>
</tr>
<tr>
<td>7 October 2019</td>
<td>Be Tech-Savvy</td>
<td>6,435</td>
<td>527</td>
<td>4</td>
</tr>
<tr>
<td>TOTAL</td>
<td></td>
<td>51,825</td>
<td>3,587</td>
<td>39</td>
</tr>
</tbody>
</table>
8.2) WIW2019 – Information Shared on Social Media

In celebration of World Investor Week 2019, Maldives Stock Exchange and Maldives Securities Depository covered the basics of investing through a sequel to our Napkins series. The following topics were covered during WIW2019. The table below highlights the reach, impressions and shares for each platform used. The mediums used for the sequel are Facebook, Twitter, LinkedIn & Instagram. For platforms such as LinkedIn and Twitter did not have indicative reach, only impressions were included for Twitter and LinkedIn posts.

<table>
<thead>
<tr>
<th>Item Published</th>
<th>Reach</th>
<th>Engagement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Forum on Financial Literacy</td>
<td>2,859</td>
<td>778</td>
</tr>
<tr>
<td>WIW2019 Facebook Quiz</td>
<td>8,188</td>
<td>2,232</td>
</tr>
<tr>
<td>WIW 2019 Facebook Quiz- Winner</td>
<td>870</td>
<td>150</td>
</tr>
<tr>
<td>Financial Planning – Dharumavantha School</td>
<td>272</td>
<td>16</td>
</tr>
<tr>
<td>Workshop on Capital Market – Maldives Police Service</td>
<td>319</td>
<td>38</td>
</tr>
<tr>
<td>Maldives Islamic University-CMDA Debate Competition</td>
<td>429</td>
<td>24</td>
</tr>
<tr>
<td>Maldives Islamic University-CMDA Debate Competition – Winner</td>
<td>678</td>
<td>84</td>
</tr>
<tr>
<td>Workshop on Capital Market – for Public</td>
<td>294</td>
<td>61</td>
</tr>
<tr>
<td>TOTAL</td>
<td>13,909</td>
<td>3,383</td>
</tr>
</tbody>
</table>
MEXICO
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: National Banking and Securities Commission of Mexico (CNBV)
Other IOSCO members:
OTHER PARTICIPANTS:

The National Banking and Securities Commission (CNBV) annually participates in the National Financial Education Week coordinated by the National Commission for the Protection and Defense of the Financial Consumer (CONDUSEF) and the Mexican Bank Association (ABM). This four-day event takes place during the first weeks of October and is open to the general public.

The event is of importance since 55 private and governmental entities took part in the 2019 National Financial Education Week. Some of the participants are the CNBV, Bank of Mexico, CONDUSEF, National Insurance and Bond Commission (CNSF), Institute for the Protection of Bank Deposits (IPAB) and National Commission of the Retirement System (CONSAR), commercial banks, stated owned developments banks, micro-finance institutions and associations of financial institutions, such as the ABM.

The CNBV participates with a stand dedicated to financial education on the supervisory and regulatory attributes of the CNBV, savings, credit and investment, among other topics. Visitors engage in ludic activities and through their participation are provided with financial education, either by information or through questions.

In the 2019 one of the activities was the painting of a savings box and a flowerpot. The purpose was to explain the difference between short term savings (savings box) and investment (flowerpot). The idea transmitted on investment is that savings, beside its many uses, can be increased through investment. An investment resembles a flowerpot in which a seed is planted and together with the soil must be taken care of in order for it to grow. The same idea applies to savings invested in government bonds, treasury bills or in the stock market through the acquisition of shares or investment funds.

In addition, questions with multiple options related to investment were made in two other ludic activities. Examples of these questions are: 1) what is diversification when investing? 2) what is an investment fund? 3) what characteristics of a financial product you should analyze before investing?

With regard to the above mentioned stand, 3,546 persons visited it. Of the total, 43% were students and 44% employees. The age group with the most visitors were people between 19 and 30 years, representing 31% of the total. If we add all persons between 19 and 60 years old, those most likely to invest, this group represented 73% of the total visitors.

Due to the fact that the CNBV is a member of IOSCO the CNBV stand included the logo of the World Investor Week. Also, one CNBV senior officer conducted a lecture on “Financial Education and protection of the retail investor.”

The CNBV sent 981 e-mails inviting academics, governmental officers and experts on financial education to attend the National Financial Education Week and visit the CNBV’s stand.

The CNBV also published a press release on its participation in the National Financial Education Week. The release highlighted the lecture on “Financial education and protection of the retail investor” ([https://www.gob.mx/cms/uploads/attachment/file/500060/Comunicado_de_Prensa_059_S](https://www.gob.mx/cms/uploads/attachment/file/500060/Comunicado_de_Prensa_059_S)). In addition, the CNBV posted a blog in its Website and published messages in social media.
For the third year in a row, the Moroccan Capital Market Authority (AMMC) participated in the World Investor Week. The launch of this third edition was marked by the organization of a workshop bringing together the main stakeholders in the financial market, to discuss the implementation of financial education programs.

The AMMC, in conjunction with its missions of protecting and educating savers, has carried out several educational actions for the benefit of the target audience. These actions took place throughout the fourth quarter of 2019:

- Three presentations were organized by the AMMC for university students from major schools and universities. These sessions aimed to inform the target audience about the functioning of the capital market and to raise their awareness of the opportunities and risks related to investment in capital market.

- Two workshops were held with the participation of PhD students, many subjects were discussed: artificial intelligence, innovation, Islamic finance.

- The AMMC launched a project of development of a financial education mobile application, this tool will allow the public to become familiar with the basics of the capital market, to learn or review the frequent terms and expressions, the main aim is to improve public’s financial literacy and raise their confidence in financial markets.

- During the WIW campaign, the AMMC disseminated on social media, awareness messages to the public related to capital markets and investor protection.

- A legal and regulatory repository was published by the AMMC; the purpose of this repository is to provide the public and professionals with the latest version of the consolidated legal texts and circulars. This repository presents in an easy-to-use manner the legal framework related to the Moroccan financial market.

As for media coverage, the AMMC has published one press release and around 24 articles were disseminated through both printed and electronic media.

About social media coverage (LinkedIn and Twitter), 3 posts were shared with a total of 3800 views and 89 likes.
WIW 2019 in pictures

L'AMMC participe à la World Investor Week pour la 3ème année consécutive.

Rencontre pédagogique avec les étudiants de l'École Mohammadia des Ingénieurs.

RECUEIL DES TEXTES LÉGISLATIFS ET RÉGLEMENTAIRES

Décembre 2019

www.ammc.ma
WIW 2019 – Press review

L’AMMCC participe à la 34ème édition de la "World Investor Week".

صورة سوق الإسهامات تشارك في "السوق العالمي للمستثمرين"
Éducation financière
L’AMMC participe à la «World Investor Week»

L’Autorité marocaine du marché des capitaux (AMMC) participe à l’édition 2019 de la revue mondiale de l’investisseur (World Investor Week), un événement mondial qui vise l’éducation financière et la promotion des investissements, indiqué à travers un communiqué.

Initié en 2017 par l’Organisation internationale des Commissions de valeurs (OICV), la «World Investor Week» est un rendez-vous annuel mobilisant les Autorités de régulation financière à travers la planète dans l’objectif de promouvoir les activités touchant l’éducation financière et la promotion des épargnes, prétexte à communiquer.

Éducation financière
Programme de l’AMMC pour la World Investor Week

L’AMMC participe à la «World Investor Week»

Le programme de l’AMMC pour la World Investor Week comprend des ateliers de formation sur les investissements, des conférences sur les risques de l’investissement et des ateliers sur la gestion des épargnes. Les visites de sites de la finance mondiale et des expositions de produits financiers sont également prévus.

Éducation financière
Brillante participation à la «World Investor Week»

La participation de l’AMMC à la «World Investor Week» est une initiative marquée par une forte participation et une grande engagement des investisseurs marocains. Les ateliers et les conférences sont suivis par de nombreux investisseurs intéressés et passionnés par la finance et l’épargne.
NEW ZEALAND
**WORLD INVESTOR WEEK 2019**

**National / Jurisdictional Coordinator:** Gillian Boyes, Manager Investor Capability, Financial Markets Authority, New Zealand

Other IOSCO members:

**OTHER PARTICIPANTS:**

We focused on release of our new Managed Funds guide:
https://issuu.com/financialmarketsauthority/docs/fma045_managed_fund_guide_v4_singlepages

We also commissioned a local research firm to run a short research survey to find out whether low term deposit rates were causing people to look at other investment options. We used the research results confirming this to reference the new guide achieving media coverage on our national radio programme as well as across the two main newspaper platforms. Following on from the week, we have been advertising the guide on the Google display network.

During the week we also provided support to two New Zealand Shareholder Association events which each achieved around 100 attendees. Both events used the IOSCO branding.

Other activity included:
- Promoting the event in our industry newsletter
- Facebook and LinkedIn posts

Results included the media coverage listed below. Reach figures for print media are 1M plus, radio approx. 400K.

Social media and advertising statistics as below:

Google ads: 386,314 impressions; 280 clicks
Facebook: 18,649 reach; 590 clicks
Linkedin: 13,817 reach; 558 clicks
Landing pages: 453 reads
Website views of MF Guide: 91

**Managed funds media coverage:**

- NZ Herald
- 2x Stuff articles (second article here, plus syndication to 8 community newspapers)
- Good Returns
- interest.co.nz
- Law Society
- Radio NZ interview

PALESTINE
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: Dr. Bashar AbuZarour.
Other IOSCO member: Palestine Capital Market Authority PCMA.
Other participating organizations in Palestine:

- Ministry of Education.
- UN Women.
- Palestine Stock Exchange.
- United Securities Company.
- Target Jordan Palestine Securities. Al-
- Watanieh Securities Company.
- Global Securities Company.
- Sahem Trading & Investment Company.
- Lotus Financial Investment.
- Al-Wasata Securities Company.
- Al Arabi Investment Group.
- Bethlehem Chambers of Commerce and Industry.
- Tubas Chambers of Commerce and Industry.

PCMA has participated and supported IOSCO in its efforts by conducting several activities and events during the World Investor Week that took place between 30/Sep and 3/Oct 2019. PCMA has encouraged other stakeholders in Palestine to participate in IOSCO’s WIW by focusing their investor education and awareness programs during that week.

This year, PCMA has mainly targeted women in rural areas and SMEs owned by entrepreneur women in collaboration with UN-Women Palestine and other stakeholders. A related slogan has been identified for this year under the title: (TOGETHER TOWARD ENHANCING WOMEN’S ROLE IN THE INVESTMENT IN SECURITIES SECTOR). Moreover, PCMA targeted the other population in Palestine through its website, press releases and newspaper articles. PCMA has extended its target to more cities in Palestine and it targeted different segments in Palestine, such as school students. PCMA served different activities during the WIW to those segments in order to increase their understanding and awareness of the securities sector, to encourage them to invest in stock exchange and to protect all of the customers.

Several evaluation tools have been used to measure the effectiveness and impact of these events on the targeted audiences. Results turned out to be fruitful:

- PCMA launched the activities of the World Investor Week 2019 (WIW 2019). During Ring the bell ceremony, all partners called for enhancing women’s investment in the securities sector, rang the bell for Financial Literacy and Protection of Investors. The event included number of awareness lectures to raise the awareness of the financial sector in Palestine and a number of awareness brochures were distributed to the people attending the ceremony.
- Two specialized awareness workshops were held in Tubas and Bethlehem chamber of commerce and industry, targeting women in rural areas and SMEs owned by entrepreneur women in the cooperation of chambers of commerce. The number of the participated women reached 50, and these workshops mainly aim to enhance the financial knowledge and to enhance the saving and investing in the financial market in Palestine with the cooperation of the UN Women.
PCMA had published a sponsored campaign on its official page on Facebook and there was a great engagement and interaction. In addition, PCMA has published 6 key messages aiming to raise the awareness of the financial knowledge and the number of the reached of the posts on the PCMA official page on Facebook has reached between 12,000 and 76,000.

PCMA had produced and published an awareness film related to the WIW. The film contained key awareness messages to enhance the financial knowledge of the securities sector in Palestine. This awareness film was published on PCMA’s official website and the number of clicks reached 169 as well as PCMA official page “Facebook” and the number of reached was 75,000.

Palestine stock exchange PEX launched a competition titled “How to save and invest” on its Facebook official page which contains daily questions during the world investor week to enhance the knowledge of the financial sector, and there was a cash reward for each winner on a daily basis.

The number of clicks on PCMA official website portal for the World Investor Week reached 827. The WIW logo as an icon was placed on PCMA and Stock Exchange website as well as on the websites of the participating brokerage companies. Moreover, the portal contained a variety of icons including awareness messages, frequently asked questions, publications, awareness film, radio advertisements, media coverage, the participating parties and events schedule.

The number of news related to the WIW events that were published in newspapers, news agencies and official websites of securities companies and Palestine Stock Exchange reached 20. The number of interviews and radio programs broadcasted reached five.

Several school visits were conducted at the PCMA headquarter, Palestine Stock Exchange and another nine securities companies participating in the WIW event. These visits targeted school students from different schools and aimed to increase awareness among students about the securities sector in Palestine. Those visits were located at different cities in the West Bank and Gaza. The number of participating schools reached 16 schools along with 453 students (330 female and 123 male). A presentation about securities sector and an awareness film were conducted to them and the students raised a number of questions. Moreover, an awareness material about the non-banking financial sector in Palestine were conducted to the students. In addition, PCMA targeted 813 different schools through radio bulletins aimed to increase the knowledge of the financial sector.
Awareness workshops targeting women in rural areas and SMEs owned by entrepreneur women in the cooperation of chambers of commerce.

Ring the bell ceremony with the participation of UN women and Palestine Stock exchange.

School visit to the PCMA headquarter, Stock Exchange and other brokerage firms aimed to increase the awareness of the securities sector.
- A number of brochures and flyers were distributed during the world investor week in order to enhance the financial knowledge of the securities sector in Palestine.

- The PCMA portal and the key messages published and sent to the public to promote the world investor activities.
Palestine Stock Exchange PEX launched a competition titled “How to save and invest” on its Facebook official page which contains daily questions during the world investor week to enhance the knowledge of the financial sector.

PCMA has produced and published an awareness film containing messages to enhance the financial knowledge of the securities sector in Palestine.
PCMA has posted daily key awareness messages during the world investor week on PCMA official page on Facebook to enhance the knowledge of the financial sector in Palestine.
PANAMA
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: Superintendency of the Securities Market of Panama (SMV)
Other IOSCO members:
Other participants: Bolsa de Valores de Panamá (BVP)
Asociación de Oficiales de Cumplimiento (ASOCUPA)

The SMV participated in WIW 2019 with several activities during the month of October. As well as, an active twitter campaign during the week of September 30th to October 4th with key messages for investors to raise awareness of the importance of investor education and protection.

During the month of October, the SMV carried out different activities such as seminars, lectures, discussions and trainings. This year we collaborated with Panama Stock Exchange and the Compliance Officers Association participating in their events and talking about WIW 2019 aiming to spread IOSCO key messages for investors.

Focusing in increasing awareness of the role of the SMV and the advantages of the Securities Market, the activities were targeted to general public, university students, school students and staff of our regulated entities.

1 - Universities

On September 30, we had a seminar for the Interamerican University of Panama, focused on the SMV role, market participants and understanding the Panama Stock Exchange.
On October 11, the Interamerican University of Panama invited the Superintendent, Marelissa Quintero de Stanziola, as the keynote speaker of the launch of their new degree of Finance and Securities Markets.
On October 17th, we visited Panama University West Regional Center and gave a seminar for its students about handling an investment account, importance of due diligence in AML/FT and the SMV role and market participants.

2 - Schools

We visited four public schools during month of October and talked to students about the importance of saving and what is the securities market and how they can become future investors. We read the story of Ana La Rana Dorada, a story written by Dayana Calderón Perdomo, winner of story contest done by the SMV in 2014, the story is about the importance of saving and how can you invest for the future.
3 - Other participants

On October 3, the Compliance Officers Association organized a Conference, where the Superintendent, Marelissa Quintero de Stanziola participated discussing with other regulators about Panamas course of action regarding its inclusion in FATF grey list.

On October 4, we collaborated with Panama Stock Exchange and gave a lecture for general public about the SMV role, market participants, functions of a brokerage house and handling an investment account.
4 - Staff Training and XIII Investor Day

October 22-The SMV Staff received a training seminar given by ACAMS focused on strengthening AML/FT prevention.
October 23- Every year the SMV celebrates its Investor Day, an event intended to gather market participants, students, universities faculty, to discuss relevant topics about Panama Securities Market. This year in its XIII version, was focused on AML/FT prevention and risks matrix.

Programa XIII Día del Inversionista

- 7:30 a.m.- 8:00 a.m.: Registro de participantes
- 8:00 a.m.: Palabras de bienvenida por la Lic. Marelissa Quintero de Stanzioia, Superintendente de la SMV
- 8:15 a.m. - 10:30 a.m.: Taller Práctico de Matrices de Riesgo en EBR/AML/CFT por ACAMS

Temas de la actividad:
- Factores y normativa
- Enfoque en Gestión de Riesgos
- Ponderación de controles y tendencias
- Ejemplos y Prácticas

- 11:15 a.m.: Palabras de despedida por Representante de ADEN
In every event during the month of October, the SMV handed out flyers with information about IOSCO, WIW 2019 initiative including key messages for investors.

On October 15th the SMV quarterly newsletter was published. It was uploaded to the SMV website in the investor education section, which included an article about the importance of investor education and the SMV participation in WIW 2019.
During September 30th to October 4th, the SMV posted two daily post with key messages for investors and promoting activities during this week, earning 9.9K impressions.
PARAGUAY
The Comisión Nacional de Valores de Paraguay (CNV) participated at the so-called World Investor Week (WIW) 2019 by organizing ten open public talks at universities, lasting approximately two hours each. Several stakeholders stemming from the stock market and banking industry were invited as lecturers, including brokerage houses, trust funds, private banks, the stock exchange itself, among others. Every stakeholder hence developed a topic related to financial education and investor protection, while also highlighting important information within their own fields of expertise.

The first talk took place on September 30, at the Universidad Católica de Asunción (UCA), in the city of Pedro Juan Caballero (PJC), hosting about 150 participants, mostly university students from UCA and other universities around the city. Four lectures took place that day, with professionals kindly offering themselves to travel to PJC for the event, in order to discuss about regulation and situation of the stock market in Paraguay, investment grade and its benefits for the country, the different products dealt at the stock exchange, as well as a general introduction on prevention of money laundering and terrorism financing.

The second series of talks took place on October 1, at two universities. The first one occurred at the Universidad Americana (UA), in the capital city of Asunción, hosting about 140 participants, mostly Economics and Business School students from UA and other universities in Asunción. Six lectures took place that day, covering topics such as regulation and situation of the stock market in Paraguay, the electronic system of negotiation at the Stock Exchange, foreign capital markets and the importance of credit rating agencies, among other themes. The second one took place at the Universidad Católica de Asunción (UCA), in the city of Concepción, hosting about 90 participants, mostly university students from UCA and other universities around the city. Similar topics were covered in Concepción but with different experts giving those talks, who kindly offered themselves to travel to Concepción for the event.

The third series of talks took place on October 2, at two universities. The first one occurred at the Universidad Católica de Asunción (UCA), in the city of Villarrica, hosting about 120 participants, mostly university students from UCA and other universities around the city. Four lectures took place that day, with professionals discussing about regulation and situation of the stock market in Paraguay, the role and functions of market intermediaries, personal finance and the dematerialization of shares. The second one took place at the Universidad Nacional de Canindeyú (UNICAN), in the city of Salto del Guairá (SDG), hosting about 80 participants, mostly university students from UNICAN. Similar matters were covered in SDG, but with different experts offering those talks, who kindly offered themselves to travel to SDG for the event.

The fourth series of talks took place on October 3, at two universities. The first one occurred at the Universidad Nacional de Caaguazú (UNCA), in the city of Coronel Oviedo, hosting about 280 participants, mostly university students from UNCA and other universities around the city. Five lectures took place that day, with professionals kindly offering themselves to travel to Coronel Oviedo for the event, dealing with different topics such as: regulation and situation of the stock market in Paraguay, the role and importance of trust funds to diversify investments, as well as conscious use of money, among others. The second one took place at the Universidad Nacional del Este (UNE), in the city of Ciudad del Este (CDE), hosting about 90 participants, mostly university students from CDE and the
neighboring cities. Similar matters were covered in CDE, but with different experts offering those talks, who kindly offered themselves to travel to CDE for the event.

The fifth series of talks took place on October 4, at two universities. The first one occurred at the Universidad Autónoma de Encarnación (UNAE), in the city of Encarnación, hosting more than 200 participants, mostly university students from UNAE and other universities around the city. Six lectures took place that day, with professionals kindly offering themselves to travel to Encarnación for the event, in order to discuss about different topics such as: regulation and situation of the stock market in Paraguay, or even the role and importance of brokerage houses and trust funds to diversify investments, among others. The second one took place at the Universidad Nacional del Este (UNE), in the city of Santa Rita, hosting about 60 participants, mostly university students from UNE in Santa Rita and neighboring cities. Similar matters were covered in Santa Rita, but with different experts offering those talks, who kindly offered themselves to travel to Santa Rita for the event.

Last but not least, the sixth series of talks took place on October 23, at the Universidad Nacional de Asunción (UNA), in the city of Paraguarí, hosting more than 400 participants, mostly university students from UNA and other universities around the city. Similar topics as in the other talks were covered in Paraguarí, with several experts who kindly offered themselves to travel to Paraguarí for the event. It is important to mention that the latter was due to take place on October 2, but it had to be rescheduled for October 23 given a strike occurring on the original date.

It is also relevant to highlight that all attendees will receive a certificate for their participation during the WIW. Finally, presentations displayed in the abovementioned events are public and currently available at the CNV’s website: www.cnv.gov.py
The Superintendence of Securities Market (SMV) of Peru participated for the third consecutive year in the WIW, recognizing with this the importance of investor education as a mechanism for the development and promotion of securities market, given the direct and positive impact that this has on its development in the medium and long term.

Thus, from October 14 to 20, the SMV, together with other institutions, carried out different activities with the aim of raising awareness among investors and the general public about the relevance of investor education and his protection.

In that way, the SMV, included a special section of World Investor Week in its Securities Market Portal (www.smv.gob.pe), where the main activities and key messages of the campaign were described. In this section, the general public could review the complete program of activities and register in those of their interest, as well as review educational materials such as videos, brochures, infographics and comics related with the securities market.

WIW 2019 – Report
Main activities carried out

Several free activities were carried out for different segments of the population, in order to present and focus the suitable topics according to the interests of each group. The main activities were the following:

- University Meeting with the Securities Market, held on October 14 at the Catholic University of Santa María in the city of Arequipa, which was aimed at university students who could get information and guidance on the securities market in modules attended by officials of SMV, BVL, AFM and ASAB. In addition, topics related to investor protection, investment through the stock exchange and investment through mutual funds were addressed through a conference. It was attended by approximately 310 students.

- School Meeting with the Securities Market, held on October 15 and was aimed at secondary level students of the High Performance College of Arequipa. It was attended by 100 students.

- Business Meeting with the Securities Market, held on October 15 in the Chamber of Commerce of Arequipa, which had as main audience the entrepreneurs of the Southern Macro Region. It was attended by 20 attendees.

- Seminar “Investment Alternatives in the Securities Market”, aimed at the general public, held on October 16 in the Chamber of Commerce of Lima and attended by around 220 people. Topics related to investor protection, investment through the stock exchange and investment in mutual funds were presented by SMV officials and other market participants. It should be noted that this event was transmitted via Webinar, which made it possible to expand the audience.

- University Meeting with the Securities Market, held on October 17 at the National University of Engineering (UNI) in Lima. During the meeting, representatives of the SMV, BVL, AFM and ASAB provided information and guidance to university students and the general public about the securities market. In addition, the Seminar “Investment Alternatives in the Securities Market” was held, in which presentations were made about the SMV role and investor protection, as well as the alternatives offered by the stock market to invest. It was attended by approximately 330 students.

- Conference “Introduction to the Securities Market and SMV Role”, was carried out on October 18 for students of President of Peru High School. This conference was attended by about 140 students.

- Senior Citizen Guidance Session, held on October 18, in order to present to this segment of the public the investment alternatives available in the securities market, according to their profile, as well as the available mechanisms for information and consultation. In this way, two officials of the SMV presented topics related to investor protection and mutual funds. It was attended by around 100 people.
Free guided visit to the BVL, was carried out on October 18, with the purpose that the interested public in general can meet the facilities of the BVL and how the local market works and operates. It was attended by almost 100 people.

Stock Market game, promoted by the BVL, which had as its objective that a team made up of 4 or 5 people could experiment trading with shares, in such a way to learn through a simulator the keys to invest. The awards were held on October 18, prior to the closing bell of the week. The stock market game had the participation of more than 1,000 people.

Social network and media campaign

The use of SMV’s social networks (Facebook) was intensified to disseminate information, objectives and the WIW’s activities as well as the key messages of the campaign (two messages per day). Likewise, an event was created on Facebook.

On the other side, an online banner in the Grupo El Comercio (9 portal webs) was contracted for fifteen days, which is estimated to have an approximate coverage of 45 million navigators. It should be noted that through this banner the WIW 2019 activities were disseminated, including a link that addressed the WIW section of the SMV Portal, through which 1,756 readers of said portals accessed the registration page of the WIW 2019 events.

Likewise, notices were published in the Diario Peru 21, spreading the central events of the week, as well as various messages that people should take into account when investing in the securities market.

Other initiatives

Articles about WIW 2019 were published in the SMV’s Blog, on the web site of the country’s main financial newspaper and in a magazine specialized in capital markets, which make it possible to reach a specialized audience, but which also allowed expanding the reach of the WIW’s dissemination.

It should also be noted that the closing of the WIW 2019 activities occurred with the traditional bell-ringing at the BVL, with the participation of the Superintendent of the Securities Market and other authorities.

Main results

WIW 2019 made it possible to reinforce the dissemination of messages and key aspects to be taken into account in order to participate in the securities market, using various technological, written and face-to-face tools to obtain the widest possible reach, surpassing the results obtained in WIW 2018. Thus, it is estimated that with the written publications it was possible to reach approximately 560 thousand people; through Facebook, 36 publications were made with a total of 63,421 people reached, in addition the contents achieved 565 likes, 130 times were shared and 75 comments. Finally, the physical attendance to the activities was about 2,320 people, more than 40% increase compared to the previous year.
Traditional bell-ringing at the Lima Stock Exchange (BVL)

Seminar “Investment Alternatives in the Securities Market” - Chamber of Commerce of Lima
University Meeting with the Securities Market - National University of Engineering (UNI - Lima)

School Meeting with the Securities Market - High Performance College of Arequipa
POLAND
On 4 October 2019, as part of the third World Investor Week campaign, the Polish Financial Supervision Authority (UKNF) in cooperation with the Warsaw Stock Exchange Foundation (WSE Foundation), the Financial Ombudsman and the Centre for Education Development (CED) held a seminar ‘CYBERSECURITY from the perspective of financial service users’, addressed to methodology advisors, teachers of economics and vocational training courses, and other teachers interested in finance, e.g. school coordinators of the Global Money Week campaign. The purpose of the seminar was to provide an overview of the key aspects of cybersecurity in financial services from the perspective of customers (financial market participants), in the form of a presentation of a case study.

The following topics were discussed during the seminar:

- Today’s security challenges in cyberspace
- Safe Internet: reality or fiction?
- Privacy in the digital world
- Digital and financial exclusion
- Market participant/customer as a vulnerability, target and tool for cyber criminals
- Classic examples of attack vectors used to target financial service users: case study
- Online theft of money: customers’ rights

At the end of the meeting, the UKNF and the WSE Foundation presented their initiatives in the area of financial education.

A visit to the WSE in Warsaw was an extra highlight for the participants.

The meeting was held on 4 October 2019 at the WSE in Warsaw.

The seminar was free and attended by 30 participants.
PORTUGAL
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: CMVM
Other IOSCO members:
OTHER PARTICIPANTS:

As in 2018, CMVM’s participation in WIW19 was steered together with eight relevant stakeholders of the Portuguese financial market, amongst them the other two Regulator of the Financial System, Bank of Portugal (BdP) and Insurance and Pension Funds Supervisory Authority (ASF). Stakeholders as Euronext Lisbon (Lisbon Stock Exchange), AEM (Listed Companies Association) APB (Portuguese Banking Association), APIFPP (Investment and Pension Funds Association), APAF (Portuguese Association of Financial Analysts) and APS (Portuguese Insurance Association) were the remainder six partners. We believe the partnership with these entities enriches the experience and maximizes the dissemination of key messages to investors.

It is important to mention that on the development of the program, two main concerns impacted our choices: (i) that several categories of investors were exposed to our actions and that (ii) our initiatives can benefit communities and public outside of Lisbon. The main initiatives are listed below and reflect the above-mentioned concerns.

“CMVM Conversations” – Artificial Intelligence as a path to financial well-being

In a special edition of “CMVM Conversations”, and as part of the program of WIW 19, the challenges posed to investors in a context of digitalization were debated, as well as new business models especially those based on Artificial Intelligence (AI). Recent developments and its applicability within financial services, as well as the role Regulators should play in investor protection were subject of a lively discussion.
Financial education to high school students

Considering the importance given by CMVM to financial education as a tool that should be provided to citizens as earlier as possible, and reflecting what happened in previous editions of WIW, we organized a financial education session in a high school in the North of Portugal.

The importance of saving, saving in different life phases, the paramount role of information, the role of CMVM and the need for responsible and informed behavior from investors were among the topics dealt in the session.

“Chat with generation Z” – Focus Group

In partnership with ISEG - Lisbon School of Economics and Management, and in their XLAB Behaviour Research Lab, a focus group was organized with the objective of furthering knowledge on generation Z (born after 1995), a generation under some scrutiny by Regulators, given that it is the first real digital generation and typically will be the next generation of investors. Two focus groups were organized, and for one afternoon we talked informally about savings, investment, financial markets, man versus machina (robot-advise), risk perception and risk management.

The “script” was developed by CMVM and two professors of finance of ISEG, covering the themes above mentioned. Some questions were asked and debated around the table, others were given in paper in multiple choice format and then debated, in order not to condition responses.
Open Day in banking – “Come to your bank and talk with CMVM”

A repeat from last year, this initiative was organized in partnership with the Portuguese Banking Association (APB). CMVM’s staff went to bank branches across the country, in 7 capital districts plus 5 branches in Lisbon of 8 different commercial banks, to talk with regular clients (retail investors) of the mentioned banks.

This year we felt it was important to go back to basics in terms of messages and topics under discussion. Hence, we started by creating a flyer named “Tips to the Investor” and then based on the tips, we organized a 1:30 session followed by half an hour of Q&A.
Some of the topics approached in the sessions were: (i) How to be a better investor, (ii) there are costs involved, (iii) careful with promised return investments, (iv) diversify your portfolio, (v) know your risk profile, (vi) be aware of fraud, (vii) digital channels bring new opportunities but also new challenges and (viii) do not forget the world, amongst others.

CONFERENCE – “Getting digital finance right”

On the 3rd of October we organized a mid-day Conference were the challenges and impacts of digitalization in financial services were debated as well as their impacts to investors. CMVM’s partners, professors, a representative from the United Nations (UNCTAD), market stakeholders and representatives of several financial intermediaries discussed digitalization, its impacts, risks and how to manage those risks and whether digitalization constitutes a threat or a contribute to the development of financial markets.
Within the Conference we announced the winner of the first edition of the Financial Innovation Contest, that had been launched during last year WIW Conference to a dissertation on “Traditional and Challenger Banks in the UK: Comparison in Terms of Customer Value”.

Signature of a Protocol between CMVM and 13 Portuguese universities on Investors Studies in Portugal, followed by Workshop

The objective of the Protocol is the development of studies about the Portuguese investor, to deepen knowledge about its characteristics and the type of behavior biases that condition its choices and decisions.

During the workshop, where one of the speakers was a representative of Consob, two studies were presented and debated “Education, financial knowledge and numerical skills: do they help avoiding unnecessary trading fees and “Financial disclosure, risk perception and investment choice”.

“Tips to senior investors” – Session for senior investors

During the morning of the 4th we visited a center for elderly people, where a session on principles of responsible investment was organized for a group of vulnerable investors.

Key messages about the importance of informed and responsible decisions were debated in an informal but animated conversation, where the following themes were discussed: know your financial intermediary, know your investment profile, investment in retirement, diversify your portfolio, consider costs and commissions, investment return and fraud amongst others.

This session was developed having as base the above-mentioned tips to investors, adapted to a more vulnerable public.
### WIW19 – Key figures

<table>
<thead>
<tr>
<th>Category</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Partners</td>
<td>8</td>
</tr>
<tr>
<td>Number of initiatives</td>
<td>7</td>
</tr>
<tr>
<td>Number of direct participants</td>
<td>± 620</td>
</tr>
<tr>
<td>New launches</td>
<td>5</td>
</tr>
<tr>
<td>Press releases</td>
<td>9</td>
</tr>
<tr>
<td>Printed news generated</td>
<td>32</td>
</tr>
<tr>
<td>TV presences</td>
<td>2</td>
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<tr>
<td>Estimated number of persons reached through media campaign</td>
<td>± 400.000</td>
</tr>
<tr>
<td>ATM users (cash machines)</td>
<td>1,610,400</td>
</tr>
<tr>
<td>Social media impressions</td>
<td>68,032</td>
</tr>
<tr>
<td>Social media likes</td>
<td>846</td>
</tr>
<tr>
<td>Social media supporters/followers</td>
<td>15,264</td>
</tr>
</tbody>
</table>

1. 5 flyers devoted to investors
2. Social media impressions

WIW 2019 – Report
Examples of some of flyers targeted at investors and published on WIW19 (can be downloaded from our site).

1. During the week, we placed a WIW add in cash machines in the cities of Aveiro, Braga and Faro.
2. CMVM used Facebook, Twitter and LinkedIn.
ROMANIA
Financial education is the foundation for a good understanding of the concepts that adults face in life. Only a population that knows how to manage their revenues and how to achieve savings can lead to maintaining a general financial equilibrium. Financial capability, as a result of financial education, determines the economic growth and population welfare.

During October, under the umbrella of the World Investor Week 2019 (WIW), the FSA has organized different activities, targeted to school students, university students, teachers, volunteer trainers and to the general public, such as seminars, trainings, conference or public meetings. The messages transmitted focused on the importance of investor education and protection and aimed at raising public awareness of the importance of financial education as follows:

❖ Opening of the Bucharest Stock Exchange (BVB) with a group of 90 high-school students from The Commercial High School „Nicolae Kretzulescu” from Bucharest, part of the FSA financial education program. In the first part of the meeting, representatives of FSA and BVB gave a presentation on the role of the capital market and the stock market in the life of an adult. Next, FSA representatives organised an interactive investment finance game enjoyed by the participants. The event took place in the Millennium Hall of the Bucharest Stock Exchange Building and was promoted on FSA and BVB webpages.

❖ During the third day of WIW, FSA organized a Conference at Academy of Economic Studies – The contribution of the non-banking financial market to the development of the Romanian economy with the participations of 60 people from financial institutions, insurance companies and other professional associations.
Throughout WIW, FSA representatives held:

The program dedicated to pre-school and primary school children which involved organizing activities for 2 educational units in Bucharest by performing non-formal education activities (through games) for 80 children.

The program dedicated to the economic high schools - The Commercial High School „Nicolae Kretzulescu” and Economic High School „Virgil Madgearu” gathered 567 students.

Programs addressed to students in pre-university education – *Let’s talk about the financial non-banking market* with the participation of 198 students.
Student-dedicated actions were carried out through The Academic Laboratory program within the following universities: The University of Craiova, The Drobeta Turnu Severin University Center, The „Babes-Bolyai” University, The University of Oradea for 450 students.

Simultaneously FSA representatives held two train-the-trainer seminars addressed to schoolteachers interested in improving their knowledge in non-banking financial markets and another two seminars for the volunteer trainers involved in pre-university program. The seminars gathered 62 teachers (Oradea and Cluj-Napoca) and 17 volunteer trainers (Oradea and Cluj-Napoca). Financial Education events were organised in Oradea and Cluj-Napoca. The main objective of this initiative is to increase people’s level of education in capital market. The events allowed us to directly interact people while distributing 1500 educational materials.
In order to celebrate WIW, the Central Depository issued free of charge account excerpts for the shareholders resulted from the mass privatisation program present at the headquarters of the institution, so that they will learn the investment fund/company where they are shareholders.

On Friday, October 4th, 2019, in Bucharest, FSA had organized for primary school pupils a presentation regarding investments and money, teaching financial concepts presented in a way appropriate to their age.

The FSA Facebook page completed the campaign with dedicated posts, the total impact during WIW reaching over 48,500 people.

From the media point of view, these events organized by the ASF were well received and appreciated. Below are media coverage that refers to WIW's ASF actions:

1. ASF celebreaza educatia financiara in cadrul World Investor Week  
   Source: Curierul National
2. Educatie financiara in scolile din Romania  
   Source: Monitorul de Vrancea
3. ASF celebreaza educatia financiara in cadrul World Investor Week  
   Source: Starea Presei
4. ASF celebreaza educatia financiara in cadrul World Investor Week  
   Source: Financiariul
5. ASF celebreaza educatia financiara in cadrul World Investor Week  
   Source: Transilvania Business
6. ASF celebreaza educatia financiara in cadrul World Investor Week  
   Source: Monitorul de Suceava
7. ASF celebreaza educatia financiara in cadrul World Investor Week  
   Source: Evenimentul Regional al Moldovei
8. ASF celebreaza educatia financiara in cadrul World Investor Week  
   Source: Ziare Com Sibiu
9. ASF celebreaza educatia financiara in cadrul World Investor Week  
   Source: Ziarul Profit
10. ASF celebreaza educatia financiara in cadrul World Investor Week  
    Source: Romania Libera
11. ASF organizeaza evenimente de educatie financiara in cadrul World Investor Week  
    Source: Profit
12. ASF celebreaza educatia financiara in cadrul World Investor Week  
    Source: Sibiu News
13. ASF celebreaza educatia financiara in cadrul World Investor Week  
    Source: BZC
14. ASF organizeaza World Investor Week. Tinerii din invatamantul gimnazial, liceal si universitar vor face cunostinta cu noutiunile economice din mediu non-bancar  
    Source: Banking News
15. ASF celebreaza educatia financiara in cadrul World Investor Week  
    Source: Stiri Astazi
16. ASF celebreaza educatia financiara in cadrul World Investor Week  
    Source: Rasunetul
17. ASF celebreaza educatia financiara in cadrul World Investor Week, in perioada 30 septembrie - 6 octombrie 2019  
    Source: Ziarul Profit
Source: Cronica Romana
18. ASF celebreaza educatia financiara in cadrul World Investor Week - Ziar Gazeta de Cluj
Source: Gazeta de Cluj
19. ASF celebreaza educatia financiara in cadrul World Investor Week. 100 de liceeni au participat la deschiderea sedintei de tranzactionare a BVB
Source: Manager
20. ASF celebreaza educatia financiara in cadrul World Investor Week. 100 de liceeni au participat la deschiderea sedintei de tranzactionare a BVB
Source: Gazeta de Cluj
21. ASF celebreaza educatia financiara in cadrul World Investor Week
Source: Asig 1
22. ASF celebreaza educatia financiara in cadrul World Investor Week, in perioada 30 septembrie - 6 octombrie 2019
Source: Investing Romania
23. ASF celebreaza educatia financiara in cadrul World Investor Week, in perioada 30 septembrie - 6 octombrie 2019
Source: Asig 1
24. ASF celebreaza educatia financiara in cadrul World Investor Week
Source: Economistul
25. ASF celebreaza educatia financiara in cadrul World Investor Week
Source: Agerpres
26. ASF celebreaza educatia financiara in cadrul World Investor Week
Source: DC News
27. ASF celebreaza educatia financiara in cadrul World Investor Week, in perioada 30 septembrie - 6 octombrie 2019
Source: Agerpres
28. ASF celebreaza educatia financiar in cadrul World Investor Week
Source: Cuget Liber
RUSSIA
In 2019, the Russian Federation participated in World Investor Week for the third consecutive time.

On September 30, 2019, the start of WIW-2019 was marked with a live webcast by the First Deputy Governor of the Bank of Russia Sergey Shvetsov on social media (Facebook, VK and YouTube). In total, the speech generated over 8,000 unique views and over 100 questions from the audience on a wide range of topics varying from the concept of categorization of investors to investing into crypto assets.

For the Bank of Russia, WIW was a great opportunity to draw public attention to various investment opportunities and the associated risks, as well as highlighting the Bank of Russia function of protecting the rights of investors and financial services consumers.

Bank of Russia specialists developed educational and informational materials amplifying IOSCO's key messages recommended for nationwide dissemination.

In 2019, the Bank of Russia first included online lessons dedicated to different aspects of investing into the scope of educational events. The new format allowed the regulator to increase the number of participants in WIW events and to reach a broad audience of senior students.

During WIW, 206,035 schoolchildren from 4,798 educational institutions (schools, technical colleagues and orphanages) from all Russian regions participated in online lessons on financial literacy. Online lessons were aired 60 times and based upon these 10,591 educational events were held accompanied by 65 Bank of Russia employees from 16 departments. Some lecturers were invited from partner organizations including Moscow Exchange, FINAM Holdings, AK Bars Bank, PJSC Sberbank and PJSC commercial Bank “Center-invest”.

The English version of the website on online financial literacy lessons has been designed specifically for WIW-2019 (http://dni-fg.ru/wiw#rec128198100).

In 2019, the Bank of Russia held offline lessons in schools and lectures in higher educational institutions for the third year in a row – a continuing tradition.

The number of offline educational events amounted to 484 with 411 participating schools in 85 regions. A total of 22,458 students were reached.

In 2019, WIW received a coverage with about 300 references in federal and regional media. The media messages focussed mainly on upcoming online and offline activities; some reverted to WIW by publishing reports following WIW-events.
On October 1, 2019, the Association for Promoting Financial Literacy conducted a webinar marathon. The overall number of attendees amounted to 30,000 with 3,427 registered users including 2,433 educational institutions.

On October 3, the Federal Foundation for the Protection of Investors and Shareholders Rights, in cooperation with the Euroasian Economic Commission, held the IV International Conference on Investor Protection as a part of the WIW. About 300 participants from 6 countries, including Russia, attended the conference. The key topics of the discussion were countering mis-selling in the financial market, ensuring digital security for financial services consumers, combating misconduct among financial market participants and classification of retail investors. More details are available on the official webpage of the conference: http://fedfond.ru/conference2019/
WIW-2019 in pictures

Live webcast by the First Deputy Governor of the Bank of Russia Mr. Sergey Shvetsov

The Bank of Russia announces WIW-2019

Russia to hold World Investor Week

From 30 September to 5 October, the 3rd World Investor Week will be held in Russia and, at the same time, in over 50 countries worldwide. This is a global initiative organized by the International Organisation of Securities Commissions (IOSCO) in line with IOSCO objectives, the Bank of Russia seeks to better inform the public about investment opportunities in the financial market and about the regulator’s activity with respect to protecting investors and financial consumer rights.

On 30 September, Investor Week will be opened with a live address by the First Deputy Governor of the Bank of Russia Sergey Shvetsov on the regulator’s social media sites. Followers of the Bank of Russia’s Facebook, VK, and YouTube accounts will be able to learn about principal innovations that will be implemented in the securities market, about the latest trends in the regulations of the segment of the financial market, and about the protection of the rights of retail investors. They will also be able to ask Sergey Shvetsov their questions. The live session will start at 7:30 PM Moscow time.

On 1 October, the Association for Financial Literacy Improvement (AFL) will hold a webinar marathon on financial planning and investment. The webinar will cover topics that any private investor needs to have a good understanding of: from personal financial planning to analyzing financial portfolio ratios. The marathon will take place from 9:00 AM to 2:40 PM Moscow time.

On 3 October, the 4th International Conference on Promotion of Rights of Financial Consumers: Financial Safety Congress will be held in Moscow with the participation of Ksenia Yudina, First Deputy Governor of the Bank of Russia. The protection of retail investors will be among the conference’s major topics.

Over the course of World Investor Week, senior students will have an opportunity to attend online classes on investment basics, investments in securities, and using various financial instruments. Bank of Russia experts will give lectures and hold seminars in schools, colleges, and universities all over Russia on smart investment activity in the financial market.

Furthermore, educational events will be held in all Russian regions arranged by representatives of financial institutions, members of the Expert Council on Financial Literacy under the Bank of Russia, and other financial market participants.

23 September 2019
Online lessons webpage

In 2019, the World Investor Week will include online lessons on financial literacy held in schools throughout Russia providing students with basic knowledge on investing and stock market functioning.

Online lessons within the WIW week are supported by the Association for the Development of Financial Literacy.

ONLINE LESSONS ON FINANCIAL LITERACY

Financial market professionals will speak in every school.

Project partner: Mind.com

Project point
Project goals
Format of online lessons
How to participate in online lessons?

TOPICS

ON CLOSE TERMS WITH MONEY OR WHAT IS FINANCIAL LITERACY FOR?
PERSONAL FINANCIAL PLAN – A GOOD WAY TO MEET YOUR GOAL
FINANCIAL INSTRUMENTS AND INVESTMENT STRATEGIES

BANK DEPOSITS: A WAY TO SAVING AND MULTIPLYING FUNDS
SHARES: KEY POINTS FOR APPRENTICE INVESTOR
STOCK EXCHANGE AND BASICS OF INVESTING
Offline lessons in schools and lectures in higher educational institutions
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: CMA (Capital Market Authority)
Other IOSCO members:
Other participants:

Brief
Capital Market Authority (CMA) in Saudi Arabia participated in WIW 2019 by applying multiple channels to reach the targeting audience. Messages were created in alignment with IOSCO C8, moreover tactical massages were delivered during on-ground activities. The WIW 2019 in Saudi Arabia was on CMA’s main Awareness programs which are “Thameen” and “Smart Investor”. “Thameen” is targeting current & potential investors whereas “Smart investor” is targeting Teens, Youth, and parents. Digital platforms such as social media and events were major activates to reach the audiences.

Main Messages

- A society with high financial awareness
- Plan your financial future from an early stage
- Investment is a decision taken upon knowledge
- Protect your investment: know your rights
- Professional development
Main Channels

Channels

Social Media
Posts
School visits
Videos / Motion graphics
University visits
Workshops

Social Media – Reach

Universities Visits
Schools Visits

Created Videos

The difference between institutional and retail investment

Risks resulting from dealing with unauthorized forex
Social Media Posts

Information sources and rumors

Dealing with authorized people

Investment products and your investment strategy
SINGAPORE
Singapore’s World Investor Week (WIW) 2019 comprised a series of interactive townhall sessions aimed at giving retail investors a platform to engage with, and learn more about how to invest more wisely from industry experts. The townhall sessions were held from 30 September to 3 October 2019 at the Central Public Library, a format that was reprised from WIW 2018 following positive reception from investors. WIW 2019 collectively attracted nearly 750 participants across a broad spectrum of age groups and profiles.

Kicking off WIW 2019 was a townhall session on “Dealing with Financial Institutions”, where retail investors engaged with industry speakers about how to better safeguard investors’ interests when dealing with financial institutions and their representatives. Investors were also interested in learning more about frameworks that were in place to protect investors, such as the “Balanced Scorecard Framework” that provides guidelines for remuneration and commission policies for financial institution representatives.

In the second townhall session on “Building a Portfolio”, participants were very interested in finding out about investment strategies, and how different asset classes (such as bonds, real estate investment trusts, exchange traded funds, and gold) interact to form a well-balanced portfolio, while keeping an eye on the economic uncertainties. One of the panellists shared how his Central Provident Scheme (Singapore’s national pension scheme) funds featured in his retirement planning, sparking keen interest among participants.

Discussions were very lively for the third and fourth townhall sessions on the topics of “Passive vs. Active Investing” and “Understanding the Market”, where participants expressed a myriad of views and advocated for their preferred strategies. They also peppered the panellists with questions on what to look out for in the looming economic downturn, particularly for passive investors who were wondering if a “buy and hold” approach was wise.

This year’s World Investor Week commemoration was also capped by a new activity, as part of an initiative between the World Federation of Exchanges and the International Organisation of Securities Commissions. Our partners were invited to take part in the striking of the gong at the Singapore Exchange on 4 October 2019, traditionally a ceremony to signal the start of the trading day, to symbolise support for investor education.
Gain an understanding of how to build portfolios for individuals with different risk profiles, and the benchmarks that can be used. Also, get advice on how to construct a diversified portfolio with limited capital. Join us for the "Building A Portfolio" townhall tomorrow, 1 October, from 7pm to 830pm at the Programme Zone, Central Public Library.

Sign up at the link below, and do remember to post your questions on Pigeonhole too. See you there!

https://www.sgxacademy.com/wiwsg/
NEF investor education workshops

The FSCA partnered with the NEF to conduct investor education workshops for emerging entrepreneurs and business owners in Mpumalanga and Free State provinces of South Africa, in October 2019 as part of the World Investor Week (WIW) initiative. The programme entailed imparting knowledge to business owners and emerging entrepreneurs as well as empowering them on different funding requirements, business planning and mentorship. The FSCA covered the following topics:

- different types of investment products;
- dealing with licensed financial services providers;
- tips on common tactics used by pyramid schemes operators; and recourse mechanisms.

Four workshops and presentations were conducted reaching approximately 1794 emerging entrepreneurs and business owners were reached through this programme.
Financial Literacy Speech Competition

The Financial Sector Conduct Authority implemented an annual Financial Literacy Speech Competition for Grade 11 (16 - 17-year-old) students in South Africa’s historically under-resourced schools in rural and township locations. The competition topics were based on the following themes; money management (budgeting, savings and investments); financial planning for the future and entrepreneurship. This year’s topics were:

1. Savings make cents!
2. Failing to plan means planning to fail....
3. Being an entrepreneur is the woke thing to do!

The competition ran over four rounds; a school elimination round; a school district round; a provincial round and finally a national round. The students were required to present a five-minute speech on one of the above-mentioned topics and were adjudicated using a standard rubric which emphasised the 21st century skills of critical thinking, creativity, collaboration, communication, information literacy, media literacy, technology literacy and flexibility.

Over 750 secondary schools entered a total of 3850 students for the 2019 version of the competition. The prizes awarded included tablet devices, investment prizes and full tertiary studies bursaries to the value of R120 000 for each of the nine provincial winners. The investment prizes are administered through the FPI, whose members provide pro-bono services to the winners and their families.

The competition started in May 2019 and culminated in a national final held during WIW, on 4 October 2019.
SPAIN
CNMV has supported the World Investor Week (WIW). The WIW was held during the first week of October. Throughout the week, CNMV promoted it by having the logo on its homepage.

IOSCO’s press release was published on the social media to announce the WIW.

In Spain, the third edition of the WIW began with the Ring the Bell Ceremony on the Madrid Stock Exchange. Angel Benito, member of the CNMV board, participated in it. Tajinder Singh, IOSCO Deputy Secretary General, Nandini Sukumar, WFE Chief Executive Officer and Javier Hernani, CEO of BME, participated as well.

https://www.youtube.com/watch?v=v5v6vmXBzZ8

Social media:

CNMV disseminated key messages in order to increase the awareness of the importance of investor education and investor protection and a number of posts have been shared on Twitter.

- CNMV shared the following 10 posts on Twitter during the week (translated into Spanish):
  - A smart investor verifies that an investment professional is licensed
  - A smart investor conducts research on a product before investing.
  - A smart investor assesses the impact of fees when choosing an investment
  - A smart investor plans for and invests according to his/her future needs and goals
  - A smart investor recognizes the importance of diversification
  - A smart investor recognizes the benefit of long-term, regular and diversified investment
  - A smart investor understands that risk exists in all investments.
  - A smart investor recognizes the red flag warning signs of online investment fraud
  - A smart investor does not forget about the importance of due diligence when considering investments in online and digital environments.
  - A smart investor understands the risk that are associated with initial coin offerings and crypto-assets in general and is careful in deciding whether to invest in these products.

Each of the social post reached more than 7200 people.
➢ Three Infographics were shared on Twitter as well:

1- Ten advices to avoid a fly-by-night operations
2- How to know your investor profile
3- Fintech

➢ One video: What is the CNMV and how can it help you?  
https://www.youtube.com/watch?v=3hpXgdPDMuc

Some pictures are included:

- Ring the bell ceremony:

![Ring the bell ceremony](image1.jpg)

- Post

![Post](image2.jpg)
- Infographies:

CHIRINGUITOS FINANCIEROS

1. Verifique que la entidad está autorizada y registrada en la CNMV
2. Pregunte sobre las características del servicio y de la inversión que le proponen. Pida información por escrito
3. Desconfíe de las siguientes señales de alarma: llamados o correos inesperados, urgencia de la inversión o esquemas piramidales en los que le piden que copie clientes
4. Manténgase alerta ante ofertas no solicitadas a través de sus redes sociales
5. Desconfíe de productos que la prometan alta rentabilidad sin riesgo
6. Comisiones y gastos muy elevados pueden ser indicio de inversiones fraudulentas
7. Asegúrese de que los productos existen y contraste la información sobre su cotización
8. Desconfíe si no lo evalúan la conveniencia y/o idoneidad del producto a través de un perfil o cuestionario
9. Si no le devuelven su dinero, no realice más aportaciones
10. Informe a la CNMV sobre cualquier incumplimiento sospechoso y denúncie en la Policía o el Juzgado

Teléfono de atención al inversor: 018005245245
¿COMO DETERMINAR SU PERFIL INVERSOR?

Para determinar usted mismo su perfil como inversor, debe conocer las respuestas a las siguientes preguntas:

¿CUÁL ES MI PUNTO DE PARTIDA?
Se trata de definir su situación financiera actual: ingresos, gastos, etc...

¿CUÁLES SON MIS METAS CONCRETAS?
Es imprescindible determinar cuáles son sus objetivos financieros, de un modo realista y concretos.
Por ejemplo, "ahorrar $10.000 pesos en los próximos tres años para hacer un curso en el extranjero" es un objetivo concreto que incluye para cuánto, cuándo y finalidad.

¿DE CUÁNTO TIEMPO DISPONGO PARA ALCANZAR EL OBJETIVO?
Esta respuesta dependerá de lo anterior y le ayudará a determinar el tipo de productos que pueden interesarlo.

¿QUÉ NIVEL DE RIESGO ESTOY DISPUESTO A ASUMIR?
Esta cuestión tiene un componente objetivo (su capacidad financiera, lo que puede permitir o no) y otro subjetivo (sus conocimientos financieros, su forma de ver y cómo influye en su tolerancia al riesgo).

En caso de que decida acudir a un profesional para que le asesore personalmente a lo que se refiere a su cuenta, debe tener en cuenta que el ahorro o inversión de dinero tiene un carácter directo y personal.

MÁS INFORMACIÓN EN WWW.WORLDINVESTMENTWEEK.ORG

WIW 2019 – Report
SRI LANKA
In parallel to the global initiatives by the International Organization of Securities Commissions (IOSCO), the global standard setter for the securities sector, the Securities and Exchange Commission of Sri Lanka (SEC) together with the Colombo Stock Exchange (CSE) also concluded their World Investor Week with a range of investor-focused activities to promote investor awareness from 30th September to 6th October 2019. During the WIW, the local activities were focused on raising awareness about the importance of investor education and protection, and to highlight the various initiatives of securities regulators in these two critical areas. A range of programmes targeting students, undergraduates, industry professionals, investors and general public were conducted to enhance their knowledge and understanding of the capital market and to create a pool of knowledgeable investors locally. As a result, the following initiatives were taken to completion;

1. Investor Education Cartoons

The SEC Sri Lanka published a series of cartoons titled “Investing in your future” in print media. The cartoons were published in weekly and daily newspapers throughout the WIW in all three languages (Sinhala, Tamil and English) which is commonly used in Sri Lanka to educate the investors on basics in investing. The cartoons covered key areas including savings and investments, opening a securities account, how to select shares, the role of SEC, role of a stockbroker etc.
Additionally, a series of advertisements based on individual characters were further used to pass the essence of ‘investing wisely’ to the general public. This campaign carried a clear, short and a direct message to its readers. This chain was shared in print media and on certain selected digital platforms during the WIW 2019.

2. SEC and CSE joined peer exchanges to Ring the Bell for Financial Literacy

The SEC and the CSE joined stock exchanges from around the world to Ring the Bell for Financial Literacy, as part of the World Investor Week 2019 global campaign. Ring the Bell ceremony in Sri Lanka took place on 04th October 2019 at the CSE trading floor at 08.45 a.m. The event gathered senior representatives of the capital market fraternity as a call to action to improve financial education and literacy in Sri Lanka.
3. Cautionary Notices to the General Public

The SEC received a number of complaints from investors who incurred losses by investing in shares of companies traded on offshore jurisdictions expecting guaranteed high returns. The SEC published a cautionary notice in print media in all three languages during the WIW cautioning the general public about SEC’s jurisdiction over agents of foreign stockbrokers operating in Sri Lanka.

4. Press articles on various subjects on the capital market

SEC SL also took measures to publish press articles in English, Sinhala and Tamil on various subjects pertaining to the capital market of Sri Lanka. These articles carried the message of investing wisely for existing and potential investors.
5. Conducting an Investor Forum

To coincide with the WIW and establish a deeper understanding of investing in the capital market of Sri Lanka, improving financial literacy (capital market) and imparting knowledge on the types of investment products and services available in the securities market amongst the general public, the SEC together with the CSE concluded an Investor Forum at the Sudu Araliya Hotel in Polonnaruwa, Sri Lanka.

This forum brought together over 300 participants that comprised of both existing investors and potential investors. The presentations outlined an in-depth introduction to investing in the Colombo Stock Exchange and a detailed analysis of the sectoral performance and market opportunities. The presentations were followed by a panel discussion comprising of industry professionals, where the questions raised by the gathering were answered on the spot. Participants were also facilitated with the opportunity to interact on one to one basis with Stock Brokers who were present at the forum to give investment advice.
6. Small and Medium Scale Enterprise (SME) Forum

To coincide with the WIW 2019, SEC together with the CSE conducted a SME Forum in view of the ‘Empower’ – the SME Board which was launched at CSE for SMEs in Sri Lanka which contribute over 50 percent to the GDP of the country.

The main aim of the seminar was to educate the entrepreneurs on the new listing Board by providing them with an in-depth knowledge on how to be listed, rules and regulations in effect. The seminar also outlined the greater heights that these enterprises could reach by being listed, as the most critical challenge faced by this sector is the difficulty in accessing finance and the ability to grow and expand.

7. Investor Education for the general public

This year, as a part of the WIW 2019, the SEC and CSE formed a unique opportunity by designing and constructing a stall at the World Trade Centre Colombo allowing the stock brokering companies and Unit Trust companies to showcase the benefits of investing in the stock market to the general public.

The stall was manned by 09 stock brokering firms and 03 unit trust companies from 3rd October 2019 to 4th October 2019.

The two-day stall received an overwhelming response from the walk-in public with a significant number of Central Depository System (CDS) accounts being opened on the spot. In addition, the stall was also visited by groups of school children, professionals and the general public to acquire information about investing in the securities market.

8. Investor 2019 – An all island school quiz

In celebration of the WIW 2019, the SEC decided to unleash the potential and the interests of the future generations through a quiz competition which was held targeting the school students island wide. The questions for the quiz were formulated on the “Capital Markets of Sri Lanka” section which is covered in the Commerce Stream Advanced Level syllabus under the module for Business Studies.

All the schools in the island were given the opportunity to elect and to send one student from the relevant field of study. The MCQ examinations were conducted on the same day at a same time in 25 examination centers islandwide.
The winners from the provincial levels will also be awarded with monetary and appreciation gifts while the finals are planned to be conducted during phase two with the support of a leading TV station in Sri Lanka as a TV Quiz programme. This move is expected educate the younger generation of the country on the Capital Market.
**WORLD INVESTOR WEEK 2019**

National / Jurisdictional Coordinator: Finansinspektionen (Swedish Financial Supervisory Authority)

Other IOSCO members:

Other participants: Gilla Din Ekonomi ("Like Your Economy"),

**Posts about fraud on Facebook**

During World Investor Week, Finansinspektionen organised several blogposts on Facebook regarding investment frauds and personal finance. The target group was adult Swedish citizens. The ambition was to make the target group less likely to be deceived by investment fraud. The reach is so far 4,352 people.

**Short video about risk**

A short video about risk that can be used in personal finance courses was released during World Investor Week. Risk is a fundamental concept when it comes to personal finances, and consumers need to know what risk is in order to be able to make well-informed decisions. Consumers need to know how much risk they want to take in their savings and how risk-averse they are, and to know this they need to know what risk means. In many contexts, risk is only negative, but risk and returns are also related, and risk thus plays a crucial role in savings. The total reach is so far 833 people.

**Podcast on investment fraud**

Finansinspektionen released a podcast on investment fraud during World Investor Week. Guests include Lars Malmström from the Swedish FSA and Lotta Mauritson from the Swedish Police. In order to strengthen the financial skills of individuals the campaign focused on providing information about frauds, how impostors operate and how citizens might avoid frauds. The reach so far is 1,334 people.
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: Securities and Exchange Commission
Other IOSCO members: Stock Exchange of Thailand
Other participants: Related Associations

The Securities and Exchange Commission

Having endorsed the importance of investor education and protection in line with the IOSCO C8 mandate, the SEC, Thailand has joined the World Investor Week campaign for the third consecutive year.

This year’s activities during the week of 30 September – 6 October include educational events, launch of digital tools for financial and investment decisions as well as promotional messages of the campaign through mass and social media. With a view to enhancing knowledge about the capital market as an important source of funds for businesses and investment channel for savers, our target audiences include potential investors, SMEs and the public

1. Events

1.1 Exhibition booth

As part of the project “SEC Caravan” to provincial areas, the SEC has arranged for an exhibition booth in the financial/investment-promotion event the “Money Expo” in the Northeastern province of “Udonthani” during October 4-6, 2019. During the 3-day event, key messages conveyed to the event participants are, e.g. capital market and the SEC roles, advices as to how to start investing, proper investment process that can help investors achieve their investment goals, what investors should know about digital asset investment, and how investors can protect themselves from frauds and scams etc. There are around 330 local potential investors visiting the booth.
1.2 Seminar for SMEs

Beside the exhibition booth in “Money Expo”, a half-day seminar was also organized in Udonthani, to introduce local SMEs to capital market fundraising opportunity. The seminar was organized in cooperation with the local authorities, trade/industrial associations, SMEs federation as well as bankers’ club. The seminar was attended by approximately 170 target audience.

The “SEC Caravan” to provincial areas is one of the SEC’s latest initiatives announced in May this year, aiming to distribute investment and capital market knowledge to target audiences in certain provinces outside Bangkok. Given the statistics that at present 75% of the investors in and 85% of the entities raising capital through the Thai capital market reside in Bangkok and the vicinity which is quite concentrated, the initiative is undertaken to reach out to more potential investors and fundraisers, especially SMEs in the provinces. The initiative is also in response to the National Strategy in the areas of financial inclusion and democratized access to the country’s financial markets.
2. Digital tools

During the week, we have officially launched two of our latest digital tools, i.e. SEC Check First and Retirement Check-up through various channels, e.g. face-to-face event, articles online, press release, TV news programs and social media, reaching around 1 million people.

SEC Check First is Thailand’s first ever mobile application by which the public can search for products, persons (individual and juristic) as well as entities approved or licensed by the SEC. The information can be used to help identify if the investment solicitations are possible frauds/scams. As of the third week of November, there have been around 45,430 downloads already.

The other tool, Retirement-Checkup is a user-friendly tool by which users can make self-assessment on their retirement readiness. Also embedded in the tool is the chatbot feature developed on “line” application platform which is the most popular communication application in Thailand. The feature helps enhance convenient use of the tool which in turn makes it more easily accessible to general public. So far, the tool has been downloaded by about 4,430 users.
3. Promotional messages through various channels

To promote the launch of this very important international event to the public at large, we also run a public relations campaign through various mass media including newspapers, web sites and social media.
The Stock Exchange of Thailand

On September 30, at the start of the World Investor Week 2019, the Stock Exchange of Thailand (SET), as a member of the IOSCO and the World Federation of Exchanges (WFE), launched the inaugural “Ring the Bell for Financial Literacy 2019” event in Thailand, reinforcing its role in enhancing financial literacy, a core mission SET has carried on for youths, public, investors and business community for 18 consecutive years.

Together with 30 other exchanges worldwide, SET organized the event with an aim to raise awareness globally why financial literacy for investors matters. In line with SET’s vision: To Make the Capital Market ‘Work’ for Everyone, this initiative is instrumental in promoting financial literacy at an early age among young people and the public in general.

For 18 years, SET has consistently promoted financial literacy for audiences across all segments, including youths, the public, investors, and business community as well as capital market professionals. The cascaded actions include organizing diverse topics of seminars and training programs through various learning channels and establishing state-of-the-art capital market learning center at SET’s headquarter in Bangkok, which is called Maruey Knowledge & Resource Center and INVESTORY, the first investment discovery museum in Thailand.

On this same occasion, the said Center also celebrated its 15th anniversary by launching a new book corner called “Innovative Entrepreneurship” filled with over 600 Thai and international books as well as electronic resources that focus on business potential enhancement and innovation for new entrepreneurs, in accordance with the government’s direction to groom small and medium enterprises and startups to become key mechanism that drives the country’s economy. The Center was running an exhibition, providing knowledge about business operators in digital era.
UNITED STATES
WORLD INVESTOR WEEK 2019

OTHER IOSCO MEMBERS:
- Financial Industry Regulatory Authority (FINRA)
- U.S. Commodity Futures Trading Commission (CFTC)
- National Futures Association (NFA)

OTHER KEY PARTICIPANTS:
- North American Securities Administrators Association (NASAA)

SEC staff, FINRA, CFTC and supporters participated in several outreach events and activities with a goal of amplifying WIW’s key messages. Some noteworthy activities and accomplishments in the United States include:

- On September 30, 2019, in recognition of WIW, the FINRA Investor Education Foundation released new fraud research titled, “Exposed to Scams: What Separates Victims from Non-Victims.” The 24-page report shows that scams involving online purchases and social media had the highest engagement and victimization rates—outpacing telephone, mail and email fraud. In addition, social isolation and low financial literacy levels correlate with likelihood of losing money to a fraudster.

- On October 1, the SEC issued a press release announcing its planned outreach activities during WIW, including in-person events focused on encouraging individuals to start early to save and invest for their futures. The SEC’s Office of Investor Education and Advocacy also issued a joint investor bulletin with the CFTC, FINRA, and NASAA on three tips for WIW 2019.

- On October 2, the FINRA Foundation, in collaboration with the Stanford Center on Longevity, hosted a conference titled “The State of Financial Fraud in America,” bringing together a diverse group of experts to share research, information, and ideas to help reduce and prevent financial fraud.

- On October 3, the SEC’s Retail Strategy Task Force hosted a roundtable on combating elder investor fraud.

- On October 4, NFA, together with representatives from the CFTC, FINRA, and SEC, conducted a free webinar for investors titled, Investor Education: Your First Line of Defense against Fraud.

- SEC staff, the CFTC, and FINRA (and the FINRA Foundation) and WIW supporters participated in over 50 in-person events and actively promoted World Investor Week online and via social media.
Staff at SEC’s Washington, DC headquarters celebrated the kickoff to WIW.

Jeffrey Boujoukos, Director of the SEC’s Philadelphia Regional Office, promoted investor education during WIW with Jillian Lazar and Marion Quirk of the Delaware Department of Justice.

Staff from the Miami Regional Office talked to students at Southwest High School during WIW.

Lisa Roberts (left) of the SEC’s Miami Regional Office with an attendee at her presentation to U.S. Southern Command in Doral, FL.

At the FINRA Foundation’s fraud research conference, victim advocates with the National Telemarketing Victim Call Center discuss an investor’s journey from victim to fraud fighter.

Cindy Hoekstra of the Philadelphia Regional Office speaks with an attendee at the 2019 Senior Citizen Expo.

Students engaged in an investor education presentation hosted by the SEC’s Miami Regional Office.

Anna Huntley of the SEC’s Office of Investor Education and Advocacy led a discussion on investing with United Women in Business.

Judith Weinstock and Yvette Fuentes of the New York Regional Office presented at the Bronxworks Senior Center for WIW.
Staff from the SEC’s Offices of Investor Education and Advocacy and Public Affairs hosted a Twitter chat on the basics of investing.

SEC Office of Investor Education and Advocacy Director Lori Schock participated in an interview with The Senior Zone radio show.

Tom Manganello of the SEC’s Office of Investor Education and Advocacy at Joint Base Andrews.

WFE
WORLD FEDERATION OF EXCHANGES
WORLD INVESTOR WEEK 2019 - RING THE BELL FOR FINANCIAL LITERACY

BSX to ring in World Investor Week
Royal Gazette 26th September – News

Monday marks the beginning of World Investor Week organised by the International Organisation of Securities Commissions. The BSX has announced its participation in support of the World Federation of Exchanges, of which it ...

https://article.signal-ai.com/628ac31c-6807-35b6-a1b3-8b81419fa26f?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

BSX rings in World Investor Week
Royal Gazette 1st October – News

... day to draw attention to “Ring the Bell for Financial Literacy”, a joint initiative of the International Organisation of Securities Commissions and the World Federation of Exchanges. Bells were rung to open or close ...

https://article.signal-ai.com/2c616016-eb0b-335d-8683-bfe3c5cd7f88?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

Video: BSX Marks Start Of World Investor Week
Bernews 30th September – News

The Bermuda Stock Exchange [BSX] marked the start of trading this morning [Sept 30] in support of the World Federation of Exchanges, of which it is a Board Member, with the International Organization of ... 

https://article.signal-ai.com/71309f90-6ca0-3266-ba77-8ce9ef9429ea?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

BSX To Ring The Bell For Investor Literacy
Bernews 26th September – News

The Bermuda Stock Exchange [BSX] announced that in support of the World Federation of Exchanges, of which it is a Board Member, it will once again be participating in the International Organization of Securities Commissions’ ...

https://article.signal-ai.com/9e2380d0-2200-32cf-a65a-d90e550c2d74?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx
QSE rings opening bell to promote financial literacy
Gulf Times (UAE) 1st October – News

... event at which the opening bell was rang to promote financial literacy and boost financial inclusion in response to a call by the World Federation of Exchanges (WFE). The WFE partnered with the...

https://article.signal-ai.com/7c769901-477a-3d6b-86df-ed218bfb5858?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

The Qatar Stock Exchange is participating in the World Investor Week celebration by ringing the bell.
Mubasher (Arabic) 1st October – News

... opening of the conference in response to the invitation of the International Federation of stock exchanges and the participation of the ...

https://article.signal-ai.com/9bacaefe-6100-3b90-9b87-a5311d940526?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

The Spanish Exchange rings the bell for financial literacy to mark the start of the trading session
Economic News (Information Agency Oreanda) 1st October – News

From left to right: ?ngel Benito, Member of the Board, CNMV; Nandini Sukumar, WFE Chief Executive Officer; Javier Hernani, CEO, BME, and Tajinder Singh, ...

https://article.signal-ai.com/2edfc3a5-ffae-3fb7-8b7d-68c77fec9286?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

SET holds international Ring the Bell for Financial Literacy 2019 first time in
Newswit.com 1st October – News

The Stock Exchange of Thailand (SET), as a member of International Organization of Securities Commissions (IOSCO) and World Federation of Exchanges (WFE), has launched inaugural “Ring the Bell for Financial Literacy 2019” event in ...

https://article.signal-ai.com/ea9fc2fa-82eb-3c1f-b25f-f35a0ebd017e?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx
‘Ring the Bell for Financial Literacy’ kicks off in HCM City
VOVNews 30th September – News

At the event (Photo: Sai Gon Giai Phong Finance and Investment newspaper) The occasion is held in response to World Investor Week 2019 which is being run by the International Organization of the ...

https://article.signal-ai.com/a716eae8-2387-3286-9feb-b4b0046192d0?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

PSE schedules activities for Financial Literacy Week
Business Mirror 23rd September – Print

... for its Financial Literacy Week, an initiative in line with the observance of World Investor Week (WIW) from September 30 to October ...

https://article.signal-ai.com/de400bc0-2abf-336d-a7bf-5cc3c7213404?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

The stock exchange shares 33 stock exchanges celebrating World Investor Week.
Alborsanews.com 30th September – News

... Academy of training opened today’s Monday trading session, participating in the events of World Investor Week, on the basis of the promises ...

https://article.signal-ai.com/1a702f98-a8f6-3c39-870f-58760bc2a3da?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

LuxSE, CSSF and Minister for Finance Ring the Bell for Financial Literacy
ABBL 4th October – News

... the Commission de Surveillance du Secteur Financier (CSSF), celebrated a special Ring the Bell ceremony as part of the 3rd edition of World Investor Week on Friday 4 October. The official ceremony ...

https://article.signal-ai.com/6a95c3f1-05c0-3074-b2f2-5cf4ed09f959?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

Bahrain Bourse rings bell for financial literacy
Bahrain News Gazette 7th October – News

... bell ringing ceremony on Thursday (October 3, 2019) as part of its participation in the World Investor Week initiated by IOSCO and the World Federation of Exchanges. Chief Executive Officer of Bahrain Bourse, Sh. ...

https://article.signal-ai.com/a403dc00-988d-3584-936e-aa0c477f24b7?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx
Dares Salaam Stock Exchange chief says financial literacy key in capital market business
The Citizen (Tanzania) 7th October – Print

‘According to the World Federation of Exchanges (WFEs), exchanges and market infrastructure providers will be working together with regulators to promote financial literacy ...

https://article.signal-ai.com/fe04e95f-9e5d-3254-aba9-49f422e229ec?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

CSE and SEC ring bell for financial literacy
Daily Financial Times 7th October – Print

... for Financial Literacy initiative’ in 2019, which is an initiative pioneered by the World Federation of Exchanges to bring stakeholders together for a ...

https://article.signal-ai.com/87ca004e-2d95-34ae-a184-f0562cbe6c7?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

The Bahrain exchange regulates the effectiveness of bell-ringing with a view to spreading financial culture.
Bahrain Bourse (Arabic) 6th October – News

... organized by the International Organization of financial market authorities (IOSCO) and the world exchange Federation (WFE). The chief executive of the exchange, Sheikh ...

https://article.signal-ai.com/cb013b7b-9302-32e7-9e4e-1b673562e5f9?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

Bahrain Bourse rings the bell for Financial Literacy
Bahrain News Shafaqna 6th October – News

... a bell ringing ceremony on Thursday, 3rd October 2019 as part of its participation in the World Investor Week initiated by IOSCO and the World Federation of Exchanges. Chief Executive Officer of Bahrain Bourse, Sh. ...

https://article.signal-ai.com/034af9c99-f333-3a9d-abb1-8eb5b6459a50?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx
The Bahrain exchange regulates the effectiveness of bell-ringing with a view to spreading financial culture.
Argaam 6th October – News

... its participation in the World Investor Week event organized by the International Organization of financial market authorities (IOSCO) and the world exchanges Union (WFE), the Bahrain exchange organizes the effectiveness of ...
https://article.signal-ai.com/4b59c90c-9440-3bca-9645-98f8c8f56e5e?u=53e7bfa0-0919-4a36-a5bc-4e1908e781eb&origin=docx

BHB rings the bell for financial literacy
Gulf Daily News 6th October – Print

... Investor Week initiated by the International Organization of Securities Commissions (IOSCO) and the World Federation of Exchanges. BHB chief executive Shaikh Khalifa ...
https://article.signal-ai.com/1ccce8bd-13d-8b8-8f1ba04207d?u=53e7bfa0-0919-4a36-a5bc-4e1908e781eb&origin=docx

Bahrain Bourse rings bell for financial literacy
Bahrain News Gazette 5th October – Print

... of its participation in the World Investor Week initiated by IOSCO and the World Federation of Exchanges. Chief Executive Officer of Bahrain Bourse, ...
https://article.signal-ai.com/d30e389b-3234-3d54-965-000f2f8e9ec?u=53e7bfa0-0919-4a36-a5bc-4e1908e781eb&origin=docx

Bahrain Bourse rings bell for financial literacy
Bahrain News Agency (BNA) 5th October – News

... bell ringing ceremony on Thursday (October 3, 2019) as part of its participation in the World Investor Week initiated by IOSCO and the World Federation of Exchanges. Chief Executive Officer of Bahrain Bourse, Sh. ...
https://article.signal-ai.com/eba94701-2156-3e9-83ae-b9076aaff759?u=53e7bfa0-0919-4a36-a5bc-4e1908e781eb&origin=docx
The Bahrain exchange regulates the effectiveness of bell-ringing with a view to spreading financial culture.

Al Bilad 5th October – News

... its participation in the World Investor Week event organized by the International Organization of financial market authorities (IOSCO) and the world exchanges Union (WFE), the Bahrain Stock Exchange hosted the event...

https://article.signal-ai.com/71a98909-b8ab-332d-952e-019a62b032f9?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

WFE2019 World Investor Week in response to the “financial literacy“ gong ceremony

Commercial Times 5th October – News

In response to the World Investor Week 2019 (WIW) event organized by the International Securities Regulatory Agency (IOSCO) and the World Federation of exchanges (WFE), TTC organized a ceremony to promote financial literacy and...

https://article.signal-ai.com/5b96338e-76e8-367f-a1ac-909c3245646f?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

The Bahrain exchange regulates the effectiveness of “bell-ringing” within the effectiveness of the World week.

Akhbar al-Khaleej 5th October – News

... its participation in the World Investor Week event organized by the International Organization of money market authorities (IOSCO) and the world exchange Federation (WFE). The chief executive of the exchange, ...

https://article.signal-ai.com/f4359173-bcb7-3a11-a268-eae14d6a6438?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

Nigerian Stock Exchange Hosts Inaugural Ring The Bell For Financial Literacy

Mondo Visione 4th October – News

The Nigerian Stock Exchange (NSE) joined The World Federation of Exchanges ("WFE") and International Organization of Securities Commissions ("IOSCO") in the inaugural "Ring the Bell for Financial Literacy" initiative, using its Closing Gong ceremony...

https://article.signal-ai.com/35398600-67b5-32cf-8ba8-d6300532036d?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx
Bahrain Bourse takes part in World Investor Week
Daily Tribune (Bahrain) 7th October – News

Bahrain Bourse (BHB) hosted a bell-ringing ceremony on Thursday as part of its participation in the World Investor Week initiated by IOSCO and the World Federation of Exchanges. Chief Executive Officer of Bahrain ...

https://article.signal-ai.com/9439af9f-1915-31b7-8487-a7c3d38dbf1?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

World Investor Week 2019 Unveiled
Shanghai Stock Exchange 11th October – News

, the Shanghai Stock Exchange (SSE) holds an opening ceremony of the World Investor Week 2019. Jiang Feng, SSE President, Que Bo, SSE Executive Vice President, Huang Yong, Vice General Manager of China ...

https://article.signal-ai.com/a3529e62-9f5b-3b85-85a1-d47e271673a?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

World Investor Week: NSE joins WFE, IOSCO to promote importance of financial literacy
Daily Times Nigeria 8th October – News

The Nigerian Stock Exchange (NSE) has joined The World Federation of Exchanges (WFE) and International Organization of Securities Commissions (IOSCO) in promoting the importance of financial literacy. The Exchange hosted the inaugural “Ring ...

https://article.signal-ai.com/95277fb9-c3b8-3594-9ec6-5921c6a49a15?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx

CSE and SEC ring bell for financial literacy
Daily Financial Times 6th October – News

... Commission of Sri Lanka (SEC) joined stock exchanges from around the world to ring the bell for financial literacy, as part of the World Investor Week 2019 global campaign. The event gathered senior ...

https://article.signal-ai.com/2327ac10-a6ac-3df2-8aa6-9f33c650f2e3?u=53e7bfd0-0919-4a36-a5bc-4e1908e781eb&origin=docx
RING THE BELL FOR FINANCIAL LITERACY, WORLD INVESTOR WEEK 2019 – EVENT SUMMARY

- 39 WFE members participated in ‘Ring the Bell for Financial Literacy’ across all three regions.
- World Investor Week 2019 (WIW) and the ‘Ring the Bell for Financial Literacy’ initiative were both publicised on WFE social media channels (Twitter and LinkedIn):
  - The WFE tweeted on a daily basis in support of each member on the day they were scheduled to ‘Ring the Bell for Financial Literacy’ or launch their WIW 2019 event.
  - The WFE’s tweet in support of the Hong Kong Exchanges and Clearing gained 5779 impressions and 17 engagements – the highest number out of all the WFE’s WIW 2019 tweets.
  - The WFE posted about ‘Ring the Bell for Financial Literacy’ on LinkedIn where the post gained 375 impressions and five reactions.
- The WFE saw a substantial amount of media coverage around the ‘Ring the Bell for Financial Literacy’ initiative, notably from Bahrain:
  - In total 97 articles covered WFE’s members’ involvement in ‘Ring the Bell for Financial Literacy’, reaching 10.7 million readers across the world.

A selection of summaries of the WFE’s members’ events can be found below:

- Bahrain Bourse held a bell ringing event with His Excellency Rasheed Mohammed Al-Maraj, Governor of the Central Bank of Bahrain, in attendance alongside 80 senior officials and executives. The event highlighted Bahrain Bourse’s existing efforts in promoting financial literacy including its annual TradeQuest programme, which is aimed at high school and university students, and the Smart Investor programme, which engages elementary school students.

- Bermuda Stock Exchange employed the services of the town crier in Hamilton to announce its participation in ‘Ring the Bell for Financial Literacy’ and World Investor Week. The video can be found here.

- BME held a bell ringing event, which was attended by Tajinder Singh, Deputy Secretary General, IOSCO, and Nandini Sukumar, Chief Executive Officer, WFE.

- Borsa İstanbul held a bell ringing with speeches from Ali Fuat Taşkesenlioğlu, Chairman, Capital Markets Board of Turkey; Professor Dr. Erişah Arıcan, Chairperson, Borsa İstanbul; and Erhan Topaç, Vice-Chairperson, Turkish Capital Markets Association. The exchange also organised panels and seminars throughout World Investor Week. The titles of these sessions included: ‘Individual Investor Training Program - My Money and Me’, ‘Foreign Exchange Rate Management for Real Sector Companies’, ‘Companies Share Their Experiences’, and ‘Financial Literacy for University Students’.

- Bursa Malaysia used ‘Ring the Bell for Financial Literacy’ as an opportunity to commence its National Investment Debate Challenge, which is a competition aimed at undergraduates from universities across Malaysia. This competition aims to enrich students’ investment knowledge and help them develop investing skill to grow wealth responsibly as they prepare to enter the workforce.
Cboe Global Markets hosted representatives from the Illinois State Treasurer’s Office, Econ Illinois, Chicago Public Schools, Working in the Schools (WITS), and the Greenwood Project for the ‘Ring the Bell for Financial Literacy’ ceremony in Chicago. After the bell ringing, Cboe hosted a small reception to thank its partnering organisations for their dedication to enhancing financial literacy.

Dhaka Stock Exchange Limited (DSE) held an event with Swapan Kumar Bala, Commissioner, Bangladesh Securities Exchange Commission (BSEC), as the chief guest. The exchange also hosted a session which focused on a paper presented by Mohammad Asadur Rahman, General Manager and Company Secretary, DSE. The session was chaired by Professor Dr Abul Hashem, Chairman, DSE.

Hochiminh Stock Exchange (HOSE) hosted an event which attracted attendees from universities, institutes, financial academies, securities companies and the media. The exchange also hosted a talk-show event entitled ‘The importance of financial education for investors’, which featured financial experts from Smart Train Professional Education; the Institute of Chartered Accountants in England and Wales; the HCM Banking University; VNDIRECT Securities Corporation; and KIS Vietnam Securities Corporation. The speakers shared their practical experiences on educating investors and the public about financial matters.

Korea Exchange held a variety of activities throughout World Investor Week and to accompany ‘Ring the Bell for Financial Literacy’. These activities included the Market Integrity Forum, a session on financial conflict prevention, and investor education workshops for senior people.

Malta Stock Exchange’s bell ringing ceremony was attended by a representative from the Malta Financial Services Authority (MFSA), and Edward Scicluna, Malta’s Finance Minister, who delivered a speech about the importance of financial education.

National Stock Exchange of India (NSE) conducted 565 Investor Awareness programmes across 221 cities and 22 states, while also running a video-based social media campaign on investor education, which reached five million people across India.

Palestine Exchange’s event was held under the slogan ‘Together to enhance the role of women to invest in securities’. The exchange held workshops for female entrepreneurs in Tubas and Bethlehem, as well as hosting a student delegation at the exchange itself where they learnt about the workings of the securities sector. The exchange also held a workshop on ‘The Palestine Securities Sector’ at Al Quds Open University-Hebron Branch.

Shenzhen Stock Exchange (SZSE) invited investors who attended the bell ringing to visit the SZSE Investor Education Center and listing hall to learn about the development and achievements of the Chinese capital market through various exhibitions. Additional events included launching the member brokers’ ‘Achievements in Investor Education’ initiative; holding an Open House programme for cartoonists in SZSE’s Investor Education Center; holding Investor Services programmes for the Qinghai and Gansu provinces; and launching the ‘Securities Company Open House Program’.
SIX Swiss Exchange organised breakfast presentations on topics including: 'The ABC of investing money: what is a stock, ETF, bond and structured product?'; 'How do I choose the right ETF?'; and 'How can I invest responsibly with ETFs?'. The exchange also partnered with the Swiss Finance Museum who offered guided tours through its current exhibition.

Tunis Stock Exchange used the opportunity to launch its Myinvestia Stock Market Challenge alongside the bell ringing event, as well as signing two partnership agreements with the Higher Institute of Technological Studies of Zaghouan and with the Graduate School of Economics, Accounting, Finance and Management of the Ecole Supérieure de Commerce de Tunis (ESCT), to promote financial culture among students.

A full list of WFE members who participated can be found below (some have hyperlinks to the relevant press releases):

<table>
<thead>
<tr>
<th>Name of Exchange / CCP (alphabetical order)</th>
<th>Date of Event</th>
<th>Opening or Closing Bell</th>
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<tr>
<td>Abu Dhabi Securities Exchange</td>
<td>Tuesday 1st October</td>
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<td>Athens Exchange</td>
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<td>B3 - Brasil Bolsa Balcão</td>
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<td>Bahrain Bourse</td>
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<td>Bursa Malaysia</td>
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<td>Dhaka Stock Exchange Limited</td>
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<td>Hochiminh Stock Exchange</td>
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<td>Stock Exchange</td>
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<td>Johannesburg Stock Exchange</td>
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<td>Malta Stock Exchange plc</td>
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<td>Moscow Exchange</td>
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<td>National Stock Exchange of India</td>
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<td>NZX Ltd</td>
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<td>Palestine Exchange</td>
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<td>Qatar Stock Exchange</td>
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<td>Santiago Stock Exchange</td>
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<tr>
<td>Saudi Stock Exchange (Tadawul)</td>
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<td>Shanghai Stock Exchange</td>
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<td>Shenzhen Stock Exchange</td>
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<td>SIX Swiss Exchange</td>
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<td>Taipei Exchange</td>
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<td>Taiwan Stock Exchange</td>
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<td>The Egyptian Exchange</td>
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<td>The Nigerian Stock Exchange</td>
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<td>The Options Clearing Corporation (OCC)</td>
<td>Monday 30th September</td>
<td>Financial literacy event</td>
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<td>The Philippine Stock Exchange</td>
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<td>The Stock Exchange of Thailand</td>
<td>Monday 30th September</td>
<td>Opening Bell</td>
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<tr>
<td>Tunis Stock Exchange</td>
<td>Tuesday 1st October</td>
<td>Opening Bell</td>
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Cboe Global Markets

Dhaka Stock Exchange

WIW 2019 – Report
Luxembourg Stock Exchange

Malta Stock Exchange
National Stock Exchange of India

Nigerian Stock Exchange

Palestine Exchange
Philippine Stock Exchange

Qatar Stock Exchange
The Egyptian Exchange
ZAMBIA
WORLD INVESTOR WEEK 2019

National / Jurisdictional Coordinator: THE SECURITIES AND EXCHANGE COMMISSION (SEC)

OTHER IOSCO MEMBERS: N/A

OTHER PARTICIPANTS: CAPITAL MARKETS ASSOCIATION OF ZAMBIA (CMAZ), LUSAKA SECURITIES EXCHANGE PLC (LUSE), EQUITY CAPITAL RESOURCES PLC, ALTUS CAPITAL LIMITED, AFRICAN LIFE FINANCIAL SERVICES ZAMBIA LIMITED AND THE UNIVERSITY OF ZAMBIA ECONOMICS AND BUSINESS ASSOCIATION (UNZABECA).

In 2017, the Securities and Exchange Commission (SEC) partnered with the International Organization of Securities Commissions (IOSCO) to commemorate the World Investor Week (WIW) and to coordinate WIW activities for Zambia. This year, the WIW 2019 was undertaken under the theme ‘A Smart Investor through Capital Markets’ from 30th September – 6th October 2019 and activities were led by the SEC and in collaboration with the Capital Markets Association of Zambia (CMAZ) and other capital markets stakeholders (listed above).

Like in many jurisdictions, Zambia is part of a global agenda that seeks to enhance the financial education and financial inclusion levels of its Citizens. Therefore, the WIW 2019 is an important activity in our capital markets as it contributes towards achieving this objective. As in the previous years, the WIW 2019 spurred a lot of public interest and created an opportunity to raise awareness on investor education and protection. The SEC also leveraged on this teachable moment to educate people on its investor protection mandate (among other things). Below are some of the activities that we engaged in during the WIW 2019:

1. STUDENT ENGAGEMENT

Under the IOSCO Investor Education Strategy, the Youth segment is considered to be a major target group. As such, students from the University of Zambia, particularly from the University of Zambia Business and Economics Association (UNZABECA) were engaged as a key target group who represented the Youths. A seminar was conducted to educate the students on capital markets, career choices in capital markets (among other things). Students that were engaged assumed the position of Ambassadors for capital markets during the campaign and participated towards spreading outreach of messages.

2. ARTICLE WRITING COMPETITION

Further to the above, the SEC and CMAZ and in collaboration with UNZABECA launched an Article writing competition. The objective of the competition was to engage students in research around capital markets in line with the theme messaging for the WIW 2019. As an incentive, deserving students will be awarded in monetary form amounting to approximately US$400. Forty (40) expressed interest and have since submitted articles for review.

3. PUBLIC EXHIBITION

A capital market operators’ public exhibition was held on Saturday, 5th and Sunday, 6th October 2019 at East Park Mall in Lusaka (situated in the urban centre of Lusaka City). Exhibitors interacted with shoppers and passersby, highlighting the key messages for the WIW 2019 i.e. attributes of a smart investor; capital markets products; benefits and risks associated with investing. Approximately 500 people were reached at the exhibition. Exhibitors included: the SEC, fund managers, stockbrokers, the Capital Markets Association of Zambia and the University of Zambia (UNZA).
4. WEBSITE AND MEDIA ACTIVITIES

The following media activities were undertaken during the WIW 2019:

i) Radio: the SEC and CMAZ featured on radio programmes and captured an audience of approximately two (2) million listeners.

ii) Social Media: social media platforms such as Whatsapp and Facebook were utilized during the campaign.

iii) Website: the SEC and collaborative partners utilized their websites to disseminate information during the campaign period. It was noted that there was an increase in Pageviews and Visits on the website of totaling approximately 4,658 (visits and pageviews combined).

5. DISSEMINATION OF INVESTOR EDUCATION MATERIAL

Investor education flyers and bulletins were distributed during the WIW 2019. The literature contained rich content and covered some of the following areas: registration of securities; licensing procedures in Zambian capital markets; basic facts about securities; knowledge on the Securities and Exchange Commission and capital market operators; key messaging for the WIW 2019 et cetera.

6. WORLD INVESTOR WEEK 2019 GALLERY

Below is a graphical illustration of activities undertaken during the WIW 2019.
An interactive session with UNZA students during the launch of the WIW 2019 Article Writing Competition

SEC–Manager for Market Development (Ms. Dingase Makumba) highlighting key Messages for the World Investor Week 2019 during the launch

Some of the Literature distributed during the WIW 2019
An illustration of Whatsapp and website usage during the World Investor Week 2019

Usage of Whatsapp to circulate key WIW 2019 Messages

The Securities and Exchange Commission (SEC) in collaboration with the Capital Market Association of Zambia (CMAZ) and other Capital Market Operators (CMOs) joins OICU-IOSCO in commemorating World Investor Week (WIW 2019) under the theme "A Smart Investor through Capital Markets".

This Commemoration will take place from 30th September - 6th October 2019.

Who then is a smart investor? The following are some of the attributes of a smart investor:

1. Basic investment knowledge
A Smart Investor:
- verififies that an investment professional is licensed;
- conducts research on a prog
REPORTS OF SUPPORTING ENTITIES
FINANCIAL PLANNING STANDARDS BOARD (FPSB)
WORLD INVESTOR WEEK 2019
National / Jurisdictional Coordinator: FINANCIAL PLANNING STANDARDS BOARD LTD.
Other IOSCO members:
OTHER PARTICIPANTS: FPSB AFFILIATE ORGANIZATIONS IN 24 TERRITORIES WORLDWIDE

Financial Planning Standards Board Ltd. (FPSB) and its global network of professional financial planning bodies administering CERTIFIED FINANCIAL PLANNER certification, were pleased to join IOSCO for World Investor Week (WIW) 2019 and host the third annual World Financial Planning Day (WFPD) on Wednesday, 2 October.

FPSB launched WFPD in 2017 in partnership with IOSCO to help the public understand the importance of making investment decisions within the context of other financial and life goals. Now in its third year, WFPD is an opportunity for FPSB and the global community of over 181,000 CFP professionals to continue to support IOSCO and securities regulators around the world in efforts to increase consumers’ levels of financial literacy and capability.

Leading up to and during WIW 2019, FPSB Affiliates in 24 territories provided programs and events in support of WIW/WFPD, covering topics ranging from investment planning and retirement, to debt management, financial emergency preparation, home ownership and saving. In addition to promotion via FPSB/Affiliate websites and social media channels, the FPSB global network reached consumers through online and print publications; articles and blogs; press releases; media interviews; in-person events; and regulatory engagement.

Traditional/Social Media Coverage

Building on momentum generated from the previous two years’ campaigns, in 2019, the FPSB global network broadened the reach of WIW/WFPD messaging substantially.

- Through 382 media placements worldwide, the FPSB global network reached an estimated 412 million people—a 94% increase over 2018.
- The FPSB global network’s cumulative social media efforts reached an estimated 3.59 million people across Facebook, Twitter and LinkedIn—a 25% increase over 2018.

The top trending words/phrases across the FPSB network’s written content included “IOSCO,” “FPSB,” “investor education and protection,” “financial planning,” “financial literacy” and “consumer awareness and understanding.”
90% of FPSB Affiliates around the world participated in the WIW/WFPD 2019 campaigns, with many hosting online and/or in-person seminars, panels and events. Some of those included:

- Austria: Country-wide pro bono financial planning consultations with CFP professionals, facilitated by Österreichischer Verband Financial Planners.
- Brazil: Free public lectures and financial clinics by CFP professionals, hosted by Planejar – Associação Brasileira de Planejadores Financeiros and the Securities and Exchange Commission of Brazil.
- Colombia: An expert panel discussion on financial literacy and other personal finance topics, hosted by Asociación Colombiana de Planeación Financiera.
- Chinese Taipei: A two-day “World Investor Week Joint Forum” hosted in part by Financial Planning Association of Taiwan. The event featured multiple local and international presenters covering topics such as multi-asset investment, retirement planning and trends in retail investing, and drew nearly 1,000 attendees.
- Germany: A video competition sponsored by FPSB Deutschland that encouraged consumers to submit video entries around the theme, “plan earlier, enjoy longer.”
- Malaysia: An online financial literacy roundtable discussion, hosted by Financial Planning Association of Malaysia, with prominent Malaysian bloggers in the personal finance space.
- Republic of Korea: A “2019 Household Financial Health Checkup” campaign hosted by FPSB Korea, in which consumers were encouraged to assess their household’s financial situation through expert lectures, customized consultations and education sessions.
- Thailand: Online videos and webinars covering financial planning and investment topics, as well as a “2019 Wealth Management Forum” featuring expert panel discussions and speeches, planned/facilitated by Thai Financial Planners Association.

FPSB Network Activities/Events
• United Kingdom: The Chartered Institute for Securities and Investment’s Financial Planning Week, which followed WIW/WFPD, during which consumers participated in virtual Q&A sessions, complimentary consultations and local lectures provided by financial planners.

Sampling of FPSB Affiliate events/activities
(pictured above: FPSB Affiliates from Chinese Taipei, Malaysia, Thailand)

Sampling of FPSB Affiliate events/activities
(pictured above: FPSB Affiliates from Brazil, Colombia, Germany, United Kingdom)
PlanWell2LiveWell Video Contest

For the second year, FPSB hosted the #PlanWell2LiveWell video contest, which invited consumers around the world to share a short video of what “living well” means to them. Entrants submitted their videos through FPSB’s World Financial Planning Day website, worldfpday.org, where they could also learn about WIW/WFPD, explore educational content around the value of financial planning and get more information on local financial literacy events. This year’s video contest received a total of 65 entries from around the world—an 860% increase over the number of entries received in 2018.

College student Julianne Fetalvero of the Philippines was named the grand prize winner. When asked about plans for her cash prize, Ms. Fetalvero said:

“Winning this contest is truly a great opportunity since a part of the prize money will be used to invest in stocks. I really want to invest at a young age so that I [will] be able to feel the benefits when I grow older, especially when I finish college. A part of it will also be used to start a small business.”

The top 15 video entries/finalists in the #PlanWell2LiveWell video contest can be viewed here.
Resources for Seniors

In support of the global regulatory community’s efforts to protect vulnerable populations from harm, FPSB launched as part of this year’s World Financial Planning Day campaign an FPSB network compendium of consumer-facing resources for seniors accessing financial services. To promote the resources, FPSB ran a Facebook campaign targeting seniors and their adult children that reached an estimated 6.42 million people around the world. Additionally, FPSB published an article on LinkedIn titled, “Vulnerable Clients Deserve To Be Able To #PlanWell2LiveWell,” highlighting the FPSB network’s efforts to protect vulnerable consumers in collaboration with regulators.

Conclusion

FPSB welcomed the opportunity to again partner with IOSCO on raising awareness about the importance of investor education, and increasing consumers’ levels of financial literacy and capability. FPSB and its global network look forward to collaborating with IOSCO on WIW/WFPD and other consumer protection projects in the years to come.
## Annex 1

**Committee on Retail Investors (Committee 8) members**

<table>
<thead>
<tr>
<th>Members (38)</th>
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<tbody>
<tr>
<td>Comisión Nacional de Valores</td>
<td>Argentina</td>
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<tr>
<td>Australian Securities and Investments Commission</td>
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<tr>
<td>Financial Services and Markets Authority</td>
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<td>Bundesanstalt für Finanzdienstleistungsaufsicht</td>
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